

For an  $e^-$  in the CB, potential energy is  $-q \frac{N_d (W-x)^2}{2\epsilon}$  (3)

To simplify the expression, we suppose that the electric field in the depletion region is  $\text{cte} = E_{\text{max}} [= -E_0]$

potential energy for the  $e^-$  ~~is~~  $-q E_{\text{max}} x$  (linear).



So total potential energy is from the donor charge and the depletion region.

$$U(x) = -q E_{\text{max}} x + \frac{q^2}{16\pi\epsilon} x^2$$

The maximum potential at  $x = x_{\text{max}} \Rightarrow \frac{dU}{dx} = 0$

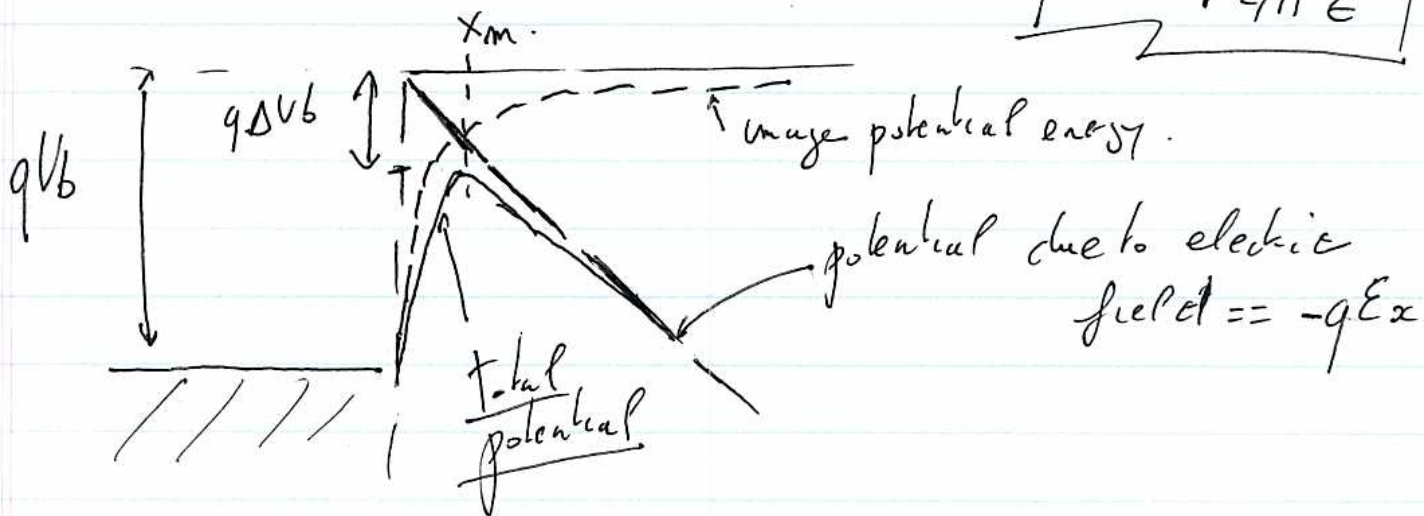
$$\Rightarrow x_{\text{max}} = \sqrt{\frac{q}{16\pi\epsilon E_{\text{max}}}}$$

potential due to image charge

$$\Delta V_B = -q \Delta V_B = -q \sqrt{\frac{q E_{\text{max}}}{4\pi\epsilon}} < 0$$

$\Rightarrow \Delta V_B$  is the barrier height reduction

$$\Delta V_B = \sqrt{\frac{q E_{\text{max}}}{4\pi\epsilon}}$$



# 1 I-V characteristics of Schottky diode

The current across a M-SC junction is mainly due to majority carriers.

3 different mechanisms exist:

- (i) diffusion of carriers from the SC  $\rightarrow$  M  
 "assumes that the driving force is distributed over the length of the depletion region"
- (ii) Thermionic emission of carriers across the Schottky barrier  
 "only energetic carriers, which energy  $\geq$   $\phi_B$  energy at the interface, contribute to the current"
- (iii) Quantum mechanical tunneling through the barrier.  
 "wave nature of the  $e^-$ "

The analysis reveals that the diffusion and thermionic currents can be written in the following form:

$$J_n = q v \underbrace{N_c \exp(-q\phi_B)}_{\text{density of available carriers, located next to the interface}} \left[ \exp(q\phi_a) - 1 \right]$$

velocity  
 $v = \mu E$   
 for the diffusion current

$v = v_R$  Richardson velocity  
 for thermionic current

$\Rightarrow$  if no applied voltage  $J_n = 0$ .

for the tunneling current  $J_n = q v_R n \theta$   
 $\theta$  is the tunneling probability term.

### a) Diffusion current

we assume that the depletion layer  $w$  is large compared to the mean free path  $\Rightarrow$  concept of drift-diffusion are valid.

$$J_n = q \left( \mu_n n E + D_n \frac{dn}{dx} \right) \text{ also equal to}$$

$$J_n \exp(-V/q\beta) = q D_n \left( -q\beta n \frac{dV}{dx} + \frac{dn}{dx} \right) \exp(-q\beta V)$$

in steady state ~~diffusion~~  
integration  $w \Rightarrow J_n$  does not depend on  $x$

$$= q D_n \frac{d}{dx} \left[ n \exp(-V/q\beta) \right]$$

$$\rightarrow J_n = \frac{q D_n \left[ n \exp(-q\beta V) \right]_0^w}{\int_0^w \exp(-q\beta V) dx}$$

Using the following B.C

$x$	$n(x)$	$V(x)$
0	$N_c \exp(-q\beta V)$	$-(V_i - V_A)$
$w$	$N_c \exp(-q\beta V_B) \exp(+q\beta V_i)$	0

$$\Rightarrow J_n = \frac{q D_n N_c \exp(-q\beta V_B) \left[ \exp(q\beta V_A) - 1 \right]}{\int_0^w \exp(-q\beta V^*) dx}$$

where  $V^* = V + V_i - V_A$

The denominator can be derived using the potential obtained from the full depletion approximation.

$$V = \frac{-qNd}{2\epsilon} (x-w)^2$$

so

$$V^* = V - V_0 = -\frac{qNd}{2\epsilon} [x^2 + w^2 - 2xw] = \frac{qNd}{\epsilon} x(w - \frac{x}{2})$$

$V^* \approx \frac{qNd}{\epsilon} xw$  since the linear term is dominant if  $x \ll w$ .

$$V^* = (V_c - V_A) \frac{2x}{w}$$

$$\begin{aligned} \Rightarrow \int_0^w \exp(-V^*/q\beta) dx &= \int_0^w \exp\left(-\frac{(V_c - V_A) 2x}{w q\beta}\right) dx \\ &= -\frac{w}{2(V_c - V_A) q\beta} \left[ \exp(-q\beta V^*) \right]_0^w \\ &= -\frac{w}{2(V_c - V_A) q\beta} \left[ \exp\left(-\frac{2q\beta(V_c - V_A)}{w} x\right) - 1 \right] \\ &\approx \frac{w}{2(V_c - V_A) q\beta} = \frac{1}{q\beta} \sqrt{\frac{\epsilon}{2qNd/(V_c - V_A)}} \end{aligned}$$

$$J_m = q\beta D_m N_c \sqrt{\frac{2qNd/(V_c - V_A)}{\epsilon}} \exp(-V_B/q\beta) \left[ \exp(q\beta V_A) - 1 \right]$$

we can also write "physically"  
 $J_m = q\mu_m |E_{max}| N_c \exp(-q\beta V_B) \left[ \exp(q\beta V_A) - 1 \right]$   
 Drift current at the interface, if  $V_A = 0$  because the diffusion current

### (b) Thermionic current

The thermionic emission theory assumes that  $e^-$  which have an energy larger than the top of the barrier will cross it if they move toward. ~~the barrier~~.  
 The actual shape of the barrier is ignored.

$$J = \int_{E_c(x=\infty) + qV_i} q v_x \frac{dn}{dE} dE \quad \left[ \frac{dn}{dE} = n(E) \right]$$

For non-degenerate semiconductor, the density of  $e^-$  between  $E$  and  $E+dE$  is given by =

$$\left( \frac{dn}{dE} = g_c(E) F(E) = \frac{4\pi (2m^*)^{3/2}}{h^3} (E - E_c)^{1/2} \exp\left[-\frac{E - E_{F,n}}{k_B T}\right] \right)$$

if parabolic CB with  $m^*$  constant

$$\Rightarrow \left( \begin{array}{l} E - E_c = \frac{m^* v^2}{2} \quad dE = m^* v dv \\ \sqrt{E - E_c} = v \sqrt{\frac{m^*}{2}} \end{array} \right)$$

$$\left[ \frac{dn}{dE} dE = 2 \left( \frac{m^*}{h} \right)^3 \exp\left[-\frac{(E_c(x=\infty) - E_{F,n})}{k_B T}\right] \exp\left(-\frac{m^* v^2}{2 k_B T}\right) 4\pi v^2 dv \right]$$

If we replace  $v^2$  by  $v_x^2 + v_y^2 + v_z^2$  and  $4\pi v^2 dv$  by  $dv_x dv_y dv_z$ .

$$\Rightarrow J = 2 \left( \frac{m^*}{h} \right)^3 \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \exp\left(-\frac{m^* v_y^2}{2 k_B T}\right) \exp\left(-\frac{m^* v_z^2}{2 k_B T}\right) \exp\left(-\frac{m^* v_x^2}{2 k_B T}\right) q v_x \exp\left[-\frac{(E_c - E_{F,n})}{k_B T}\right] dv_x dv_y dv_z$$

Setting  $v_{ox}$  = the maximal velocity in the quasi-neutral region that is needed to cross the barrier

$$\text{Using } \int_{-\infty}^{+\infty} \exp\left(-\frac{m^* v_y^2}{2k_B T}\right) dv_y = \int_{-\infty}^{+\infty} \exp\left(-\frac{m^* v_z^2}{2k_B T}\right) dv_z = \sqrt{\frac{2\pi k_B T}{m^*}}$$

$\Rightarrow$

$$J = 2q \left(\frac{m^*}{\hbar}\right)^3 \frac{2k_B T}{m^*} \exp\left[-\frac{(E_C - E_{F,N})}{k_B T}\right] \exp\left[-\frac{m^* v_{ox}^2}{2k_B T}\right] \frac{k_B T}{m^*}$$

$v_{ox}$  is obtained by setting the kinetic energy = potential across the n-type region.

$$\frac{m^* v_{ox}^2}{2} = q V_i$$

$$\text{Using } V_i = V_B - V_A - \frac{1}{q} [E_C - E_{F,N}]$$

$$\Rightarrow J_{MS} = A^* T^2 \exp[-(V_B q \beta)] \left[ \exp(q \beta V_A) \right]$$

for the total current.

- 1 term is added to account for the current flowing from right to left; when  $V_A = 0 \Rightarrow J_{ns} = -J_{sn}$ .

$$\Rightarrow J_n = A^* T^2 \exp\left[-\frac{q V_B}{k_B T}\right] \left[ \exp(q \beta V_A) - 1 \right]$$

$A^*$  is the Richardson constant

$$A^* = \frac{4\pi q m^* P_L}{h^3}$$

one can also define an average velocity  $v_{ox}$  for which the  $e^-$  at the interface approach the barrier.

This velocity is referred as the Richardson velocity

$$v_R = \sqrt{\frac{k_B T}{2\pi m}}$$

$$\Rightarrow J_m = q v_R N_c \exp(-q \phi_B) [\exp(q \phi_A) - 1]$$

### © Tunneling current

e<sup>-</sup> in the metal can tunnel across the Schottky barrier and enter in the semiconductor. Similarly, e<sup>-</sup> can tunnel from the SC → M.

we start from the Schrodinger equation.

$$-\frac{\hbar^2}{2m^*} \frac{d^2\psi}{dx^2} + V(x)\psi = E\psi$$

$$\Rightarrow \frac{d^2\psi}{dx^2} = \frac{2m^*}{\hbar^2} (V(x) - E) \psi$$

Assuming that V is independent of position in a section between x, x+dx / ~~slowly varying function~~  
we can solve it yielding =

$$\psi(x+dx) = \psi(x) \exp(-k dx) \quad k = \frac{\sqrt{2m^*(V(x)-E)}}{\hbar}$$

~~the wave function~~

For a slowly varying function potential, the wave function at  $x=L$  can be related to  $\psi$  at  $x=0$ .

$$\psi(L) = \psi(0) \exp\left[-\int_0^L \frac{\sqrt{2m^*(V(x)-E)}}{\hbar} dx\right]$$

⇒ this equation is referred as the

WKB approximation (Wentzel, Kramers, Brillouin) 1926

we suppose a triangular barrier  $(V(x)-E) = qV_B(1-\frac{x}{L})$

tunneling probability  $\Theta = \frac{|\psi(L)|^2}{|\psi(0)|^2} = \exp\left[-2 \int_0^L \frac{\sqrt{2m^*}}{\hbar} \sqrt{qV_B(1-\frac{x}{L})} dx\right]$

we replace  $L$  by  $\frac{E}{qE}$  where  $E = \frac{qV_B}{L}$  electric field

$$\Theta = \exp\left[-\frac{4}{3} \frac{\sqrt{2} q m^* V_B^{3/2}}{\hbar E}\right]$$

tunneling current is then defined by =

$$J_m = q v_F m \Theta$$

Richardson velocity

carrier density = density of available  $e^-$  multiplied with the tunneling probability.



### ③ Additional Comment for the Schottky contact

- (1) ~~●~~ lowering barrier effect (already described) due to image charge previously.

### (a) Influence of interface states

So far, we considered "an ideal junction".

In practice, the periodic nature of the SC crystal is disturbed at the interface, which gives rise to a large number of permitted states in the bandgap of the SC near the interface.

⇒ interface states or interface traps with Energy ranging from  $E_V$  to  $E_C$  and occupied by  $e^-$  if they are below of the Fermi-level.



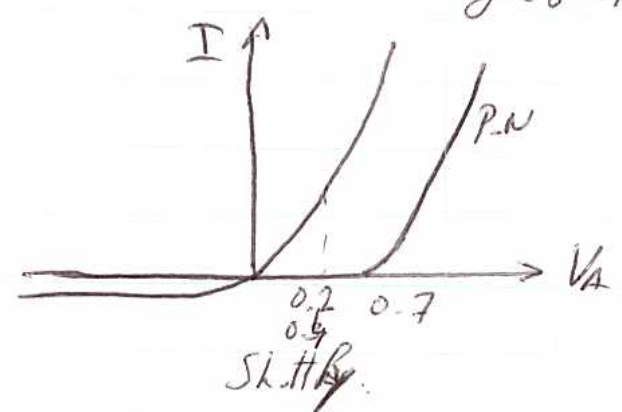
when we put the M and SC together the Fermi level is 'pinned' at some particular energy in the gap.

We do not know what gives the Fermi level (complicated physics) ⇒ Schottky barrier height as to be ~~determined~~ determined experimentally at the interface.

(b) Comparison with the P-N junction.

\* Reverse bias = the saturation current of a Schottky diode is 100 to 1000 times larger than that of PN junction (the junction accounts for a larger leakage current)

\* In forward bias = I-V characteristics show strong conduction at 0.2/0.4V compared to 0.7V for PN Schottky



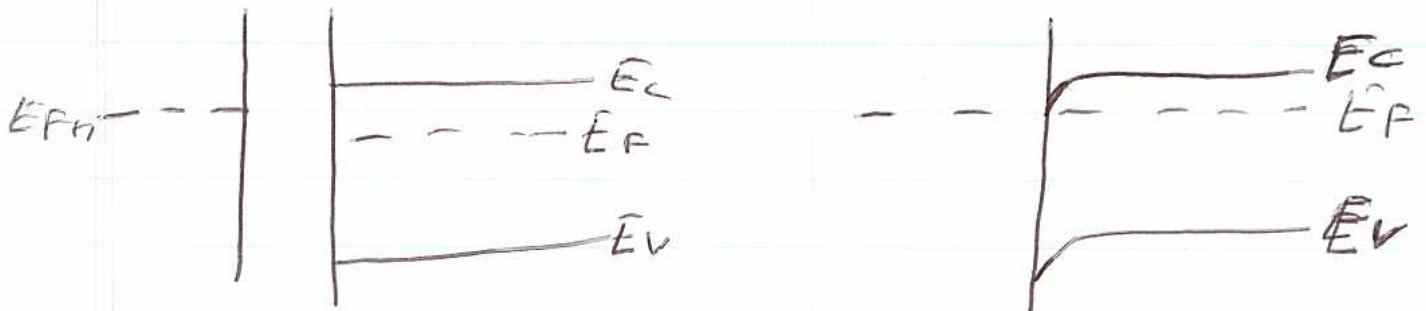
- ⇒ Schottky diodes are capable of very fast switching
- ⇒ <sup>due to</sup> majority carrier.
- ⇒ In P-N junction, device operation is slowed by recombination of excess minority carriers.

# ④ Ohmic contact

it is a non-rectifying contact.

The I-V should obey Ohm's law  $V = RI$ , and R should be as low as possible.

~~we~~ we consider M-SC with  $E_{Fn} > E_F$  [N-type semiconductor]

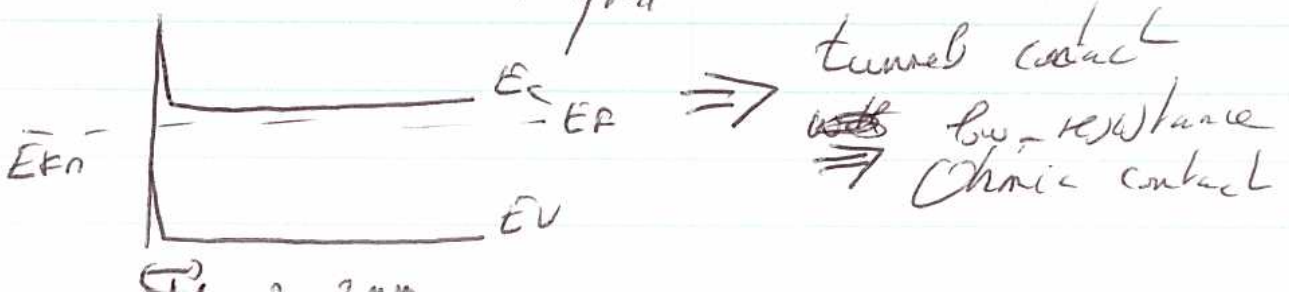


The magnitude of the band bending and its extension to the semiconductor are very small.

⇒ there is virtually no potential barrier and  $e^-$  can flow freely through this Ohmic contact.

\* it is also possible to obtain an Ohmic contact from a Schottky diode.  $E_{Fn} < E_F$  is the impurity concentration is high enough. ( $N_d = 10^{20} \text{ cm}^{-3}$ )

since  $W \propto |A| = \sqrt{\frac{2\epsilon(V_c - V_A)}{qN_d}}$  if  $N_d \nearrow W \searrow$



### III

## The MOS capacitor

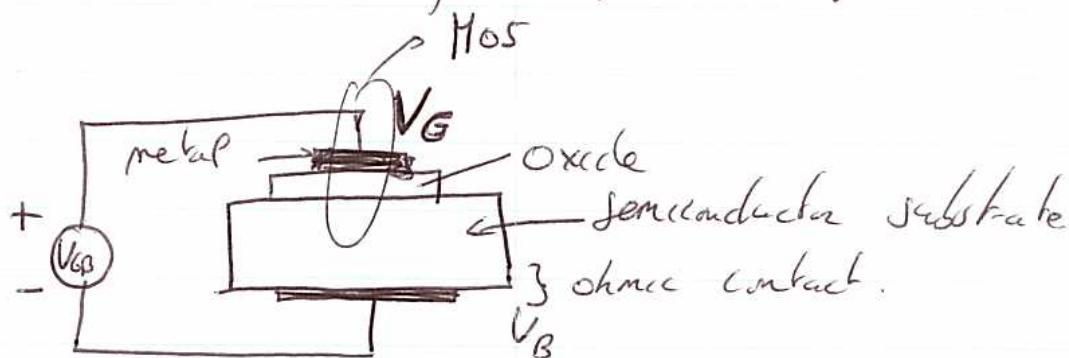
(42)

MOS = Metal - Oxide - Semiconductor.

(or MIS = Metal - Insulator - Semiconductor)

Most used device in VLSI technology [Si/SiO<sub>2</sub>]  
oxide thickness, typically from 5-50 nm.  
oxide is an amorphous material

### ① Structure and principle of operation



∴ for a P-type substrate we talk about nMOS capacitor.  
(since inversion layer contains e<sup>-</sup>).

∴ for a N-type substrate we talk about pMOS capacitor.

• we consider in the following nMOS capacitor  
(p-type semiconductor)

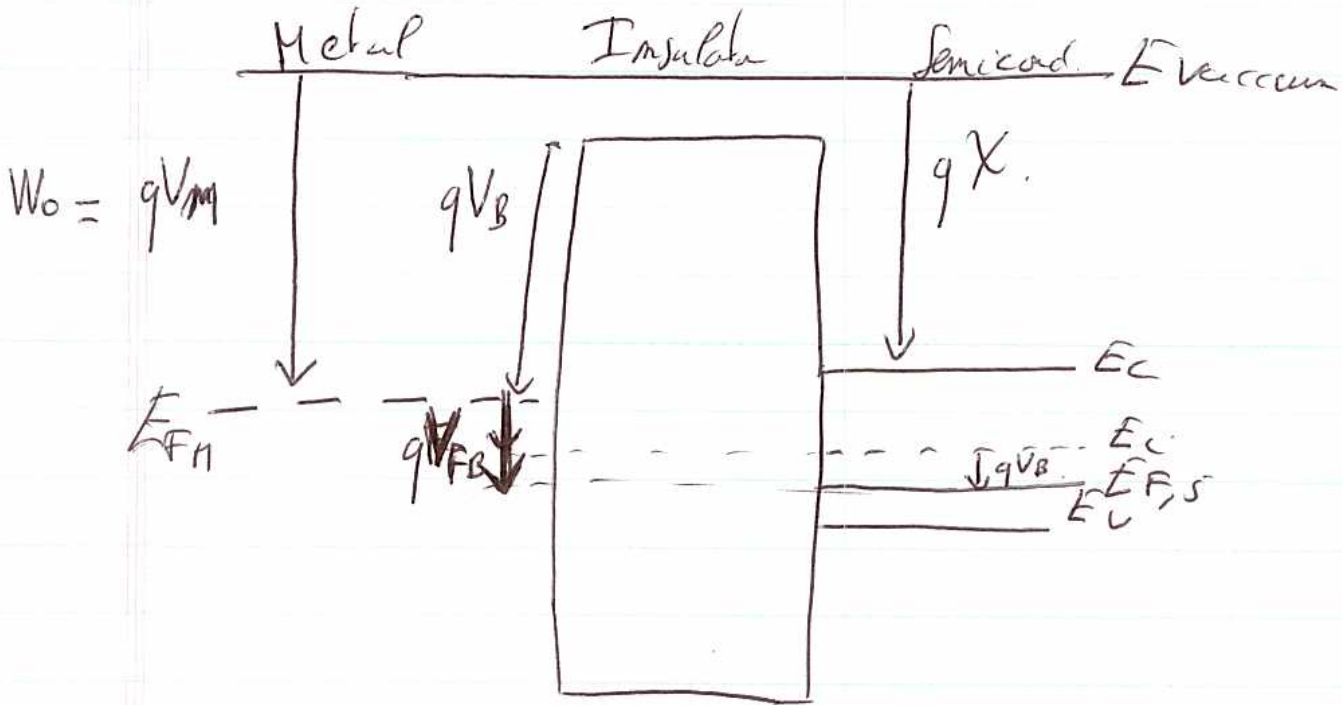
\* 4 modes of operation,

flat-band, accumulation, depletion, inversion.

(a) Unbiased junction

~~Unbiased junction Flat band diagram~~

The energy band diagram of the semiconductor is flat.



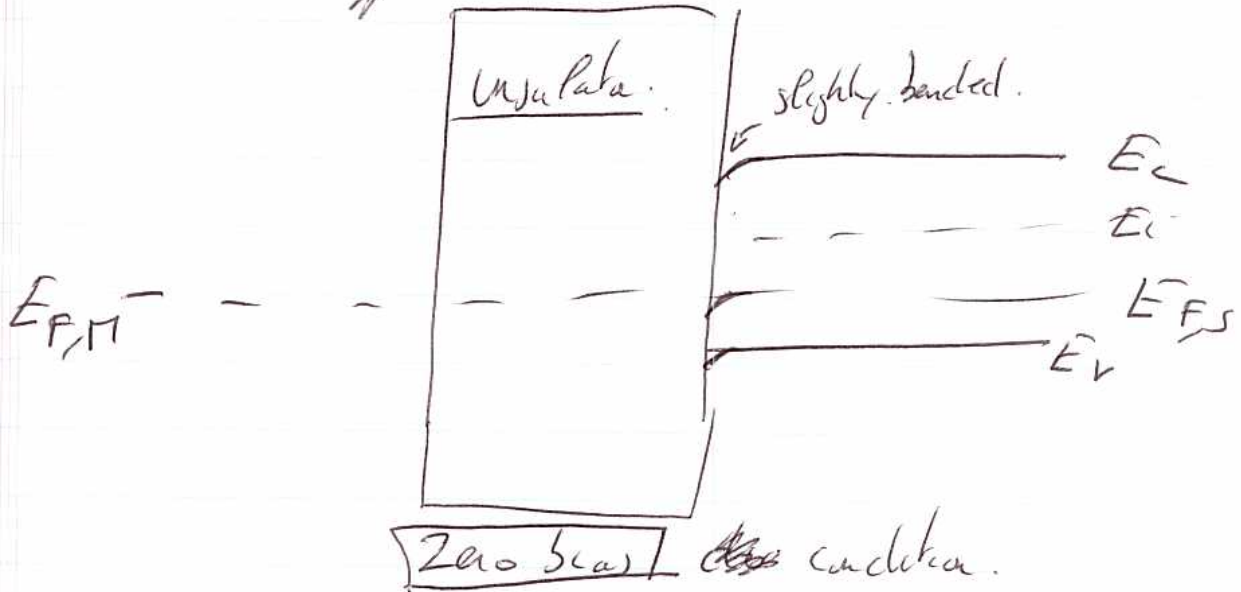
In the ideal case, charge would flow across the insulator so that  $E_{FM}$  and  $E_{F,S}$  would line-up and the difference,  $V_{MS}$  between the metal and SC workfunctions would vanish.

$$V_{MS} = V_M - \left( X + \frac{E_g}{2} + V_B \right) = 0 \quad [\text{p-type semiconductor}]$$

In practice the ideal situation is never achieved (extremely long-time).

The application of a small bias  $V_{FB}$  is required to line-up the Fermi-level. Its value is given by the non-vanishing  $V_{MS}$ .

So the flat-band diagram is obtained when the applied gate voltage equals to the work function difference.



~~Accumulation, depletion, inversion~~

(b) Biased junction

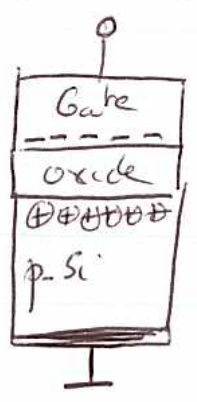
To understand the different bias modes of an MOS capacitor, we now consider 3 different bias voltages.

these bias  $V_G < 0$ ,  $0 < V_G < V_T$ ,  $V_T < V_G$

↑  
threshold voltage

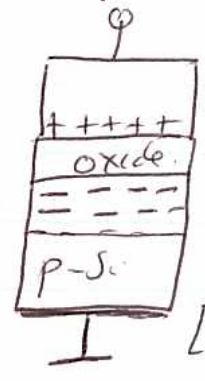
these bias regime are called = accumulation, depletion and inversion.

(i) accumulation



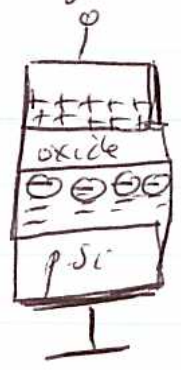
negative charge on the gate attracts holes from the substrate to the interface -

(ii) depletion



negative charge ~~builds up~~ builds up in the semiconductor [depletion layer]

(iii) inversion



if potential  $\uparrow$  another type of negative charges emerges at the oxide-semi interface  $\Rightarrow$  due to minority carriers ~~holes~~ which form a so-called inversion layer. This charge increases exponentially with the surface potential.

(2) Accumulation

negative bias applied to the metal gate (silicon substrate is grounded). The structure behaves like parallel-plate capacitor.

