

# I | P-N junctions

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## ① Introduction

P-N junction is formed when a P-type and an N-type semiconductors are in contact.

- if the N-type and P-type are made out of the same semic. material  $\Rightarrow$  homojunction
- if the semicand. are different  $\Rightarrow$  heterojunction.  
(we will study this later).

## Application of P-N junctions.

- diode = ~~para~~ single P-N junction - (2 terminal devices).  
present a highly non-linear current-voltage characteristics and it is often used as a rectifying element. Some diode can emit light.
- BJT = two P-N junction - (3 terminal devices)  
capable of amplifying electrical signals.

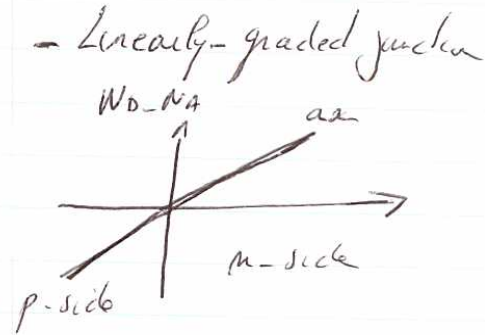
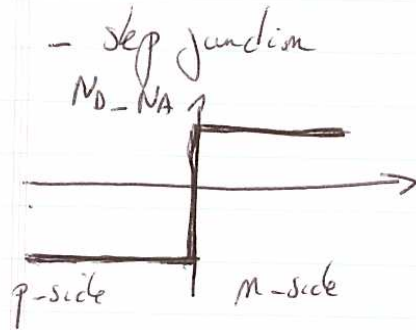
## Properties :

P-N junction allows the current flow in one bias direction, but not in the other.



②

① P-N junction can be separated into two major categories:



② unbiased junction

P-N junction is considered at equilibrium.  
(no applied bias)

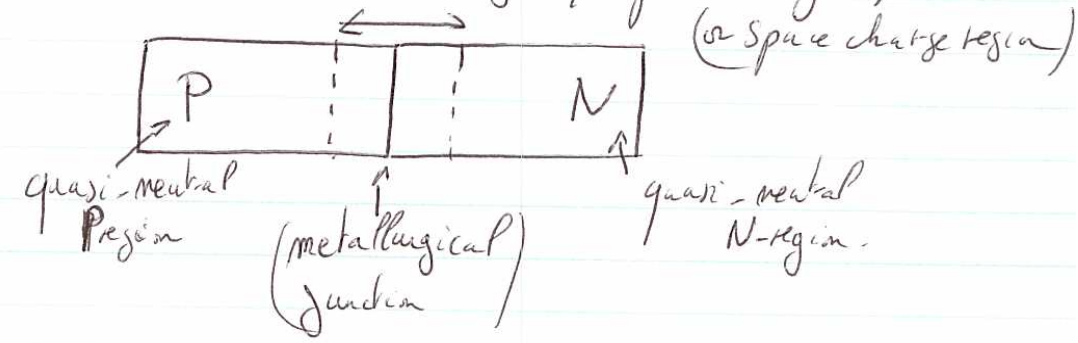
• If we consider 2 separate pieces =



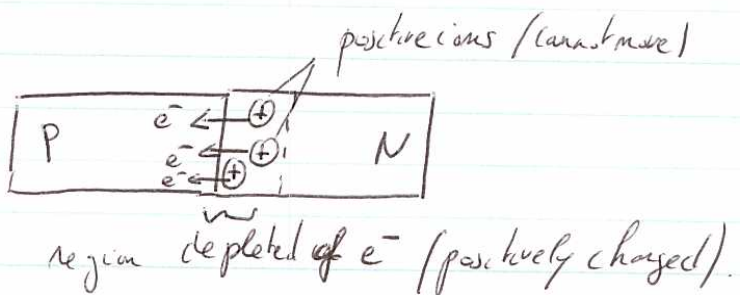
$$E_i - E_F = \frac{1}{\beta} \ln\left(\frac{N_a}{n_i}\right)$$

$$E_F - E_i = \frac{1}{\beta} \ln\left(\frac{N_d}{n_i}\right)$$

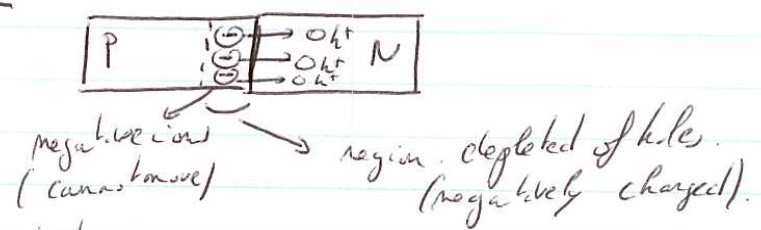
step  
 let us connect the 2 pieces end for a PN junction.  
 transition region (depletion region).  
 (or space charge region)



•  $e^-$  diffusion

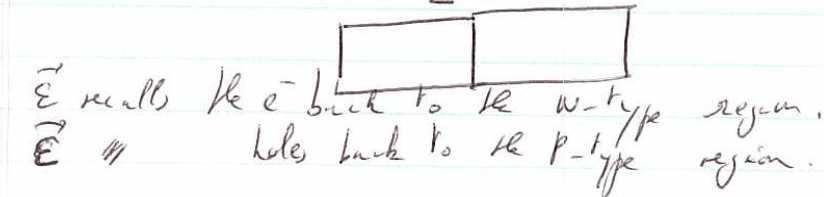


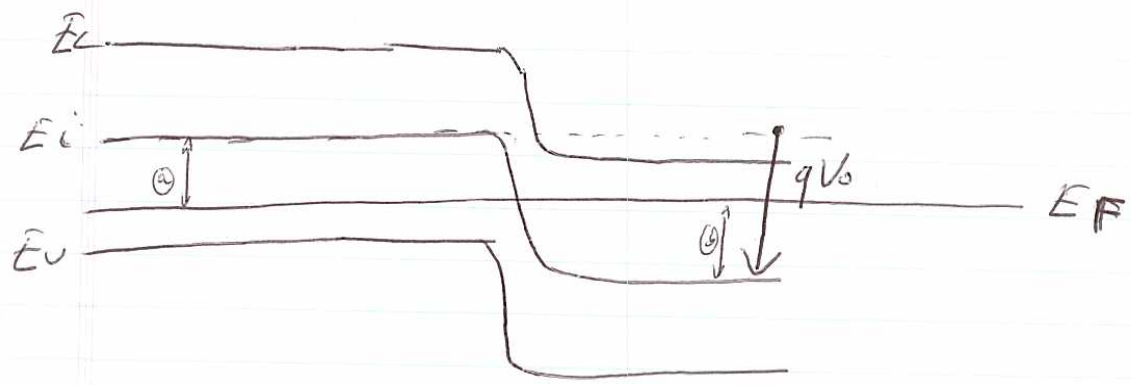
• hole diffusion



• Built-in potential

as a result to the diffusion process, a built-in potential,  $V_{bi}$ , is formed at the junction.





$$qV_0 = \overbrace{(E_c - E_F)_p}^{(a)} + \overbrace{(E_F - E_i)_m}^{(b)}$$

$$= \frac{1}{\beta} \ln \left( \frac{N_a N_d}{n_i^2} \right) \Rightarrow \boxed{V_0 = \frac{k_B T}{q} \ln \left( \frac{N_a N_d}{n_i^2} \right)}$$

• What are the potential variation and electric field in the depletion region?

→ Poisson equation

$$-\frac{d^2 V(x)}{dx^2} = -\frac{q}{\epsilon_s} (n + N_a^- - p - N_d^+)$$

~~and~~ it is non-linear since

$$\left. \begin{aligned} n(x) &= n_{n0} e^{qV(x)/\beta} \\ p(x) &= p_{p0} e^{-qV(x)/\beta} \end{aligned} \right\} \text{ Boltzmann relationship.}$$

with  $n_{n0}, p_{p0}$  are the  $e^-$  (hole) densities in the quasi-neutral region N (and P)

we cannot solve the Poisson equation analytically, so we use approximations.

depletion approximation  $\Rightarrow \vec{E}$  vanishes outside the depletion region (neutrality) and we ignore the charge due to free carriers in the depletion region.  $-l_p \leq x \leq l_n$

$$\begin{aligned} \infty \quad N_D^+ &\gg n \quad \text{for } 0 \leq x \leq l_n \\ N_A^- &\gg p \quad \text{for } -l_p \leq x < 0 \end{aligned}$$

$$\begin{cases} -\frac{d^2 \psi(x)}{dx^2} = +\frac{q N_D}{\epsilon_s} & \text{for } 0 \leq x \leq l_n \\ -\frac{d^2 \psi(x)}{dx^2} = -\frac{q N_A}{\epsilon_s} & \text{for } -l_p \leq x \leq 0 \end{cases}$$

with B.C condition  $\left. \frac{d\psi}{dx} \right|_{-l_p} = \left. \frac{d\psi}{dx} \right|_{l_n} = 0$

By definition  $\psi(-l_p) = \psi_{p0}$   
 $\psi(l_n) = \psi_{n0}$

$$\Rightarrow \psi(x) = \begin{cases} -\frac{q N_D}{2 \epsilon_s} (x - l_n)^2 + V_{n0} & (0 \leq x \leq l_n) \\ \frac{q N_A}{2 \epsilon_s} (x + l_p)^2 + \psi_{p0} & (-l_p \leq x \leq 0) \end{cases}$$



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it comes for the electric field  $E = - \frac{dV}{dx}$ .

$$\Rightarrow E(x) = \begin{cases} \frac{q N_D}{\epsilon_s} (x - l_n) & (0 \leq x \leq l_n) \\ -\frac{q N_A}{\epsilon_s} (x + l_p) & (-l_p \leq x \leq 0) \end{cases}$$

• ~~the~~ continuity of  $E(x)$  at  $x=0 \Rightarrow \boxed{N_D l_n = N_A l_p}$   
charge neutrality equation.

• Continuity of the potential at  $x=0$

$$\Rightarrow 0 = V_{p0} - V_{n0} + \frac{q N_A}{2 \epsilon_s} l_p^2 + \frac{q N_D}{2 \epsilon_s} l_n^2$$

with (\*)

$$\Rightarrow 0 = V_{p0} - V_{n0} + \frac{q N_A}{2 \epsilon_s} \frac{N_D^2 l_n^2}{N_A^2} + \frac{q N_D}{2 \epsilon_s} l_n^2$$

we know that

$$V_0 = V_{p0} - V_{n0} = \frac{q N_A N_D^2 l_n^2}{2 \epsilon_s N_A^2} + \frac{q N_D}{2 \epsilon_s} l_n^2 \left( = \frac{k_B T}{q} \frac{l_n}{l_p} \frac{N_D}{N_A} \right)$$

$$\Rightarrow \begin{cases} l_n = \sqrt{\frac{2 \epsilon_s V_0 N_A}{q N_D (N_A + N_D)}} \\ l_p = \sqrt{\frac{2 \epsilon_s V_0 N_D}{q N_A (N_A + N_D)}} \end{cases}$$

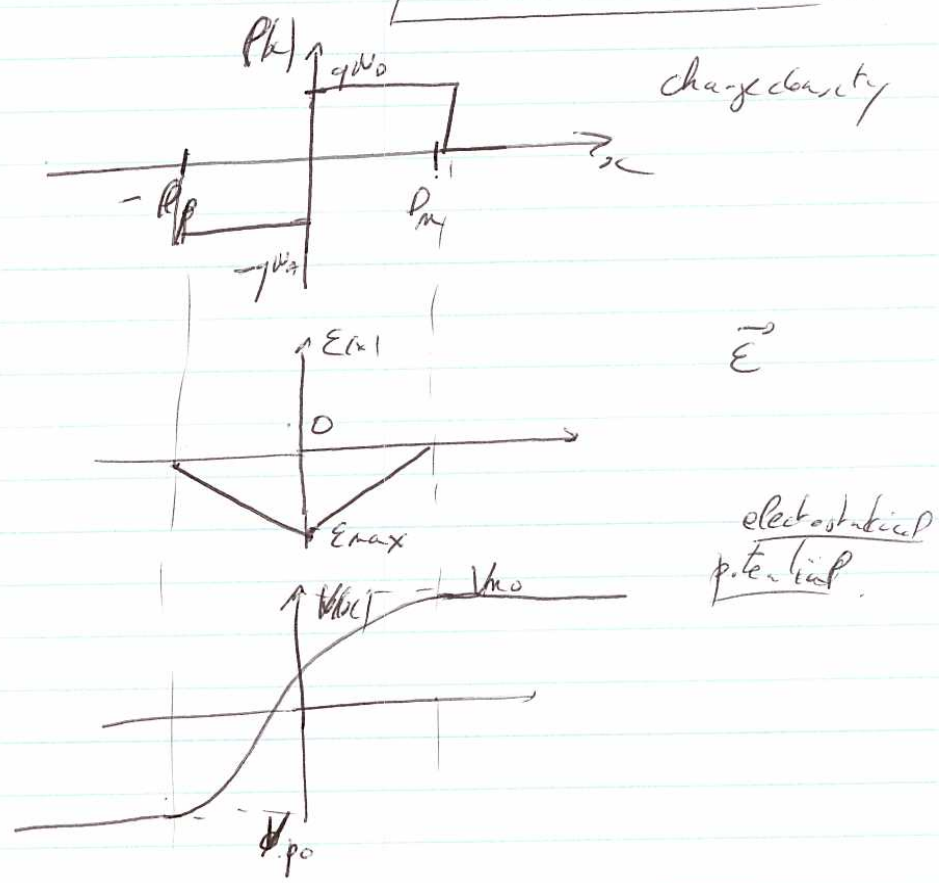
width of the depletion region

$$W = p_n + p_p = \sqrt{\frac{2\epsilon_s (N_A + N_D) V_0}{q N_A N_D}}$$

\* Maximum electric field at  $x=0$

$$\Rightarrow E_{max} = -q \frac{N_A}{\epsilon_s} p_p = -q \frac{N_D}{\epsilon_s} p_n$$

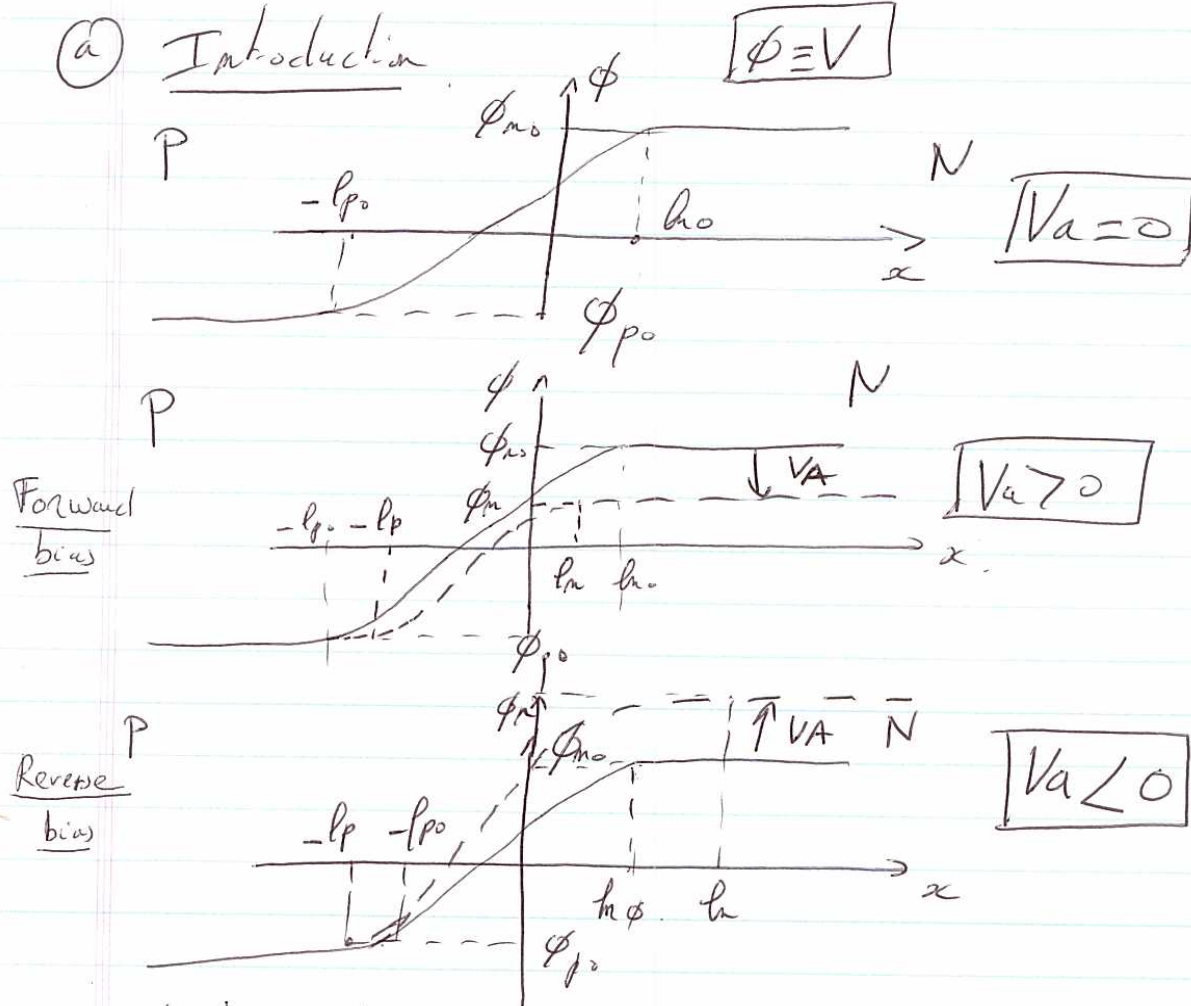
Summary



### ③ Biased Junction

an external bias,  $V_a$ , is now applied to the junction we want to obtain the current-voltage characteristics.

#### ① Introduction



Assumption Potential drops across the quasi-neutral regions are negligible (small current)  $\Rightarrow$  potential drops across the transition region.

$$\begin{aligned} \phi &= \phi_N - \phi_P \\ \phi &= \phi_0 - V_a \end{aligned}$$



we get  $J_n$ ,  $I_n$  and  $I_p$  (using previous expressions where we replace  $\phi_0 \rightarrow \phi_0 - V_A$ ).

$$\Rightarrow I_p = \sqrt{\frac{2\epsilon (\phi_0 - V_A) N_d}{q N_A (N_A + N_d)}} \quad I_n = \sqrt{\frac{2\epsilon (\phi_0 - V_A) N_A}{q N_d (N_d + N_A)}}$$

$$W = I_n + I_p = \sqrt{\frac{2\epsilon (\phi_0 - V_A) (N_A + N_d)}{q N_A N_d}}$$

Note:  $W \nearrow$  if  $V_A < 0$   
 ~~$W$~~   $W \searrow$  if  $V_A > 0$

~~\*\*\*~~

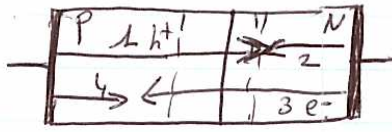
\* Forward bias

Diffusion and electric field (drift) forces are no longer equal and of opposite sign.

$\Rightarrow$  Non-equilibrium situation where Diffusion process  $>$  drift phenomena.

$\Rightarrow e^-$  can flow from N  $\rightarrow$  P  
holes can flow from P  $\rightarrow$  N.

These carriers diffuse into N or P-type region with an average distance "diffusion length" before they start recombining with majority carriers.



1: hole injected from P → N. 2: e<sup>-</sup> recombining.  
 3: e<sup>-</sup> injected from N → P, 4: hole recombining.

[ current 1 == current 2 ]  
 [ current 3 == current 4 ]

\* Reverse Bias

The electric field in the depletion region → and the associated drift current > diffusion current

The magnitude of the resulting net current is very small.  
 (few e<sup>-</sup> in the P region, few h<sup>+</sup> in the N region).

⇒ [ P-N junction behave like a diode rectifying current flow. ]

\* The derivation of current-voltage characteristics will make use of currents 1 and 3 (minority carrier injection).

The total current is equal to the sum of these two currents.

## (b) Ideal diode

No G/R in the depletion region

Let us make the following simplifying assumptions:

- (1) Low-level injection = the concentration of free carriers injected in a quasi-neutral region is low compared to the charge of the majority carriers while solving the Poisson equation.
- (2) The Boltzmann relationships are valid in the quasi-neutral region as well as in the transition region.
- (3) Current flow in the quasi-neutral region is due to a diffusion mechanism. (no electric field in these region).
- (4) The quasi-neutral regions of the diode are infinitely long

### ⇒ (1) Low-level injection:

excess concentration of minority carriers are

$p'_n(x)$  in the N-type <sup>quasi-neutral region</sup>  $p'_n(x) = p_n(x) - p_{n0}$

$n'_p(x)$  in the P-type region  $n'_p(x) = n_p(x) - n_{p0}$

$p'_n(x) \ll n_{n0}$  (charge of majority carriers).

$n'_p(x) \ll p_{p0}$  ( " )





we know that  $V_0 = \frac{1}{q\beta} \ln\left(\frac{N_A N_D}{n_i^2}\right) = \frac{1}{q\beta} \ln\left(\frac{p_{p0} n_{n0}}{n_i^2}\right)$

we get ~~finally~~

$$n_p(-l_p) = \frac{n_i^2}{p_{p0}} \exp[q\beta V_A]$$

Since  $n_{p0} p_{p0} = n_i^2$

$$\Rightarrow n_p(-l_p) = n_{p0} \exp[q\beta V_A]$$

Similarly,

$$p_n(l_n) = p_{n0} \exp(q\beta V_A)$$

So excess of  $e^-$  at the P-side edge of the transition region  
 $n_p'(-l_p) = n_{p0} [\exp(qV_A/\beta) - 1]$

and excess of holes

$$p_n'(l_n) = p_{n0} [\exp(qV_A/\beta) - 1]$$

$\Rightarrow$  (3)  $\vec{E} = 0$  in the quasi neutral region.

$$\Rightarrow J_p = -q D_p \frac{dp}{dx} \quad \text{N-type quasi-neutral region}$$

$$J_n = q D_n \frac{dn}{dx} \quad \text{P-type quasi-neutral region}$$

[we need first to derive  $p$  and  $n$ ]



Using the continuity equation (no external source)

$$\frac{\partial p_m}{\partial t} = -\frac{1}{\gamma} \frac{\partial J_p}{\partial x} - \frac{p_m - p_{m0}}{\tau_p}$$

If we replace  $J_p$ , we get.

$$\frac{\partial p_m}{\partial t} = D_p \frac{\partial^2 p_m}{\partial x^2} - \frac{p_m - p_{m0}}{\tau_p} \stackrel{\text{steady state conditions}}{=} 0$$

$$\Rightarrow D_p \frac{\partial^2 p_m}{\partial x^2} = \frac{p_m - p_{m0}}{\tau_p}$$

$$\Rightarrow \frac{\partial^2 p_m}{\partial x^2} - \frac{1}{D_p \tau_p} (p_m - p_{m0}) = 0$$

$$p_m(x) = p_{m0} + A \exp(-x/L_p) + B \exp(x/L_p) \quad \boxed{L_p = \sqrt{D_p \tau_p}}$$

diffusion length.

Similarly

$$m_p(x) = m_{p0} + A \exp(-x/L_m) + B \exp(x/L_m) \quad \boxed{L_m = \sqrt{D_m \tau_m}}$$

→ (4) quasi-neutral regions are infinitely long  $\Rightarrow$  "long-base diode"

$p_m(\infty) = p_{m0}$  (Boundary condition due to thermodynamic equilibrium for far from junction)

$\Rightarrow B = 0$

$$p_m(l_m) = p_{m0} \exp[qVA_p] = p_{m0} + A \exp\left(\frac{-l_m}{L_p}\right)$$

$$\Rightarrow A = p_{m0} \left[ \exp(qVA_p) - 1 \right] \exp\left(\frac{l_m}{L_p}\right)$$

we get finally for the holes

$$p_n(x) = p_{m0} + p_{m0} \left[ \exp(qVA_p) - 1 \right] \exp\left(\frac{-(x-l_m)}{L_p}\right)$$

in the N-Region  $x > l_n$

So

$$J_p = -qD_p \frac{dp_n}{dx} = q \frac{D_p p_{m0}}{L_p} \exp\left(\frac{-(x-l_m)}{L_p}\right) \left[ \exp(qVA_p) - 1 \right]$$

Similarly in the P-region  $x < -l_p$

$$J_n = qD_n \frac{dn_p}{dx} = q \frac{D_n n_{p0}}{L_n} \exp\left(\frac{(x+l_p)}{L_n}\right) \left[ \exp(qVA_p) - 1 \right]$$

Remarks:

These currents depend on  $x$ , for example  $J_p(x) \rightarrow$  if  $x \uparrow$ . This is due because of the recombination process. Here the hole current is transformed into an  $e^-$  current (via recombination). So, the total current is independent of  $x$ .

The cte potential can be obtained using our assumption that there is no G/R in the depletion region. we can evaluate these current at a position in which they have not yet started to decay: at  $x = -l_n, -l_p$ .

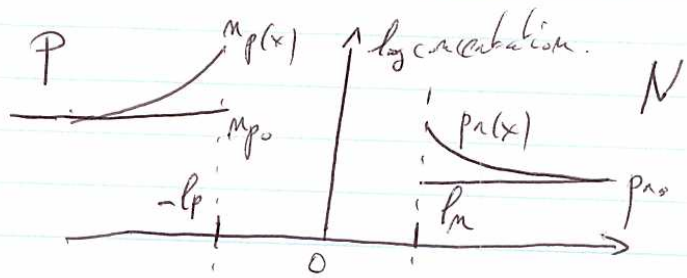
⇒ The total current is then given by:

$$J_{total} = J_p(l_n) + J_n(-l_p) = \left[ \frac{q D_n n_{p0}}{L_n} + \frac{q D_p p_{n0}}{L_p} \right] \exp[q\beta V_A]$$

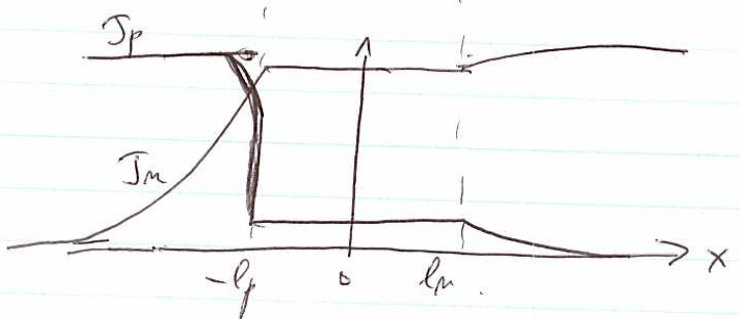
$$J_{total} = J_s \left( \exp[q\beta V_A] - 1 \right) \Rightarrow \text{Shockley's equation}$$

⇒ with  $J_s$  the 'saturation current density'.

carrier concentration



Current



Remark if  $V_A < 0$ , the total current is  $I_S$  independent of  $V_A$ ,  
and the electric field in the structure -

### ③ Deviation from ideality

G/R in the depletion region; these processes  
are proportional to  $n_p - n_i^2$ .

if the external bias is applied, excess carriers are injected  
( $V_A > 0$ ) or extracted ( $V_A < 0$ ) from the transition region -

so  $g_m \neq n_i^2$

We consider two quasi Fermi-levels,  $E_{Fn}$  for  $e^-$  and  $E_{Fp}$   
for holes.

According to class notes p62:

we get

$$g_m = n_i^2 \exp\left[\frac{E_{Fn} - E_{Fp}}{k_B T}\right] = n_i^2 \exp\left[\frac{qV_A}{k_B T}\right]$$

since  $E_{Fn} - E_{Fp} = qV_A$

we know that the SRH G/R rate is

$$U = \frac{g_m - n_i^2}{Z_0(p+n+2n_i \cosh\left[\frac{E_t - E_i}{k_B T}\right])}$$

$$Z_0(p+n+2n_i \cosh\left[\frac{E_t - E_i}{k_B T}\right])$$



\* we assume that only only traps at energy  $E_T = E_i$  contribute effectively to the G/R processes.

⇒ it comes (using the two last equations)

$$U \approx \frac{m_i^2 [\exp(q\beta V_A) - 1]}{z_0(p + m + 2m_i)}$$

using the continuity equation in steady state

$$\frac{dJ_m}{dx} = -\frac{dJ_p}{dx} = qU(x)$$

so far we have calculated  $J_m(-l_p)$  that we ~~supposed~~ <sup>assumed</sup> equal to  $J_m(l_m)$ . since no G/R.

we want now to evaluate the influence of G/R:

$$\int_{-l_p}^{l_m} \frac{dJ_m}{dx} dx = \int_{-l_p}^{l_m} qU(x) dx$$

$$\Rightarrow J_m(l_m) = J_m(-l_p) + q \int_{-l_p}^{l_m} U(x) dx$$

the net current is then

$$J = J_p(l_m) + J_m(l_m) = J_p(l_m) + J_m(-l_p) + J_{GR} \\ = J_s [\exp(q\beta V_A) - 1] + J_{GR}$$



we want to evaluate JGR (corrected to the Schrockly term) using a simple approximation.

JGR will be dominated by a position where  $p+n$  is a minimum; Also  $pn$  is constant =

$$\left. \begin{aligned} \text{we get } d/p+n=0 &\Rightarrow dp = -dn. \\ \text{and } pn = c^2 &\Rightarrow d(pn) = 0 \quad n dp + p dn = 0 \end{aligned} \right\}$$

it comes  $p=n$

$$\text{so } \boxed{n=p = n_i \exp\left(\frac{qV_A p}{2}\right)}$$

$$\Rightarrow U = \frac{n_i \left[ \exp\left(\frac{qV_A p}{2}\right) - 1 \right]}{2\tau_0} = U_{\max}$$

assuming that GPR take this value  $U_{\max}$  over the entire transition region [not correct - we overestimate the effect here!]

$$\Rightarrow JGR \approx q U_{\max} (l_n + l_p) \quad \boxed{W = l_n + l_p}$$

$$J_{tot} \approx J_s \left[ \exp\left(\frac{qV_A p}{2}\right) - 1 \right] + q W \frac{n_i}{2\tau_0} \left[ \exp\left(\frac{qV_A p}{2}\right) - 1 \right]$$

if  $V_A > 0$  but small, the J<sub>r</sub>/I<sub>0</sub> is larger than the diffusion current.  
 if  $V_A < 0$  J<sub>r</sub>/I<sub>0</sub> adds to the reverse current.

if  $V_A > 0$       Small bias

the I-V follows  
 $\exp(qV_A/2kT)$  law

⇒ Characteristics of a recombination dominated current

high bias

I-V follows  
 $\exp(qV_A/kT)$

⇒ diffusion current takes over and completely overshadows the recombination current

if  $V_A < 0$

$$p_m = n_i^2 \exp\left(\frac{qV_A}{kT}\right) < n_i^2$$

∴ generation current can be observed in the reverse bias.

(d) Junction Breakdown

I-V characteristics

if  $V_A < 0$  strongly reversed biased,  $\vec{E}$  near the metallurgical junction can reach high value. Carriers accelerated in that field can accumulate enough kinetic energy that they can, through a collision process, generate e<sup>-</sup>-hole pairs through impact ionization.

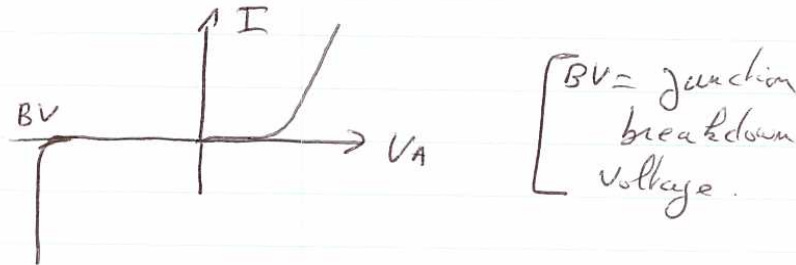
## "Impact ionization"

When an  $e^-$  is accelerated to high  $E$ , its kinetic energy can be equal or larger than the bandgap  $E_g$ .

That energy can be released through a collision event while creating  $e^-$ -hole pair. So instead of having 1  $e^-$  at high energy, we have 2 free  $e^-$  + 1 hole. We call it generation "by impact ionization".

$\Rightarrow$  avalanche multiplication phenomenon.

In the P-N junction a sudden increase of current is observed  $\Rightarrow$  breakdown.



### Remark:

If N-type and P-type regions are heavily doped,

the width of the depletion region is very small, and  $e^-$  can directly tunnel from P-type valence into N-type conduction band.

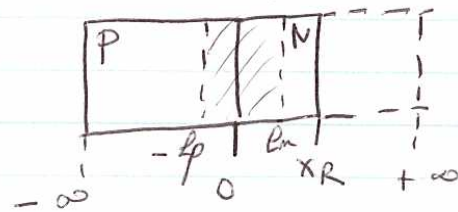
These diodes are called "Zener diodes" where can be accurately controlled while adjusting concentration. Zener diodes are then used as voltage reference.

## © Shab-base diode

previously we assume that the length of the P and N quasi-neutral region  $\gg$  diffusion length of the minority carriers

The term 'shab base' comes from bipolar transistors that consist in two P-N junctions PNP or NPN, and the central region is called 'base'.

Consider ~~a~~ a P-type region  $\gg L_n$   
a N-type region 'shab base' compared to  $L_p$ .



The previous assumption  $p_n(x \rightarrow \infty) = p_{n0}$  must be replaced by  $p_n(x_R) = p_{n0}$ .

In addition the minority holes will not be able to recombine in the base  $\Rightarrow J_p = \text{cte. (constant)}$

$$J_p = -q D_p \frac{dp}{dx} \Rightarrow p(x) = -\frac{J_p x}{q D_p} + B \quad (\text{linear function})$$

$$\text{at } x = L_n \quad p(x = L_n) = p_{n0} \exp(q V_A / \beta)$$

$$\Rightarrow B = p_{n0} \exp(q V_A / \beta) + \frac{J_p L_n}{q D_p}$$



\*  $A B_0$ 

$$p(x_R) = p_{n0} = -\frac{J_{pR}}{qD_p} + p_{n0} \exp\left(\frac{qV_A}{\beta}\right) + \frac{J_p \ln}{qD_p}$$

$$\Rightarrow \boxed{J_p = \frac{qD_p p_{n0}}{x_R - \ln} \left[ \exp\left(\frac{qV_A}{\beta}\right) - 1 \right]}$$

#### ④ PN junction Capacitance

So far we considered only steady state characteristics. In practical application, it is important to know how quickly the device can adjust to a new bias condition (transient effects).

Capacitance calculation will help to estimate this 'time response' of the device.

Capacitance is measure of charge stored per unit change of voltage  $\Rightarrow$  if capacitance is large, this means more charge must be moved in or out, so that for a fixed current  $\Rightarrow$  more time is needed to complete the process.

In P-N junction two major capacitance =

(i) capacitance associated with the charge which must be moved in or out of the depletion region.

$\Rightarrow$  depletion capacitance.

(ii) "diffusion capacitance" under forward bias. due to minority carriers.



(1) depletion capacitance

we know that

$$l_p = \sqrt{\frac{2\epsilon (V_0 - V_A) N_d}{q N_A (N_A + N_D)}}$$

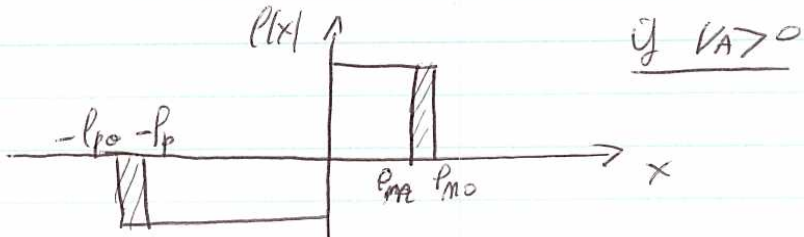
$$l_n = \sqrt{\frac{2\epsilon (V_0 - V_A) N_A}{q N_d (N_A + N_D)}}$$

The charge of the fixed, ionized doping impurities in each depletion region is  $\pm$  absolute value

$$Q = A q N_d l_n = A q N_A l_p$$

in Coulomb.

[A = cross-section of the junction.]



capacitance is  $C_T = \left| \frac{dQ}{dV_A} \right| = A q N_d \left| \frac{dl_n}{dV_A} \right|$

$$\Rightarrow C_T = A \frac{\epsilon}{l_n + l_p} = \left[ \text{Capacitance of parallel plate capacitor with a dielectric of permittivity } \epsilon \right]$$

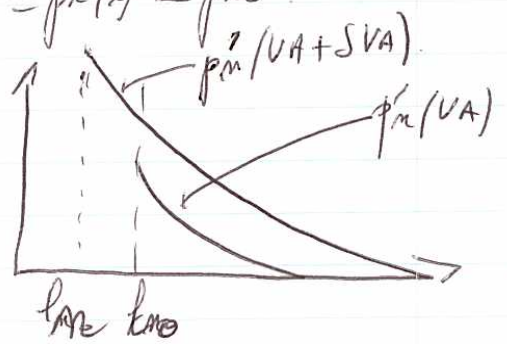
(ii) Diffusion capacitance

hole concentration in the N-type region

$$p_n(x) = p_{n0} + p_{n0} [\exp(qVA/\beta) - 1] \exp\left(\frac{-x - l_n}{L_p}\right)$$

excess concentration

$$p_n'(x) = p_n(x) - p_{n0}$$



$$\int_{-\infty}^{\infty} e^{-x} dx$$

$$Q = q \int_{l_n}^{\infty} p_n'(x) = q p_{n0} [\exp(qVA/\beta) - 1] \times L_p$$

$$\boxed{C_D = \frac{dQ}{dVA} = \beta q^2 L_p p_{n0} \exp(qVA/\beta)}$$

$$A B_0 \quad \boxed{C_{Dp} = \beta q z_p J_p / h_p}$$

Similarly  $C_{Dn} = \beta q z_n J_n / (-h_p)$

$$\Rightarrow \boxed{C_D = C_{Dp} + C_{Dn} = \beta q [z_p J_p / h_p + z_n J_n / (-h_p)]}$$

# II Metal-semiconductor contacts

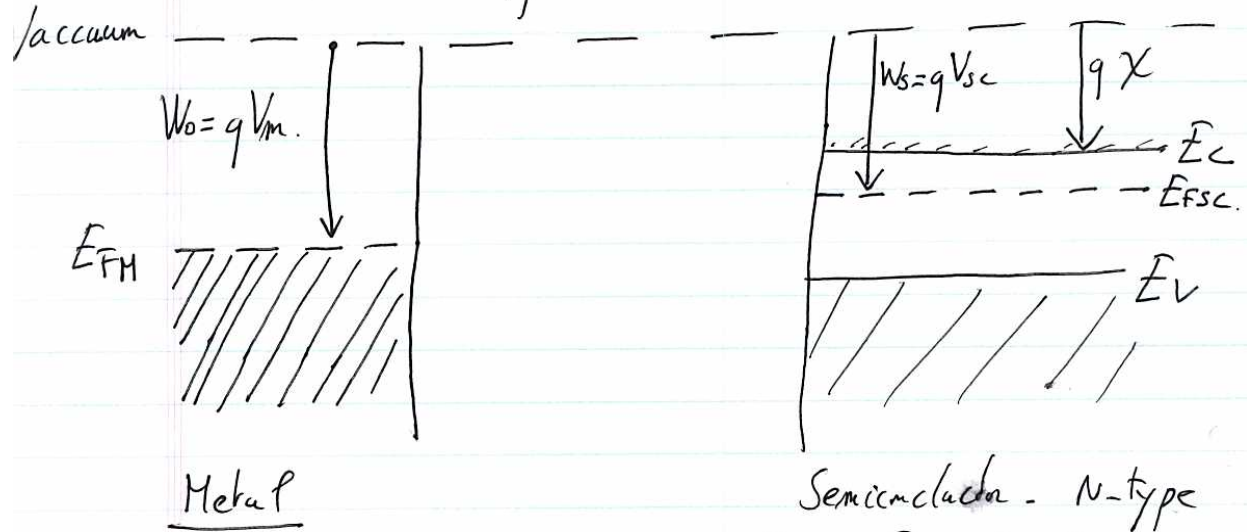
2 different types of metal-semiconductor contacts:

- (i) a Schottky contact = non-linear, rectifying current voltage characteristics.
- (ii) an Ohmic contact = linear, non-rectifying

## (I) Schottky diode

### (a) Unbiased junction

consider a N-type semiconductor and a metal.



$E_{FM}$  = Fermi-level of the metal.  
 $W_0$  = work function energy required to extract an  $e^-$  at  $E_{FM}$

$E_{Fsc}$  = Fermi level  
 $W_s$  = work-function.  
 $qX = e^-$  affinity  
 $\Rightarrow$  energy required to extract an  $e^-$  from the CB to vacuum. (energy of  $e^-$  in CB  $\sim E_C$ ).

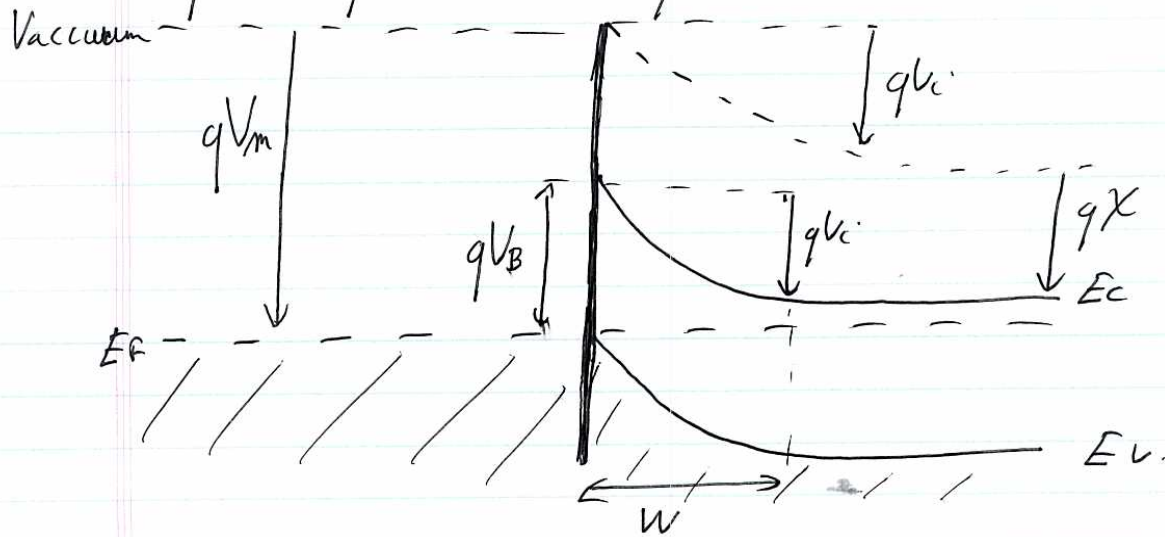
We consider  $E_{Fn} < E_{Fsc}$ .

\* Since  $E_c > E_{Fn}$ ,  $e^-$  flow from the semiconductor to the metal until a new equilibrium will be reached.  $e^-$  leave behind positively charged donor atoms and a depletion region is formed in the semiconductor. (Wirklichter)

Because of the alignment of the Fermi-levels  $\Rightarrow$

we get a band curvature  $qV_i = q(V_m - V_{sc})$  which correspond to a potential barrier preventing further  $e^-$  to migrate into the metal.  $E^-$  in the metal see a potential barrier  $V_b$ .

$$qV_b = q(V_m - X) = qV_i + (E_c - E_{Fn})$$





$qV_i, qV_B \sqrt{\text{much}}$  larger than  $\frac{k_B T}{q}$ , only few  $e^-$  can overcome them at room temperature. the bulk current of  $e^-$  migrating from the semiconductor to the metal is  $I_{m \rightarrow s}$  (negative charge).

At thermodynamic equilibrium  $I_{m \rightarrow s} = -I_{s \rightarrow m}$ .

(b) biased junction

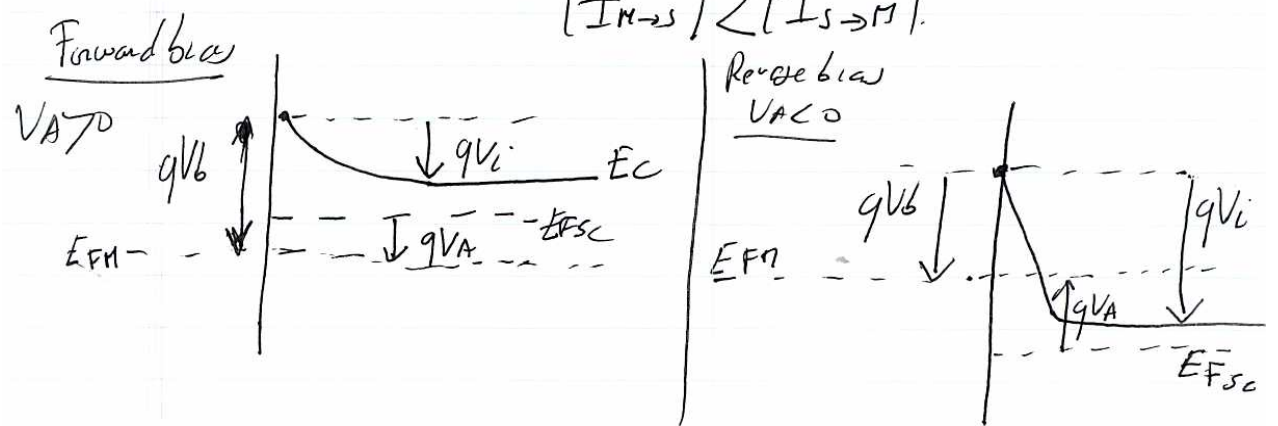
\* if a forward bias  $V_A > 0$  is applied to the junction

$V_i \rightarrow V_i - V_A$   
 more  $e^-$  can flow from the semiconductor to the metal. however  $I_{s \rightarrow m}$  remains constant since  $V_B$  is unchanged

\* if Reverse bias  $V_A < 0$  is applied to the junction.

$V_i \rightarrow V_i - V_A$   
 the electron flow from the semiconductor to the metal is reduced.  $I_{s \rightarrow m}$  remains unchanged

$|I_{m \rightarrow s}| < |I_{s \rightarrow m}|$





The width of the depletion zone can be calculated using the Poisson equation + depletion approximation.

$$-\frac{d^2 V(x)}{dx^2} = \frac{\rho}{\epsilon} = + \frac{qNd}{\epsilon}$$

at  $x=W$   $V(W)=0$  and  $\frac{dV(W)}{dx} = 0$ .

$$\Rightarrow + \frac{dV(x)}{dx} = \frac{qNd}{\epsilon} (W-x)$$

$$V(x) = -\frac{qNd}{2\epsilon} (W-x)^2$$

at  $x=0$   $V(0) = V_i - V_A$ .

$$\Rightarrow -(V_i - V_A) = -\frac{qNd}{2\epsilon} W^2$$

$$\Rightarrow W = \sqrt{\frac{2\epsilon}{qNd} (V_i - V_A)}$$

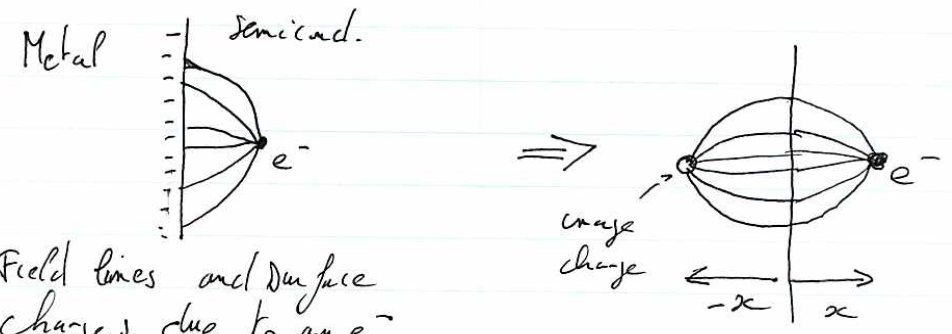
$$\Rightarrow E(0) = -\frac{qNd}{\epsilon} W = -\sqrt{\frac{2qNd}{\epsilon} (V_i - V_A)}$$

$$E(x) = -\frac{qNd}{\epsilon} (W-x)$$

© Schottky effect

A lowering of  $V_b$  is observed due to a mirror charge produced in the metal by  $e^-$  in the semiconductor.

From Electrostatics, we know that when a charge is near a "perfect conductor", a mirror charge of same magnitude but opposite sign is created inside the conductor.



Field lines and surface charges due to an  $e^-$  in close proximity to a perfect conductor

electrostatics force between 2 particles  $\Rightarrow$

$$F(x) = \frac{-q^2}{4\pi\epsilon(2x)^2}$$

$$F = qE$$

$$E = -\nabla V$$

$$U = -qV$$

$$E = \frac{\nabla U}{q} \Rightarrow F = -\nabla U \Rightarrow U = -\frac{q^2}{16\pi\epsilon x}$$

~~potential energy  $\int_{-\infty}^{\infty} F dx$~~

~~energy of  $e^-$  in the conductor band the potential  $U = -\frac{q^2 N d}{2\epsilon} + E_s$~~

For an  $e^-$  in the CB, potential energy is  $-q \frac{N_d (W-x)^2}{2\epsilon}$  (3)

To simplify the expression, we suppose that the electric field in the depletion region is cte  $= E_{max}$

potential energy for the  $e^-$   $\Rightarrow$  ~~linear~~  $-q E_{max} x$  (linear).



So total potential energy (from the donor charge and the depletion region).

$$U(x) = -q E_{max} x + \frac{q^2}{16\pi\epsilon} x^2$$

The maximum potential at  $x = x_{max} \Rightarrow \frac{dU}{dx} = 0$

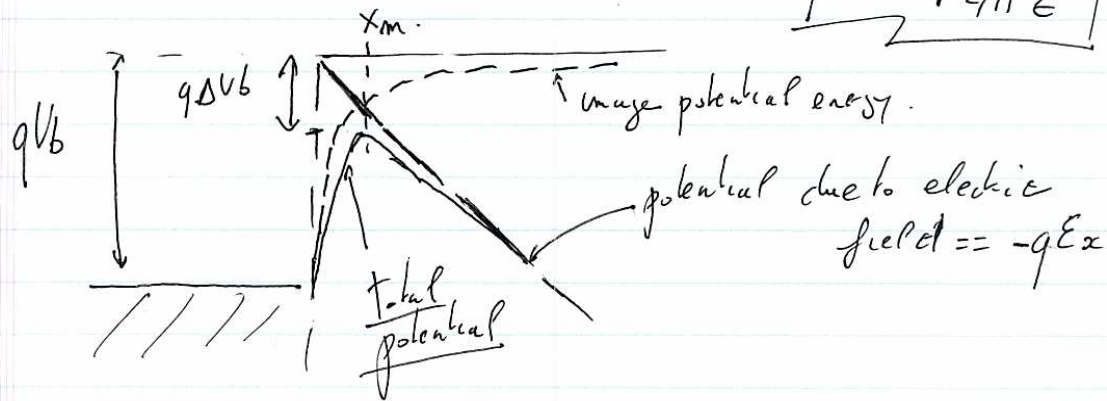
$$\Rightarrow x_{max} = \sqrt{\frac{q}{16\pi\epsilon E_{max}}}$$

potential due to image charge

$$-q \Delta V_B = -q \sqrt{\frac{q E_{max}}{4\pi\epsilon}} < 0$$

$\Rightarrow \Delta V_B$  is the barrier height reduction

$$\Delta V_B = \sqrt{\frac{q E_{max}}{4\pi\epsilon}}$$



## I-V characteristics of Schottky diode

The current across a M-SC junction is mainly due to majority carriers.

3 different mechanisms exist:

- (i) diffusion of carriers from the SC  $\rightarrow$  M  
 "assumes that the driving force is distributed over the length of the depletion region".
- (ii) Thermionic emission of carriers across the Schottky barrier  
 "only energetic carriers, which energy  $\geq$  CB energy at the interface, contribute to the current".
- (iii) Quantum mechanical tunneling through the barrier.  
 "wave nature of the  $e^-$ ".

The analysis reveals that the diffusion and thermionic currents can be written in the following form:

$$J_n = q v \underbrace{n_0 \exp(-q\beta V_B)}_{\text{density of available carriers, located next to the interface}} \left[ \exp(q\beta V_a) - 1 \right]$$

$v = \mu E$  for the diffusion current  
 $v = v_R$  Richardson velocity for thermionic current

$\Rightarrow$  if no applied voltage  $J_n = 0$ .

for the tunneling current  $J_n = q v_R n_0 \theta$   
 $\theta$  is the tunneling probability term..



a) Diffusion current

we assume that the depletion layer  $w$  is large compared to the mean free path  $\Rightarrow$  concept of drift-diffusion are valid.

$$J_m = q \left( \mu_n n E + D_n \frac{dn}{dx} \right) \text{ also equal to}$$

$$J_m \exp(-V/q\beta) = q D_n \left( -q\beta n \frac{dV}{dx} + \frac{dn}{dx} \right) \exp(-q\beta V)$$

in steady state ~~integration~~  $\Rightarrow J_m$  does not depend on  $x$

$$\Rightarrow J_m = \frac{q D_n [n \exp(-q\beta V)]_0^w}{\int_0^w \exp(-q\beta V) dx}$$

Using the following B.C

$x$	$n(x)$	$V(x)$
0	$N_c \exp(-q\beta V)$	$-(V_i - V_A)$
$w$	$N_d = N_c \exp(-q\beta V_B) \exp(+q\beta V_i)$	0

$$\Rightarrow J_m = \frac{q D_n N_c \exp(-q\beta V_B) [\exp(q\beta V_A) - 1]}{\int_0^w \exp(-q\beta V^*) dx}$$

where  $(V^* = V + V_i - V_A)$

The denominator can be derived using the potential obtained from the full depletion approximation.

$$V = \frac{-qNd}{2\epsilon} |x-w|^2$$

so  $V^* = V - V_0 = -\frac{qNd}{2\epsilon} [x^2 + w^2 - 2xw] + w^2 = \frac{qNd}{\epsilon} x(w - \frac{x}{2})$

$V^* \approx \frac{qNd}{\epsilon} xw$  since the linear term is dominant if  $x \ll w$ .

$$V^* = (V_i - V_A) \frac{2x}{w}$$

$$\begin{aligned} \Rightarrow \int_0^w \exp(-V^*/q\beta) dx &= \int_0^w \exp(-\frac{q\beta(V_i - V_A)2x}{w}) dx \\ &= -\frac{w}{2(V_i - V_A)q\beta} [\exp(-q\beta V^*)]_0^w \\ &= -\frac{w}{2(V_i - V_A)q\beta} [\exp(-\frac{2q\beta(V_i - V_A)}{w}) - 1] \\ &\approx \frac{w}{2(V_i - V_A)q\beta} = \frac{1}{q\beta} \sqrt{\frac{\epsilon}{2qNd/(V_i - V_A)}} \end{aligned}$$

$$J_m = q\beta D_m N_c \sqrt{\frac{2qNd/(V_i - V_A)}{\epsilon}} \exp(-V_B/q\beta) [\exp(q\beta V_A) - 1]$$

we can also write "physically"  
 $J_m = q\mu_m |E_{max}| N_c \exp(-q\beta V_B) [\exp(q\beta V_A) - 1]$   
 Drift current at the interface, if  $V_A = 0$  because the diffusion current

(b) Thermionic current

the thermionic emission theory assumes that  $e^-$  which have an energy larger than the top of the barrier will cross it if they move toward. ~~the barrier~~.  
the actual shape of the barrier is ignored.

$$J = \int_{E_c(x=\infty) + qV_i}^{\infty} q v_x \frac{dn}{dE} dE \quad \left[ \frac{dn}{dE} = n(E) \right]$$

For non-degenerate semiconductor, the density of  $e^-$  between  $E$  and  $E+dE$  is given by =

$$\left( \frac{dn}{dE} = g_c(E) F(E) = \frac{4\pi(2m^*)^{3/2}}{h^3} (E-E_c)^{1/2} \exp\left[-\frac{E-E_{F_n}}{k_B T}\right] \right)$$

if parabolic CB with  $m^*$  constant

$$\Rightarrow \left( \begin{aligned} E-E_c &= \frac{m^* v^2}{2} & dE &= m^* v dv \\ \sqrt{E-E_c} &= v \sqrt{\frac{m^*}{2}} \end{aligned} \right)$$

$$\left[ \frac{dn}{dE} dE = 2 \left( \frac{m^*}{h} \right)^3 \exp\left[-\frac{E_c(x=\infty) - E_{F_n}}{k_B T}\right] \exp\left(-\frac{m^* v^2}{2k_B T}\right) 4\pi v^2 dv \right]$$

if we replace  $v^2$  by  $v_x^2 + v_y^2 + v_z^2$  and  $4\pi v^2 dv$  by  $dv_x dv_y dv_z$ .

$$\Rightarrow J = 2 \left( \frac{m^*}{h} \right)^3 \int_{-\infty}^{\infty} \exp\left(-\frac{m^* v_y^2}{2k_B T}\right) dv_y \int_{-\infty}^{\infty} \exp\left(-\frac{m^* v_z^2}{2k_B T}\right) dv_z \int_{-v_{ox}}^{\infty} q v_x \exp\left(-\frac{m^* v_x^2}{2k_B T}\right) dv_x \exp\left[-\frac{E_c - E_{F_n}}{k_B T}\right]$$

Setting  $v_{ox}$  = the minimal velocity in the quasi-neutral region that is needed to cross the barrier

$$\text{Using } \int_{-\infty}^{+\infty} \exp\left(-\frac{m^* v_y^2}{2k_B T}\right) dv_y = \int_{-\infty}^{+\infty} \exp\left(-\frac{m^* v_z^2}{2k_B T}\right) dv_z = \sqrt{\frac{2\pi k_B T}{m^*}}$$

$$\Rightarrow J = 2q \left(\frac{m^*}{2\pi\hbar}\right)^3 \frac{2\pi k_B T}{m^*} \exp\left[-\frac{(E_C - E_{F,N})}{k_B T}\right] \exp\left[-\frac{m^* v_{ox}^2}{2k_B T}\right] \frac{k_B T}{m^*}$$

$v_{ox}$  is obtained by setting the kinetic energy = potential across the n-type region.

$$\frac{m^* v_{ox}^2}{2} = q V_i$$

$$\text{Using } V_i = V_B - V_A - \frac{1}{q} [E_C - E_{F,N}]$$

$$\Rightarrow J_{MS} = R^* T^2 \exp[-(V_B/q)\beta] [\exp(q\beta V_A) - 1]$$

for the total current.

- 1 term is added to account for the current flowing from right to left; when  $V_A = 0 \Rightarrow J_{ns} = -J_{sn}$ .

$$\Rightarrow J_n = R^* T^2 \exp\left[-\frac{qV_B}{k_B T}\right] [\exp(q\beta V_A) - 1]$$

$R^*$  is the Richardson constant

$$R^* = \frac{4\pi q m^* k_B}{h^3}$$

one can also define an average velocity ~~with~~ for which the  $e^-$  at the interface approach the L.D.



This velocity is referred as the Richardson velocity

$$v_R = \sqrt{\frac{k_B T}{2\pi m}}$$

$$\Rightarrow J_m = q n k v_R \exp(-q\beta V_B) [\exp(q\beta V_A) - 1]$$

### Ⓒ Tunneling current

e<sup>-</sup> in the metal can tunnel across the Schottky barrier and enter in the semiconductor. Similarly, e<sup>-</sup> can tunnel from the SC → M.

we start from the Schrodinger equation.

$$-\frac{\hbar^2}{2m^*} \frac{d^2\psi}{dx^2} + V(x)\psi = E\psi$$

$$\Rightarrow \frac{d^2\psi}{dx^2} = \frac{2m^*(V(x)-E)}{\hbar^2} \psi$$

Assuming that V is independent of position in a section between x, x+dx (slowly varying function) we can solve it yielding =

$$\psi(x+dx) = \psi(x) \exp(\pm k dx) \quad k = \frac{\sqrt{2m^*(V(x)-E)}}{\hbar}$$

For a slowly varying function potential, the wave function at  $x=L$  can be related to  $\psi$  at  $x=0$ .

$$\psi(L) = \psi(0) \exp\left[-\int_0^L \frac{\sqrt{2m^*(V(x)-E)}}{\hbar} dx\right]$$

⇒ this equation is referred as the

WKB approximation (Wentzel, Kramers, Brillouin) 1926

we suppose a triangular barrier  $(V(x)-E) = qV_B(1 - \frac{x}{L})$

$$\text{tunneling probability } \Theta = \frac{|\psi(L)|^2}{|\psi(0)|^2} = \exp\left[-2 \int_0^L \frac{\sqrt{2m^*}}{\hbar} \sqrt{qV_B(1 - \frac{x}{L})} dx\right]$$

~~we~~ ⇒  $\Theta = \exp\left[-\frac{4}{3} \frac{\sqrt{2}m^*}{\hbar} \frac{V_B^{3/2}}{E}\right]$  where  $E = \frac{qV_B}{L}$  electric field

tunneling current is then defined by =

$$J_m = q v_F m \Theta$$

Richardson velocity → carrier density = density of available  $e^-$  multiplied with the tunneling probability.

### ③ Additional Comment for the Schottky contact

- (1) ~~Low~~ lowering barrier effect (already described) due to image charge previously.

### (a) Influence of interface states

So far, we considered "an ideal junction".

In practice, the periodic nature of the sc crystal is disturbed at the interface, which gives rise to a large number of permitted states in the bandgap of the sc near the interface.

⇒ interface states or interface traps.  
with Energy ranging from  $E_V$  to  $E_C$  and occupied by  $e^-$  if they are below of the Fermi-level.

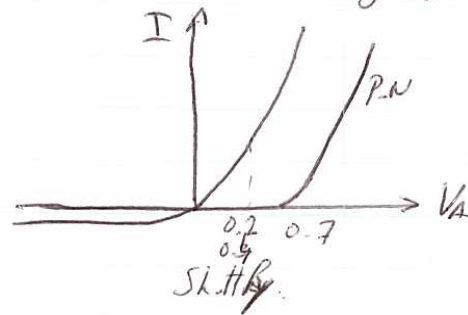


when we put the M and SC together  
 the Fermi level is 'pinned' at some particular energy  
 in the gap.  
 We do not know what pins the Fermi level (complicated physics)  
 ⇒ Schottky barrier height as to be ~~determined~~ <sup>determined</sup> experimentally at the interface

## (b) Comparison with the P-N junction.

\* Reverse bias = the saturation current of a Schottky diode is 100 to 1000 times larger than that of PN junction (~~the~~ junction accounts for a larger leakage current)

\* In forward bias = I-V characteristics show strong conduction at 0.2/0.4V compared to 0.7V for PN  
for Schottky for PN



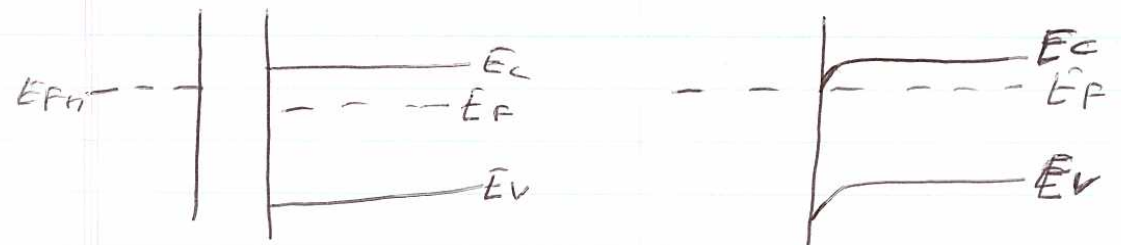
- ⇒ Schottky diodes are capable of very fast switching
- ⇒ <sup>due to</sup> majority carrier.
- ⇒ In P-N junction, device operation ~~is~~ is slowed by recombination of excess minority carriers.



# ④ Ohmic contact

it is a non-rectifying contact.  
The I-V should obey Ohm's law  $V = RI$ ,  
and R should be as low as possible.

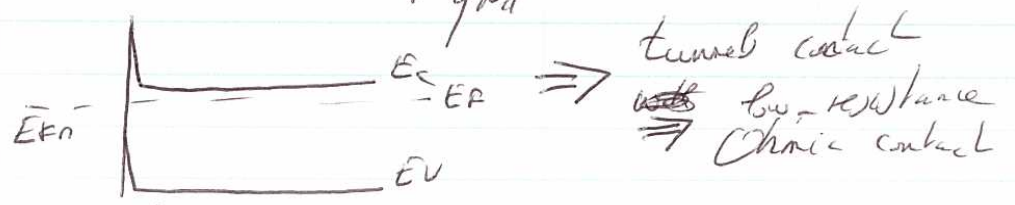
we consider M-SC contact with  $E_{Fn} > E_F$  [N-type semiconductor]



The magnitude of the band bending and its extension to the semiconductor are very small.  
⇒ there is virtually no potential barrier and  $e^-$  can flow freely through this Ohmic contact.

\* it is also possible to obtain an Ohmic contact from a Schottky diode.  $E_{Fn} < E_F$  is the impurity concentration is high enough. ( $N_d = 10^{20} \text{ cm}^{-3}$ )

since  $W/A = \sqrt{\frac{2\epsilon(V_b - V_A)}{qN_d}}$  if  $N_d \rightarrow \infty \rightarrow W \rightarrow 0$



### III

## the MOS capacitors

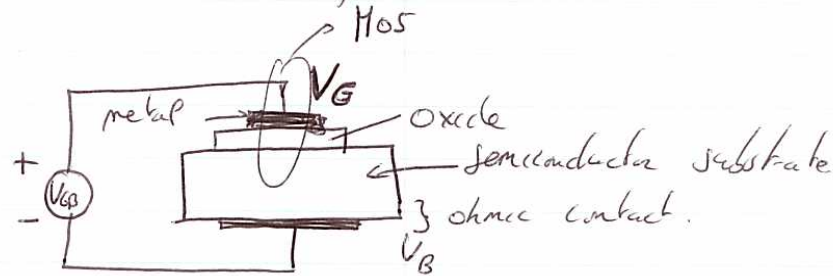
(42)

MOS = Metal - Oxide - Semiconductor.

(or MIS = Metal - Insulator - Semiconductor)

Most used device in VLSI technology [Si/SiO<sub>2</sub>]  
oxide thickness typically from 5-50 nm.  
oxide is an amorphous material

### ① Structure and principle of operation



• For a P-type substrate we talk about MOS capacitor.  
(since inverse layer contains  $e^-$ ).

• For a N-type substrate we talk about PMOS capacitor.

• we consider in the following MOS capacitor  
(p-type semiconductor)

\* 4 modes of operation,

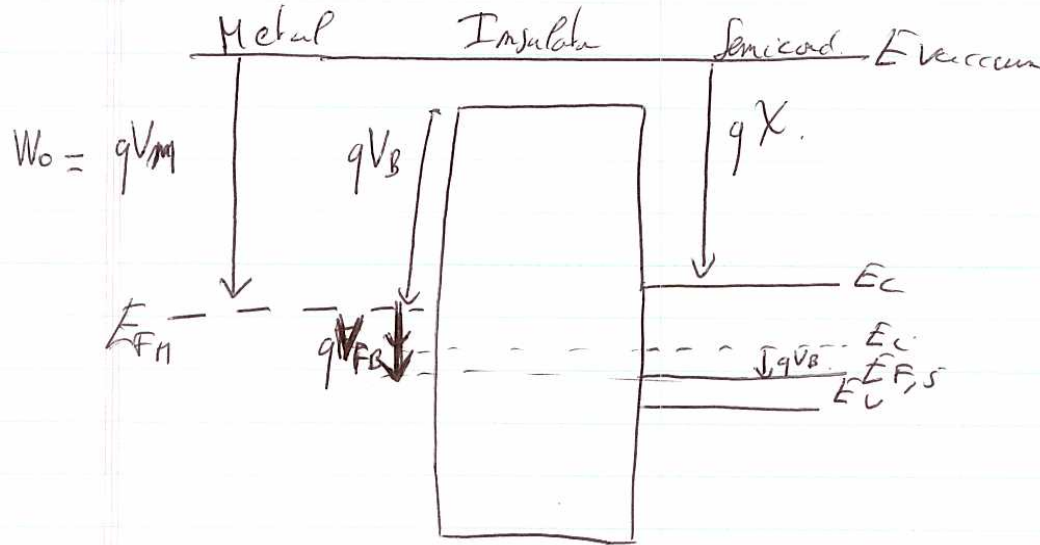
flat-band, accumulation, depletion, inversion.

(a) Unbiased junction

(43)

~~Unbiased junction band diagram~~

The energy band diagram of the semiconductor is flat.



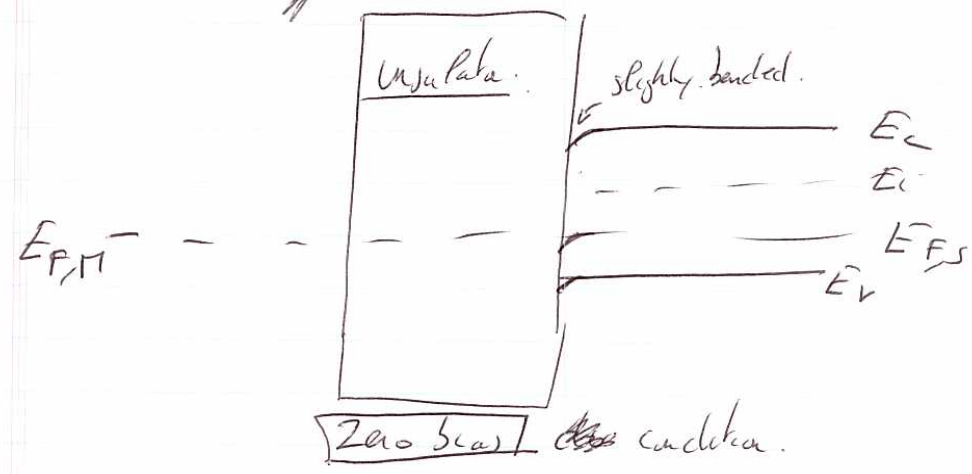
In the ideal case, charge would flow across the insulator so that  $E_{FM}$  and  $E_{FS}$  would line up and the difference,  $V_{MS} \neq$  between the metal and SC workfunctions would vanish.

$$V_{MS} = V_M - \left( X + \frac{E_g}{2} + V_B \right) = 0 \quad [\text{p-type semiconductor}]$$

In practice the ideal situation is never achieved (excessively long-time).

The application of a small bias  $V_{FB}$  is required to line-up the Fermi-level. Its value is given by the non-vanishing  $V_{MS}$ .

So the flat-band diagram is obtained when the applied gate voltage equals to the work function difference.



~~Accumulation, depletion, inversion~~

(b) Biased junction

To understand the different bias modes of an MOS capacitor, we now consider 3 different bias voltages.

These bias  $V_G < 0$ ;  $0 < V_G < V_T$ ;  $V_T < V_G$

↑  
threshold voltage

These bias regime are called = accumulation, depletion and inversion.

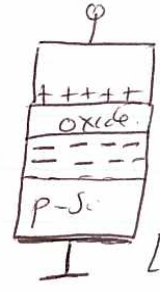


(i) accumulation



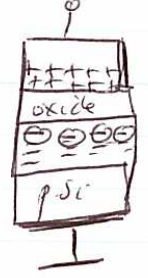
negative charge on the gate attracts holes from the substrate to the interface -

(ii) Depletion



negative charge ~~builds up~~ builds up in the semiconductor [depletion layer]

(iii) Inversion



if potential  $\uparrow$  another type of negative charges emerges at the oxide-semi interface  $\Rightarrow$  due to minority carriers ~~that~~ which form a so-called inversion layer.

this charge increases exponentially with the surface potential.

(2) Accumulation

negative bias applied to the metal gate (silicon substrate is grounded). The structure behaves like parallel-plate capacitor.



The thickness of the obtained accumulation layer is  $\sim 10 \text{ nm}$ .

Capacitance is  $C = \frac{\epsilon_{ox}}{t_{ox}}$   $\rightarrow$  permittivity of  $\text{SiO}_2$

thickness of the oxide  $\epsilon_{ox} = \epsilon_{\text{SiO}_2} \times \epsilon_0$

\* Derivation of the thickness of the accumulation layer

Poisson equation  $\Rightarrow -\frac{d^2V(x)}{dx^2} = \frac{\rho}{\epsilon_{si}} = +\frac{q}{\epsilon} (p - n + Nd - Na)$

equilibrium.  $p(x) = p_{p0} \exp\left(-\frac{qV(x)}{k_B T}\right) = Na \exp\left(-\frac{qV(x)}{k_B T}\right)$

$$n(x) = n_{p0} \exp\left(\frac{qV(x)}{k_B T}\right) = \frac{n_i^2}{Na} \exp\left(\frac{qV(x)}{k_B T}\right)$$

Far from the surface  $V(x \rightarrow \infty) = 0$ .

in the hole accumulation layer formed we assume  $n \ll p$ ;  $Nd \ll Na$ .

$$-\frac{d^2V(x)}{dx^2} = +\frac{q}{\epsilon} Na \left[ \exp\left(\frac{qV(x)}{k_B T}\right) - 1 \right]$$

Also we assume that  $k_B T \ll \phi_0$ ; the hole concentration is greater than the hole concentration due to doping.  $p \gg Na$ .

$$+ \frac{d^2 V(x)}{dx^2} \approx - \frac{q N_A}{\epsilon} \exp\left(-\frac{q V(x)}{k_B T}\right)$$

we can integrate this equation if we multiply by  $2 \frac{dV(x)}{dx}$

$$\Rightarrow 2 \frac{dV(x)}{dx} \frac{d^2 V(x)}{dx^2} = \frac{2 q N_A}{\epsilon} \exp\left(-\frac{q V(x)}{k_B T}\right) \frac{dV(x)}{dx}$$

$$\Rightarrow \frac{d}{dx} \left( \frac{dV}{dx} \right)^2 = \frac{2 N_A k_B T}{\epsilon} \frac{d}{dx} \left[ \exp\left(-\frac{q V(x)}{k_B T}\right) \right]$$

we integrate  $\int_x^{x_{acc}}$   $x_{acc}$  thickness of the layer  
with  $\epsilon(x=x_{acc}) = V(x=x_{acc}) = 0$   
neutrality.

$$\epsilon E^2(x) = \frac{2}{L_D^2} \left( \frac{k_B T}{q} \right)^2 \left[ \exp(-q \beta V(x)) - 1 \right]$$

with  $L_D = \sqrt{\frac{\epsilon k_B T}{q^2 N_A}} ==$  Debye length.

Definition of Debye length = distance in semiconductor over which local electric field affects distribution of free charge carriers.

$L_D \rightarrow$  if concentration  $\uparrow$

see textbook p 172-173 for the derivation  
of  $V(x)$  from  $E(x)$ . [ BC:  $V(x_{\text{occ}}) = 0$   
 $V(0) = V_s$

$\Rightarrow$  after calculations

$$V(x) = -\frac{k_B T}{q} \ln \left[ \frac{1}{\cos^2 \left[ \alpha - \frac{x}{\sqrt{2} L_D} \right]} \right]$$

$$\alpha = \omega^{-1} \exp \left( \frac{q V_s}{2 k_B T} \right)$$

$$V(x_{\text{occ}}) = 0 \Rightarrow x_{\text{occ}} = \sqrt{2} L_D \omega^{-1} \exp \left( \frac{q V_s}{2 k_B T} \right)$$

$V_s$  is in practice very small even for large  $V_G$ .

$\Rightarrow x_{\text{occ}}$  is small. Since the hole concentration is an exponential function of the potential, the charge density increases rapidly close to the surface.

$\Rightarrow$  surface charge

So  $\Rightarrow C \equiv \frac{\epsilon_{ox}}{t_{ox}}$  is a good approximation.

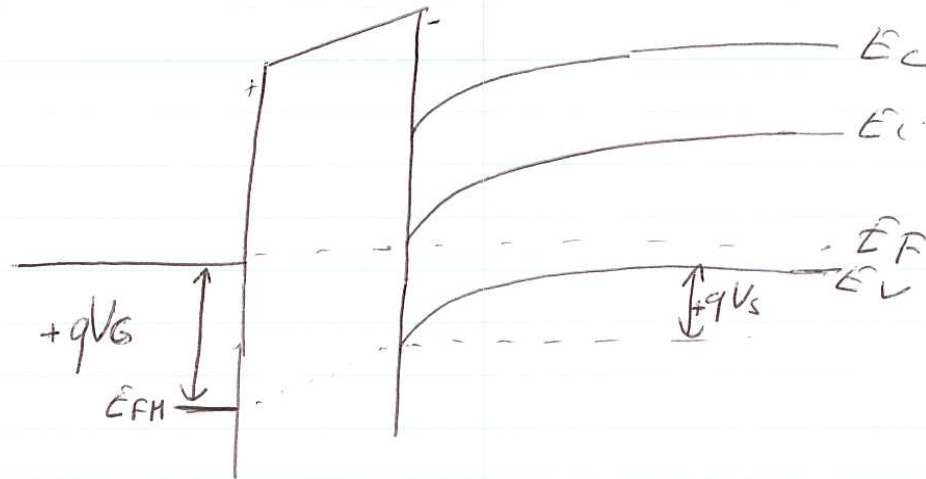
~~surface~~



### ③ Depletion

If a small positive bias is applied to the gate  $V_G > 0$ , holes near the Silicon surface are repelled by the gate.

A negative charge appears due to the acceptor doping atoms.



- A positive charge appears at the gate/oxide interface  $\Rightarrow$  Surface charge
- At the oxide/Silicon interface it appears a depletion region which extends into the Silicon from  $x=0$  to  $x=x_d$ .
- \* The potential in the depletion region is solution of the Poisson equation.

$$\frac{d^2 V(x)}{dx^2} = \frac{-\rho}{\epsilon} = \frac{-q}{\epsilon} (\rho - N_A) = \frac{-q}{\epsilon} N_A \left[ \exp\left(\frac{-qV(x)}{k_B T}\right) - 1 \right]$$

Near the interface  $q \ll N_a$ ; (exponential term is small)  
(since the potential is positive)

$$\Rightarrow \frac{d^2 V(x)}{dx^2} = \frac{q N_a}{\epsilon} \Rightarrow \text{depletion approximation}$$

$$\text{B.C.} \Rightarrow V(x_d) = 0; \quad \left. \frac{dV(x)}{dx} \right|_{x_d} = 0$$

$$\Rightarrow \boxed{V(x) = \frac{q N_a}{2\epsilon} (x - x_d)^2}$$

$$\text{Surface potential} \quad \boxed{V_s = V(x=0) = \frac{q N_a x_d^2}{2\epsilon}}$$

$$\Rightarrow \boxed{x_d = \sqrt{\frac{2\epsilon V_s}{q N_a}}}$$

\* "The depletion charge" between  $x=0$ ,  $x=x_d$   
is equal to

$$Q_d = -q N_a x_d = -\sqrt{2q\epsilon N_a V_s}$$

$$\text{"depletion capacitance"} \quad \boxed{C_{dp} = \epsilon / x_d}$$

\* The gate voltage,  $V_G$ , == potential drop across  
the oxide + potential variation in the semiconductor.

$$\boxed{V_G = V_s + V_{ox} = V_s - \frac{Q_d}{C_{ox}}}$$

$$\boxed{C_{ox} = \frac{\epsilon_{ox}}{t_{ox}}}$$

\* Capacitance of 16 structure is:

$$C = \frac{dQ_G}{dV_G} = -\frac{dQ_d}{dV_G} = -\frac{dQ_d}{d\left(\frac{-Q_d}{C_{ox}} + V_s\right)} = -\frac{dQ_d/dV_s}{d\left(\frac{-Q_d}{C_{ox}} + V_s\right)/dV_s}$$

$$C = \frac{C_0 C_{ox}}{C_0 + C_{ox}} = \frac{1}{\frac{1}{C_{ox}} + \frac{1}{C_0}} \Rightarrow \left[ C_0 = -\frac{dQ_d}{dV_s} = \frac{\epsilon}{x_d} \right] \text{ with}$$

\* C can also be expressed in function of the gate voltage:

$$V_G = -\frac{Q_d}{C_{ox}} + V_s = \frac{qN_a x_d}{C_{ox}} + \frac{qN_a}{2\epsilon} x_d^2$$

$$\Rightarrow x_d = -\frac{\epsilon}{C_{ox}} + \sqrt{\left(\frac{\epsilon}{C_{ox}}\right)^2 + \frac{2\epsilon V_G}{qN_a}}$$

since

$$C_0 = \frac{\epsilon \epsilon_0}{x_d}$$

$$\Rightarrow C = \frac{C_{ox}}{\sqrt{1 + \frac{2C_{ox}^2 V_G}{qN_a \epsilon}}}$$

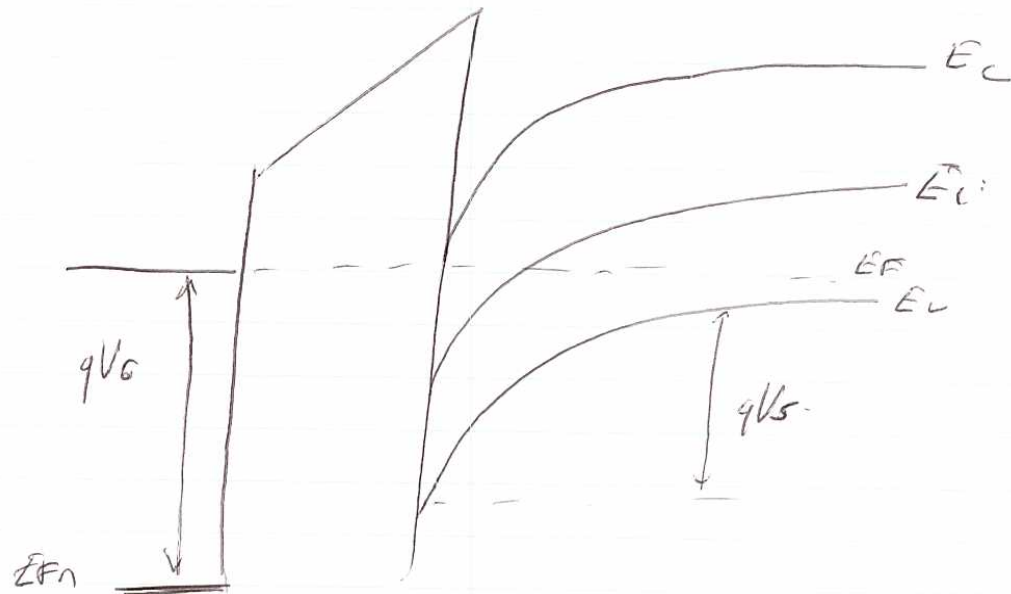
#### ④ Inversion

if a large positive voltage is applied  $V_G > 0$ ,  
the surface potential  $V_s$  will continue to increase.

according to the following relation:

$$\left\{ \begin{array}{l} p(x=0) = N_a \exp\left(-\frac{qV_s}{k_B T}\right) \text{ majority carriers} \\ n(x=0) = \frac{n_i^2}{N_a} \exp\left(\frac{qV_s}{k_B T}\right) \text{ minority carriers} \end{array} \right.$$

$p \rightarrow$  and  $n \nearrow$





The surface concentration of  $e^-$  and holes are equal.

$$n(0) = p(0) = n_i \text{ if } (E_i = E_F) \text{ at } x=0.$$

$$\text{Since } \left\{ \begin{array}{l} n = n_i \exp(\beta(E_F - E_i)) \\ p = n_i \exp(-\beta(E_F - E_i)) \end{array} \right\} \quad \boxed{V_s = \frac{k_B T}{q} \ln \left( \frac{N_a}{n_i} \right)}$$

$\Rightarrow$  we then get. at  $V_s = V_F$

$$\left[ N_a \exp\left(-\frac{q V_F}{k_B T}\right) = \frac{n_i^2}{N_a} \exp\left(\frac{q V_F}{k_B T}\right) \right] (*)$$

Above ~~of~~  $V_s$  is increased further

~~at~~  $n(0)$  becomes equal to  $p_{po} = N_a$  which is the original concentration of hole in silicon.

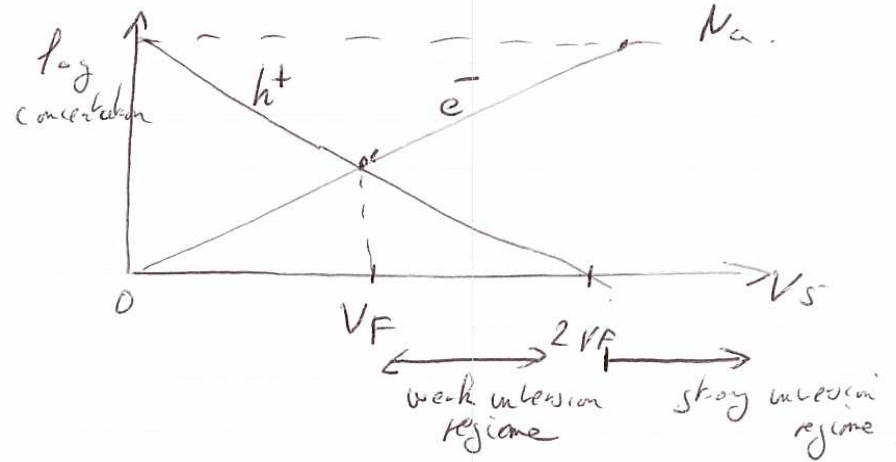
$\Rightarrow$

$$(*) \quad N_a = \frac{n_i^2}{N_a} \exp\left(\frac{q V_p}{k_B T}\right)$$

$$N_a \exp\left(-\frac{q V_F}{k_B T}\right) = \frac{n_i^2}{N_a} \exp\left(\frac{q(V_p - V_F)}{k_B T}\right)$$

$$\text{Using } (*) \Rightarrow V_p = V_F = V_F \Rightarrow \boxed{V_p = 2V_F}$$

finally we get



The inversion layer is rich in electrons, and therefore, it is a good conductor.

The MOS capacitor consists then of two conducting electrodes, the metal gate and the inversion layer.

As in the case of accumulation, the capacitance of the MOS is once again equal to  $C_{ox}$ .

\* When an inversion layer is formed  $e^-$  are locally majority carriers at the surface.

The thickness of the inversion layer remains very small if  $V_G$  increases. The electron charge in the layer can be considered as surface charge,

as in the case of accumulation, the  $e^-$  charge in the inversion layer depends exponentially on the surface potential  $Q_{inv} \propto \exp\left(\frac{qV_s}{k_B T}\right)$ .

We suppose that  $V_s = 2V_F$  when an inversion layer is present [if  $V_G \nearrow$   $V_s$  increases only slightly above  $2V_F$ ].

The depth of the depletion region is given by

$$x_{dmax} = \sqrt{\frac{4\epsilon V_F}{qN_A}}$$

### ⑤ Threshold voltage

It is the voltage  $V_G$  that must be applied to form an inversion layer.

$$V_G = V_s + \frac{Q_G}{C_{ox}}$$

$Q_G$  is the positive charge at the gate electrode.

~~also  $V_G = V_s - \frac{Q_D}{C_{ox}}$~~

~~but~~ ~~-  $Q_D$  is the negative charge~~

In practice, the flat-band voltage must be added for "non-ideal" threshold voltage:

$$V_G = V_{FB} + V_s + \frac{Q_G}{C_{ox}} \quad \text{and} \quad Q_G = -(Q_D + Q_{inv})$$

We get

$$V_G = V_{FB} + V_S - \left( \frac{Q_{cl} + Q_{inv}}{C_{ox}} \right)$$

$$\text{with } [Q_{cl} = -qN_A x_{cl} = -\sqrt{2q\epsilon N_A V_S}]$$

\* in the depletion region  $Q_{inv} = 0$   $0 \leq V_S \leq 2V_F$

$$V_G = V_{FB} + V_S + \frac{\sqrt{2\epsilon q N_A V_S}}{C_{ox}}$$

\* in the inversion regime  $Q_{inv} \neq 0$   $V_S > 2V_F$ .

$$V_G = V_{FB} + V_S + \frac{\sqrt{2\epsilon q N_A V_S}}{C_{ox}} - \frac{Q_{inv}}{C_{ox}} \equiv V_T - \frac{Q_{inv}}{C_{ox}}$$

where  $V_T = V_{FB} + V_S + \frac{\sqrt{2\epsilon q N_A V_S}}{C_{ox}}$  Threshold Voltage.

**Remark** The flat band potential can be affected by the presence of charge in the oxide or oxide/semiconductor interface.

if  $Q_i$  is the charge at the surface and  $\rho_{ox}$  is the charge density distributed within the oxide.

Then the flat band voltage becomes -



(57)

$$V_{FB} = V_{ns} - \frac{Q_i}{C_{ox}} - \frac{1}{\epsilon_{ox}} \int_0^{t_{ox}} x \rho_{ox}(x) dx$$

$\swarrow$  already defined as  
 $V_{ns} = V_M - \left( \chi + \frac{E_g}{2} + V_B \right)$

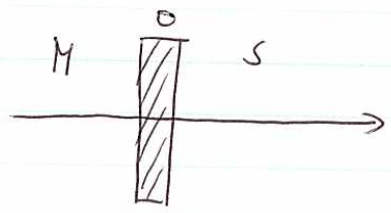
$\frac{Q_i}{C_{ox}}$  charge at oxide/semi interface  
 $\int_0^{t_{ox}} x \rho_{ox}(x) dx$  charge density in the oxide

\* The actual calculation of the flatband voltage is further complicated by the fact that charge can move within the oxide. Also the charge at the oxide/semi. due to surface states depends on the position of the Fermi energy.

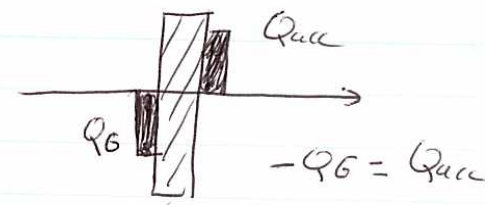
# 6) Summary

## Charges in the MOS structure

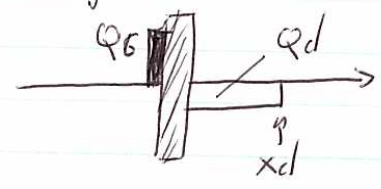
Flat band



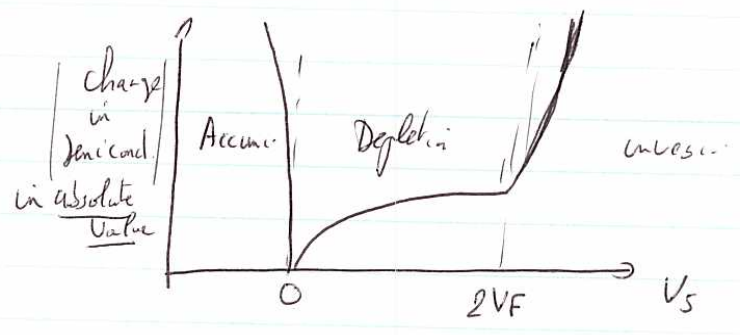
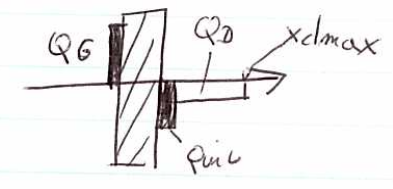
Accumulation

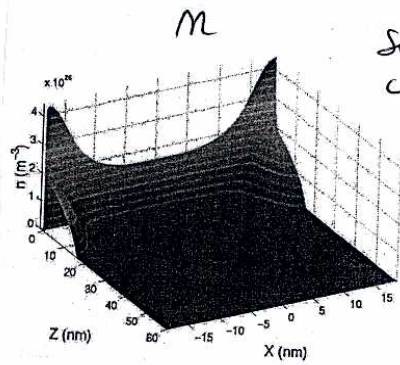
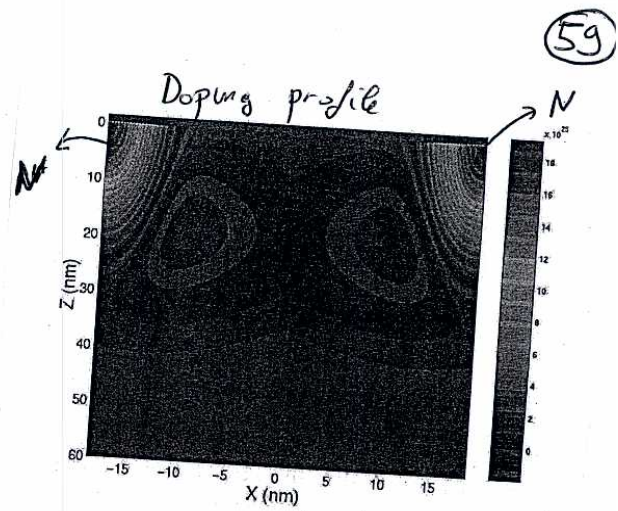
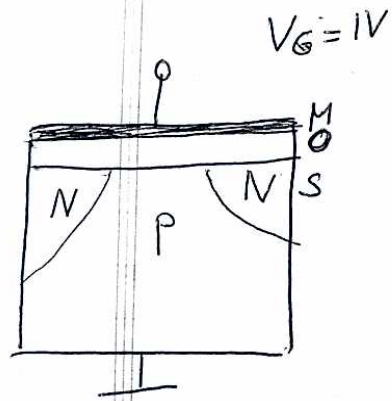


Depletion -  $Q_G = Q_d$

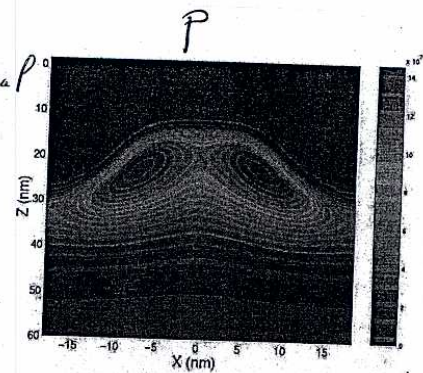


-  $Q_G = Q_d + Q_{inv}$

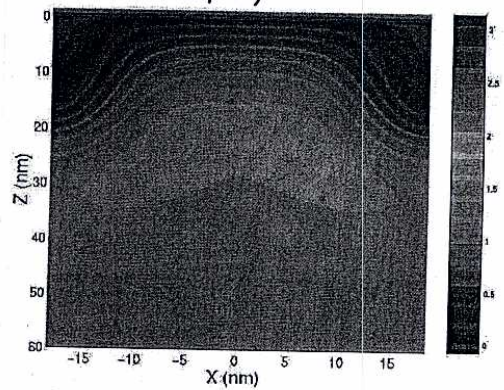




semi-classical



$U \text{ (eV)}$  - semi-classical.



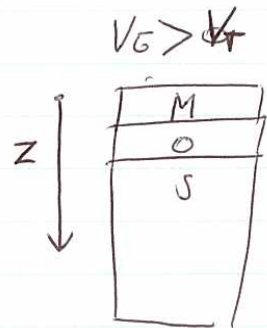
# ⑦ Quantum effects

## ① confinement effects

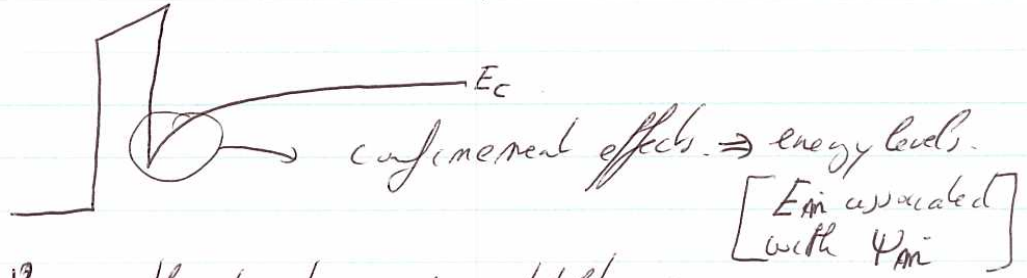
one needs to solve self-consistently  $n$  and  $V$  ( $p \ll m$  is inversion layer)  
•  $V$  is solution of Poisson equation.

How to derive an expression for  $n$ ?

$$-\frac{\hbar^2}{2m^*} \frac{d^2}{dz^2} \psi(z) + qV \psi(z) = E \psi(z)$$



profile for  $(-qV) \Rightarrow$  energy potential.  $[E_c]$



$|\psi_n|^2$  is the density of probability to find an electron in a given subband (or mode)  $n$ .



So

$$n = \sum_{m=1}^{+\infty} n_{m}(E_F) |\Psi_m(z)|^2$$

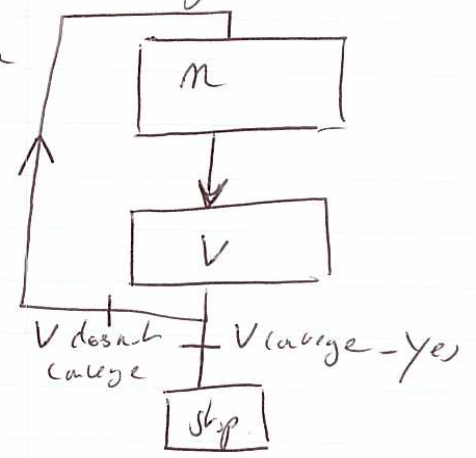
where  $n_m(E_F)$  is the electron density of associated with a given subband  $m$ . The  $e^-$  are then calculated in a 2D layer (confined in 2D dimensions  $\Rightarrow$  2D E.G. 2D electron gas)

$$n_m(E_F) = \int_{E_{m1}}^{+\infty} g_{2D}(E) f_{FD}(E-E_F) dE$$

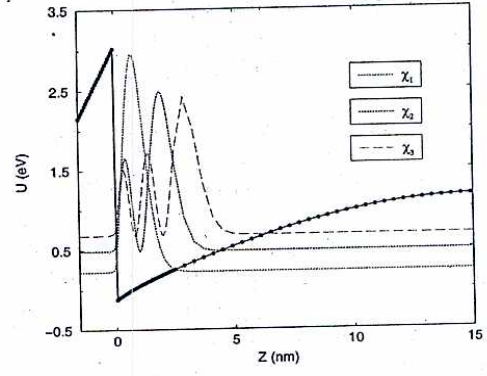
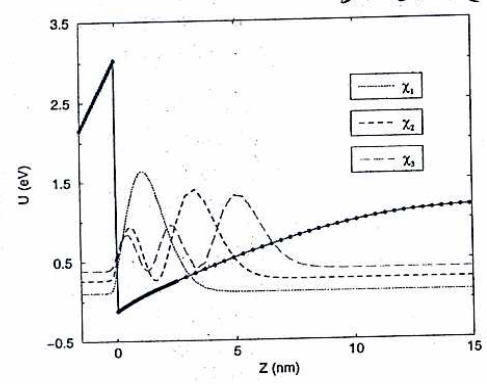
$$\Rightarrow \left[ n_m(E_F) = \frac{m_{x,z}^* k_B T}{\pi \hbar^2} \ln \left[ 1 + \exp \left( \frac{E_F - E_{m1}}{k_B T} \right) \right] \right]$$

Since  $\Psi$  depends on  $V$ ,  $n$  depend on  $V$ .

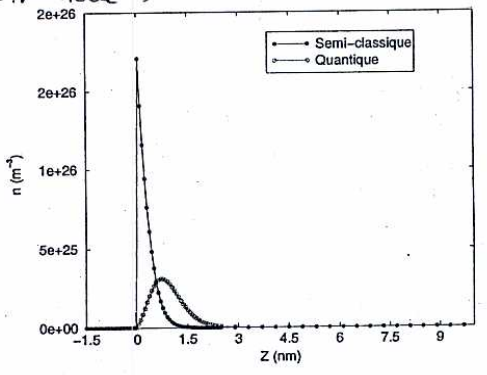
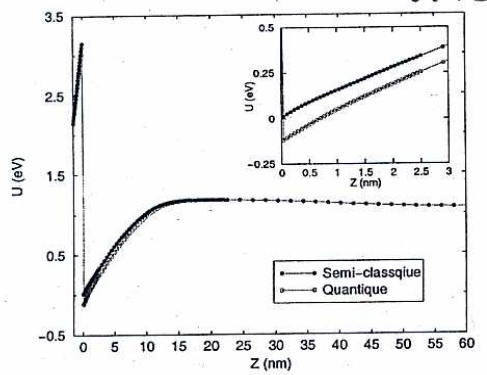
self-consistent simulator



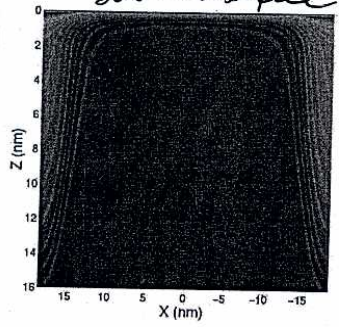
$x$  in the middle



$x$  in the middle

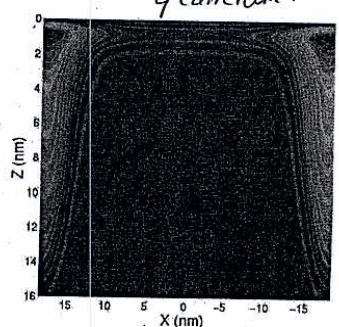


semi-classical

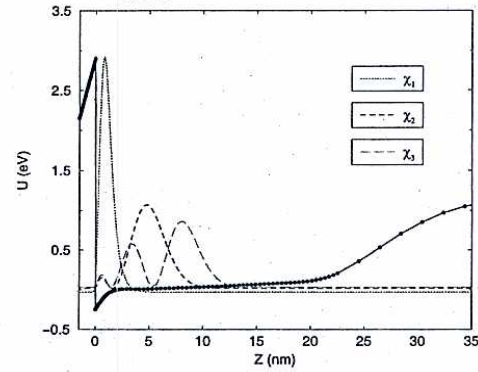
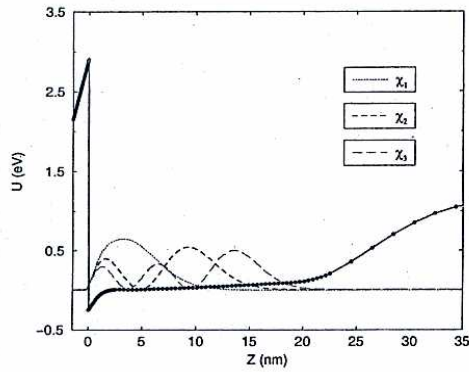


$n$

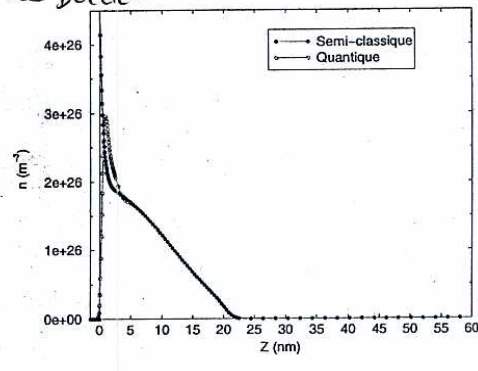
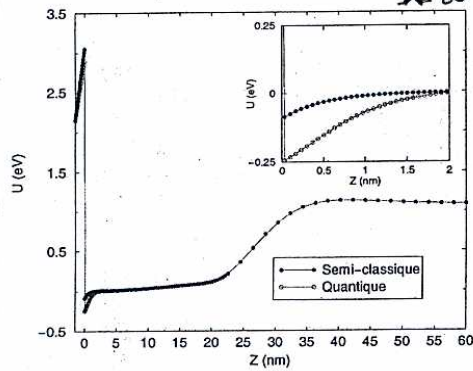
quantum



*x at the border*



*x at the border*



# ① tunneling effects



gate leakage.

if scaling  $\rightarrow$  box  $\rightarrow$  gate leakage becomes  
 important  $\Rightarrow$  'physical' limitation

## Nanoelectronics research:

- to investigate the ultimate size of MOS devices.
- to ~~also~~ explore new class of devices ~~that~~ whose operation principles relies on quantum effects



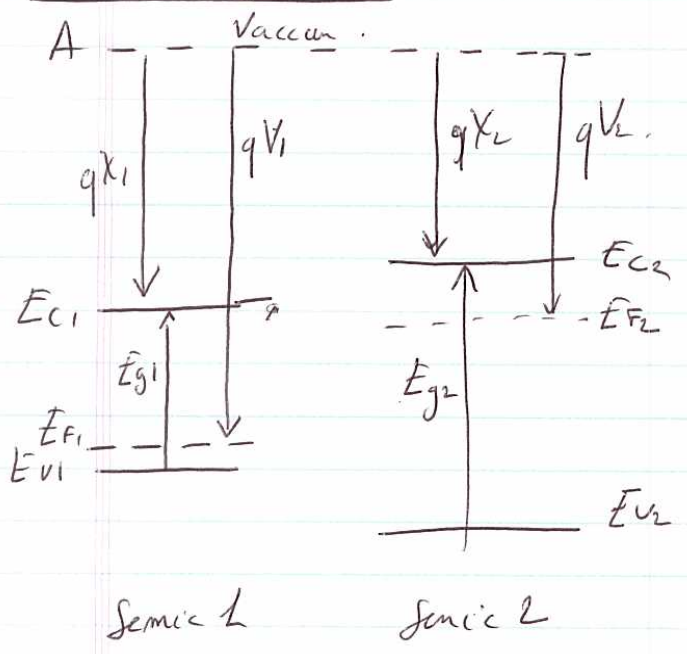
# IV Heterojunction

a P-N junction ~~that~~ which is composed by two different semiconductors is called a heterojunction.

The P and N region have different bandgap.

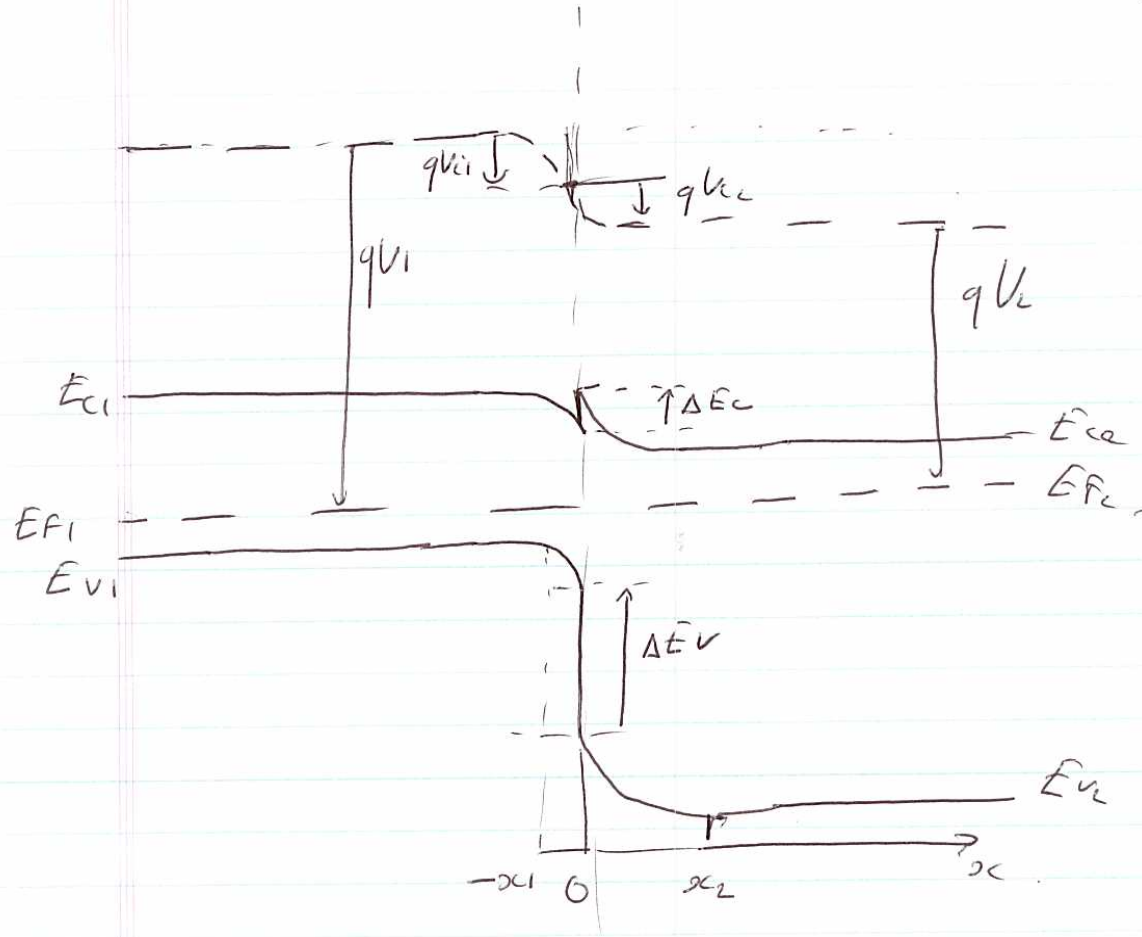
Remark  
Using III-V semiconductors, such as  $Ga_x Al_{1-x} As$  and  $Ga_x In_{1-x} As_y P_{1-y}$ , the desired bandgap energy can be reached adjusting the concentration  $x$  and  $y$  during the fabrication process.

## ① Unbiased junction



## Rule for plotting the band diagram

- ①  $E_F$  is equal and  $\phi_0$  (under equilibrium) far from the junction.
- ② The energy band gap diagram is known.
- ③ depletion region appears in the N-type and P-type sides.
- ③ a band-discontinuity appears at the junction.



Junction potential is  $V_i = V_{c1} + V_{c2} = \phi_1 - \phi_2$

The discontinuity is  $\Delta E_c = q(x_1 - x_2)$  of the conduction band.

of the valence band  $\Delta E_v = (E_{v2} - E_{v1}) - q(x_1 - x_2)$

obviously  $\Delta E_c + \Delta E_v = E_{v2} - E_{v1}$

The exact curvature of the energy bands can be obtained solving the Poisson equation in both semiconductor materials using the depletion approx.

<u>Semi 1</u>		<u>Semi 2</u>
$\frac{d^2V}{dx^2} = \frac{qN_a}{\epsilon_1}$		$\frac{d^2V}{dx^2} = -\frac{qN_d}{\epsilon_2}$
$\frac{i=0}{j=x=-x_1} \quad E_1 = -\frac{dV}{dx} = -\frac{qN_a}{\epsilon_1}(x+x_1)$		$E_2 = -\frac{dV}{dx} = \frac{qN_d}{\epsilon_2}(x_2-x)$ <u><math>E_2(x_2) = 0</math></u>

Gauss theorem at the junction  $\Rightarrow$

$\epsilon_1 E_1 = \epsilon_2 E_2 \Rightarrow N_a x_1 = N_d x_2$   
[neutrality].

$V = A + \frac{qN_a}{2\epsilon_1}(x+x_1)^2$

$V = B - \frac{qN_d}{2\epsilon_2}(x_2-x)^2$

$V_{i1} = V(0) - V(-x_1) = \frac{qN_a}{2\epsilon_1} x_1^2$

$V_{i2} = V(x_1) - V(0) = \frac{qN_d}{2\epsilon_2} x_2^2$

$V_i = V_{i1} + V_{i2} = \frac{qN_a}{2\epsilon_1} x_1^2 + \frac{qN_d}{2\epsilon_2} x_2^2$

we want to know  $x_1$  and  $x_2$  in function of  $V_i$ .

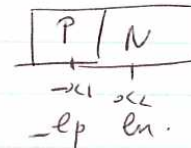
so using neutrality equation:

$$\frac{q N_a}{2 \epsilon_1} x_1^2 + \frac{q N_d}{2 \epsilon_2} \frac{N_a^2}{N_d^2} x_1^2 = V_i$$

$$\Rightarrow \begin{cases} x_1 = \sqrt{\frac{2 \epsilon_1 \epsilon_2 N_d V_i}{q N_a (\epsilon_2 N_d + \epsilon_1 N_a)}} \\ x_2 = \sqrt{\frac{2 \epsilon_1 \epsilon_2 N_a V_i}{q N_d (\epsilon_2 N_d + \epsilon_1 N_a)}} \end{cases}$$

③ biased junction

$V_a$  is applied to the diode.



→ hole diffusion current density injected at  $x = +x_2$  in the P-region. [ $x_2 = l_p$ ]

$$J_{p(x_2)} = \frac{q D_p}{L_p} p_{n0} \left[ \exp\left(\frac{q V_A}{k_B T}\right) - 1 \right] \quad \left| \quad p_{n0} = \frac{n_i^2}{N_d} \right|$$

→  $e^-$  diffusion current injected at  $x = -x_1$  [ $x_1 = l_p$ ] in the N-region.

$$J_{n(-x_1)} = \frac{q D_n}{L_n} n_{p0} \left[ \exp\left(\frac{q V_A}{k_B T}\right) - 1 \right] \quad \left| \quad n_{p0} = \frac{n_i^2}{N_a} \right|$$



Taking the ratio  $\frac{J_n}{J_p}$  will help to understand the current behavior in the P-N heterojunction.

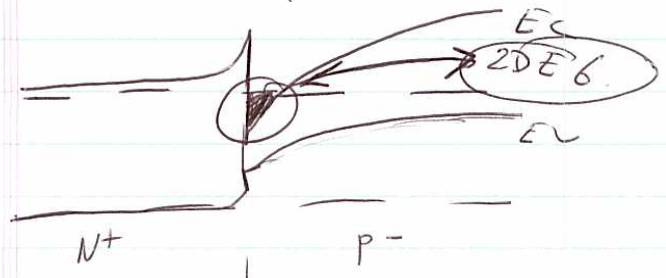
$$\frac{J_n}{J_p} = \frac{D_n L_p N_d n_i^2}{D_p L_n N_a n_i^2} = \frac{D_n L_p N_d N_{v1} N_{c1} \exp(-E_{g1}/k_B T)}{D_p L_n N_a N_{v2} N_{c2} \exp(-E_{g2}/k_B T)}$$

$$= \frac{D_n L_p N_d (m_{n1}^* m_{p1}^*)^{3/2}}{D_p L_n N_a (m_{n2}^* m_{p2}^*)^{3/2}} \exp\left[\frac{E_{g2} - E_{g1}}{k_B T}\right]$$

⇒ The ratio of electron to hole current in the P-N heterojunction is exponentially proportional to the difference of energy bandgaps between the two semiconductors.

③ Applications = 2DEG

one can observe the formation of a 2DEG (2 dimensional Electron gas) in a  $N^+ AlGaAs / P^- GaAs$  heterojunction



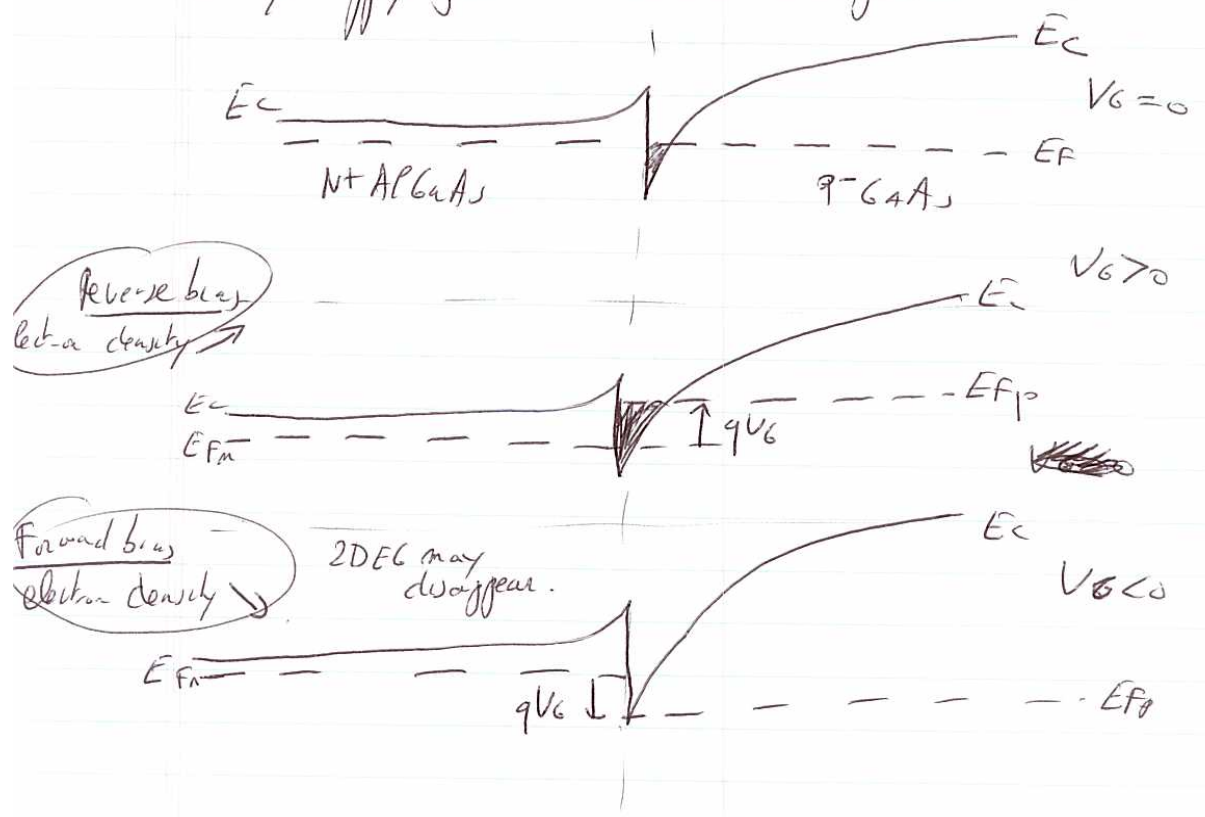
Very small thickness.

The mobility of  $e^-$  in lightly-doped GaAs is very high,  
from 8,000 to 1,500,000  $\text{cm}^2/\text{Vs}$  ~~at  $T=300\text{K}$~~   
 $T=300\text{K}$                        $T=4.2\text{K}$

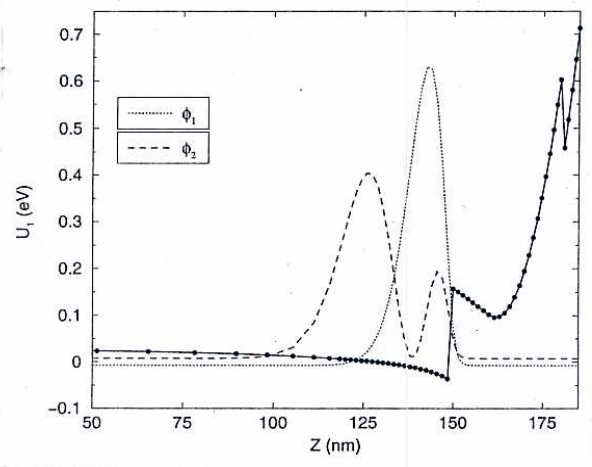
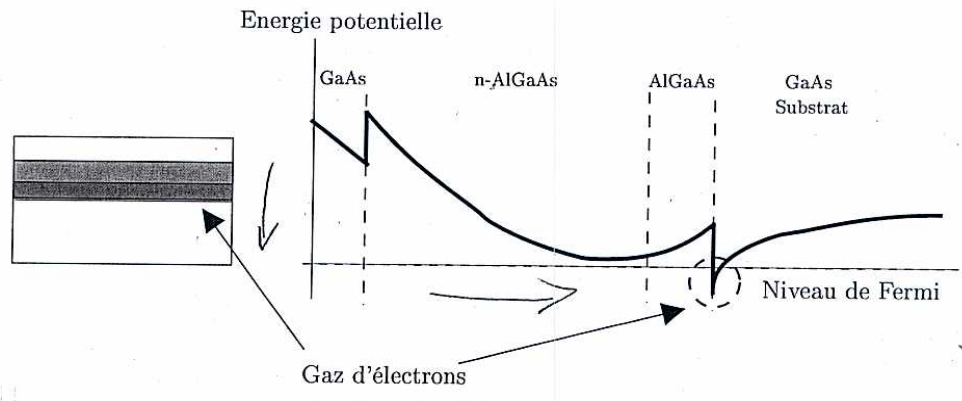
The surface mobility of  $e^-$  in the  $2\text{DEG}$  channel, is  $\sim 650 \frac{\text{cm}^2}{\text{Vs}}$

Pg: if impurity  $\nearrow$  in GaAs mobility  $\searrow$  significantly.

\* The  $e^-$  concentration in the 2DEG can be modulated by applying a bias to the heterojunction =



Example III-V Electron waveguide devices.



confinement effects

