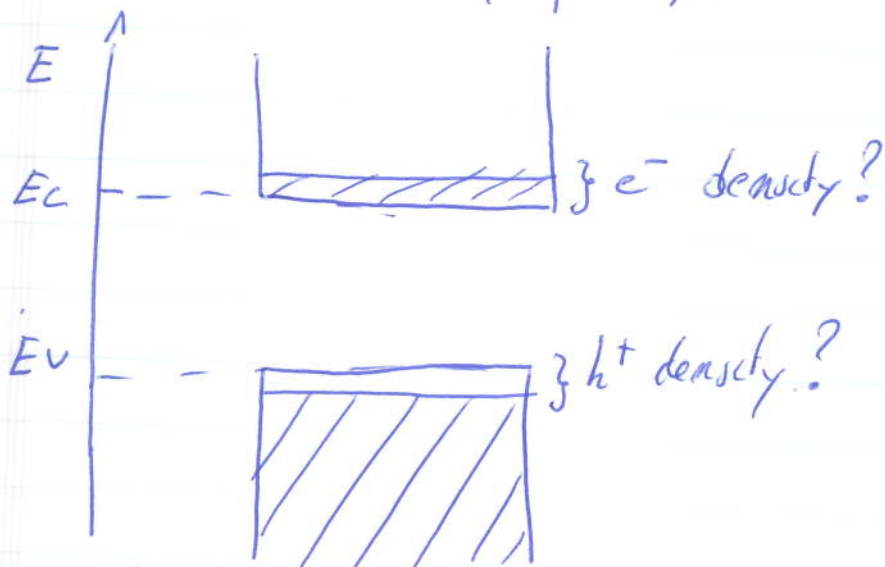


# III Semiconductors: Fundamentals

## ① Carrier densities: Basics

### ① Definition

We want to know the density of  $e^-$  (resp.  $h^+$ ) inside the CB (resp. VB).



### 2 questions

① How many states are available at each energy?

We call  $g(E)dE$  the density of states (DOS)

$\Rightarrow$  number of states that are available between  $E$  and  $E+dE$

$$\begin{cases} g_C \Rightarrow \text{DOS in CB.} \\ g_V \Rightarrow \text{DOS in VB} \end{cases}$$

(ii) What is the probability that a state is occupied by an electron (or hole)?

we call  $f(E)$  the distribution function for the  $e^-$ .

$f(E)$  is also called the Fermi-Dirac statistics ~~and~~

(its derivation belongs to a statistical physics course).

$\Rightarrow$  we finally get for the  $e^-$

$$n = \int_{E_c}^{+\infty} g_c(E) \cdot f(E) dE$$

2.8a

and for the holes

$$p = \int_{-\infty}^{E_v} g_v(E) [1 - f(E)] dE$$

2.8b

In the following we propose to address the two questions above in order to calculate  $n$  and  $p$ .

### (b) Density of States

we want to know the DOS in energy  $g(E)dE$ ;  
however it is much easier to calculate the DOS in  
k-space  $g(k)dk$ .

Since  $\boxed{g(E)dE = g(k)dk}$

[ if we know  $E(k)$  (dispersion relation for CB and VB),  
as well as  $g(k)$ , we can get  $g(E)$

$$\boxed{g(E) = g(k) \cdot \left(\frac{dE}{dk}\right)^{-1}}$$

in 3D

~~$g(k) = \frac{2 \cdot 4\pi k^2}{(2\pi)^3}$~~

$$\boxed{g(k) = \frac{2 \cdot 4\pi k^2}{(2\pi)^3}}$$

Also we know that  $E = \frac{\hbar^2 k^2}{2m_n^*} + E_c$  for CB  $k = \sqrt{\frac{2m_n^*(E-E_c)}{\hbar^2}}$

$E = \frac{\hbar^2 k^2}{2m_p^*} + E_v$  for VB  $k = \sqrt{\frac{2m_p^*(E_v-E)}{\hbar^2}}$

so for CB  $\frac{dE}{dR} = \frac{\hbar^2 R}{m_m^*}$   ~~$\frac{dE}{dR} = \frac{\hbar^2 R}{m_m^*}$~~

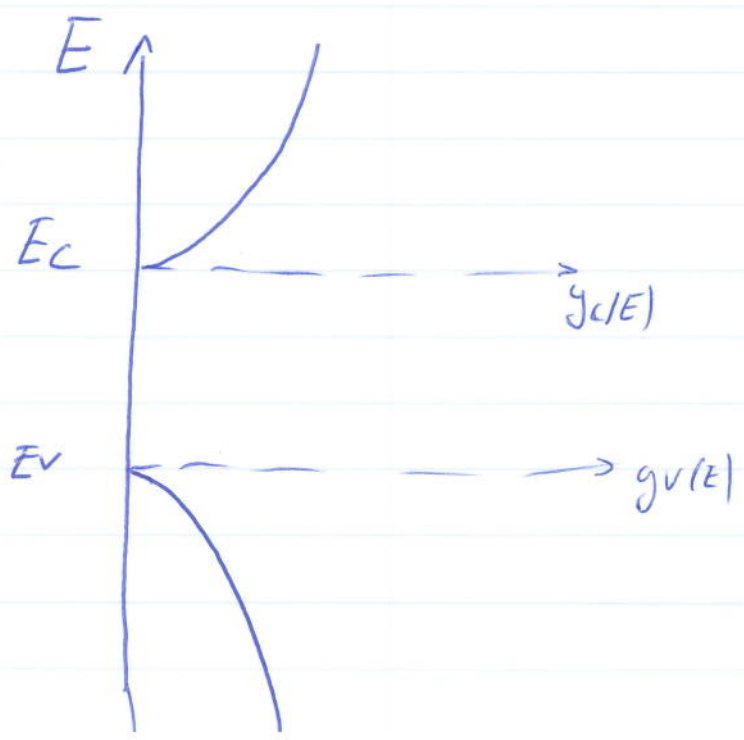
so  $g_c(E) = g(R) \left(\frac{dE}{dR}\right)^{-1} = \frac{2 \cdot 4\pi R^2}{(2\pi)^3} \cdot \frac{m_m^*}{\hbar^2 R} = \frac{2 \cdot 4\pi m_m^*}{(2\pi)^3 \hbar^2} \sqrt{\frac{m_m^*}{\hbar^2} (E - E_c)}$

$g_c(E) = \frac{m_m^* \sqrt{2m_m^* (E - E_c)}}{\pi^2 \hbar^3}$  [2.6a]  
 $E > E_c$

and for VB

we also get

$g_v(E) = \frac{m_p^* \sqrt{2m_p^* (E_v - E)}}{\pi^2 \hbar^3}$  [2.6b]  
 $E \leq E_v$



### (c) Distribution function.

From the energy band theory and definitions of SC, we know that the probability for a state to be occupied by an  $e^-$  should depend on the temperature.

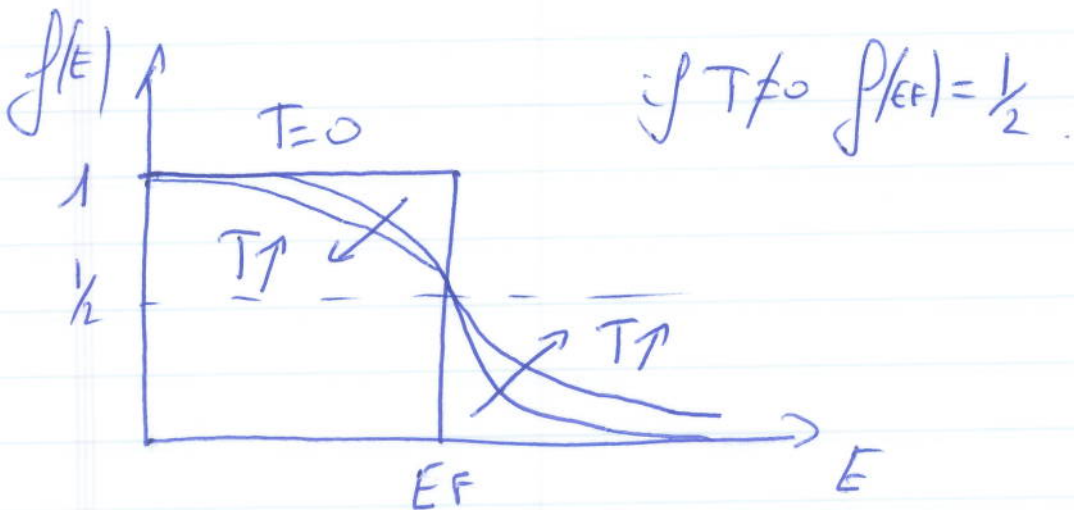
$\Rightarrow e^-$  obey the Fermi-Dirac statistics (which accounts for the Pauli exclusion principle).

$$[2.7] \quad f(E) = \frac{1}{1 + \exp(\beta(E - E_F))}$$

$$\beta = \frac{1}{k_B T}$$

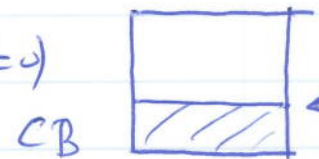
$k_B =$  Boltzmann constant

$E_F$  is the Fermi-level

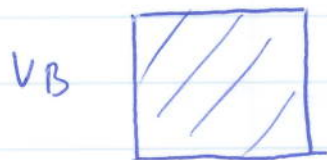


\* what is happening for  $f(E)$  in SC?

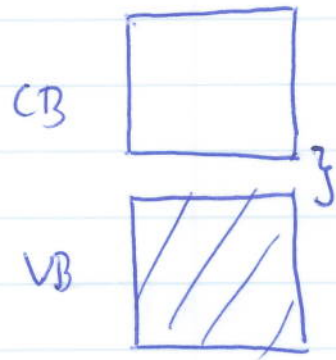
• conductors ( $T=0$ )



$E_F$  is the maximum energy that can take the  $e^-$  at  $T=0$ .



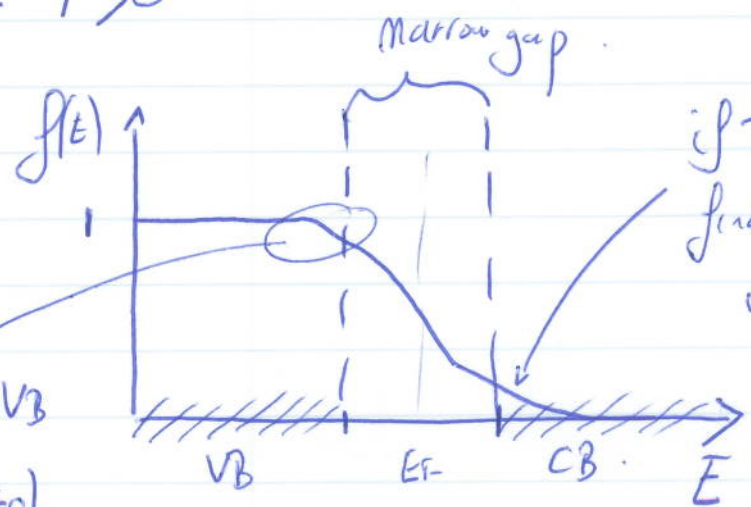
• semiconductor ( $T=0$ )



$E_F$  lies between VB and CB. (we only know that states below  $E_F$  are occupied)

• semiconductor  $T > 0$

[Fig 2.15]



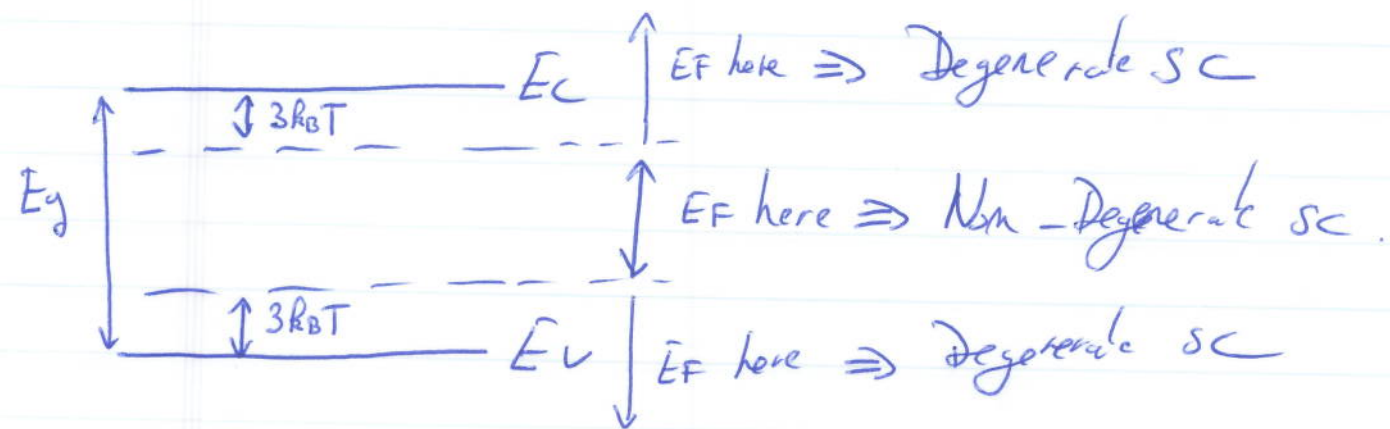
if  $T \uparrow$ , probability  $(1-f)$  to find an hole in VB increases. ( $f(E) \neq 0$ ).

if  $T \uparrow$ , probability to find an  $e^-$  in CB increases. ( $f(E) \neq 0$ )

## (d) Non-degenerate Semiconductor

Depending on the position of  $E_F$  in the bandgap, the semiconductor can be classified as degenerate or non-degenerate.

[Fig 2.19]



For non-degenerate SC

$$E_V + 3k_B T \leq E_F \leq E_C - 3k_B T$$

$$\text{So, } (E - E_F) > 3k_B T \quad \text{for } e^-$$

$$\cdot (E_F - E) > 3k_B T \quad \text{for } h^+$$

one can then approximate the Fermi-Dirac distribution by a Maxwell-Boltzmann distribution. (classical distribution)

$$f(E) \approx \exp(-\beta(E-E_F)) \text{ for } e^-$$
$$1 - f(E) \approx \exp(-\beta(E_F - E)) \text{ for } h^+$$

Summary

Degenerate SC  $\Rightarrow$  Fermi Dirac distribution  
Non-degenerate SC  $(E_v + 3k_B T \leq E_F \leq E_c - 3k_B T)$   $\Rightarrow$  Maxwell Boltzmann distribution can be used



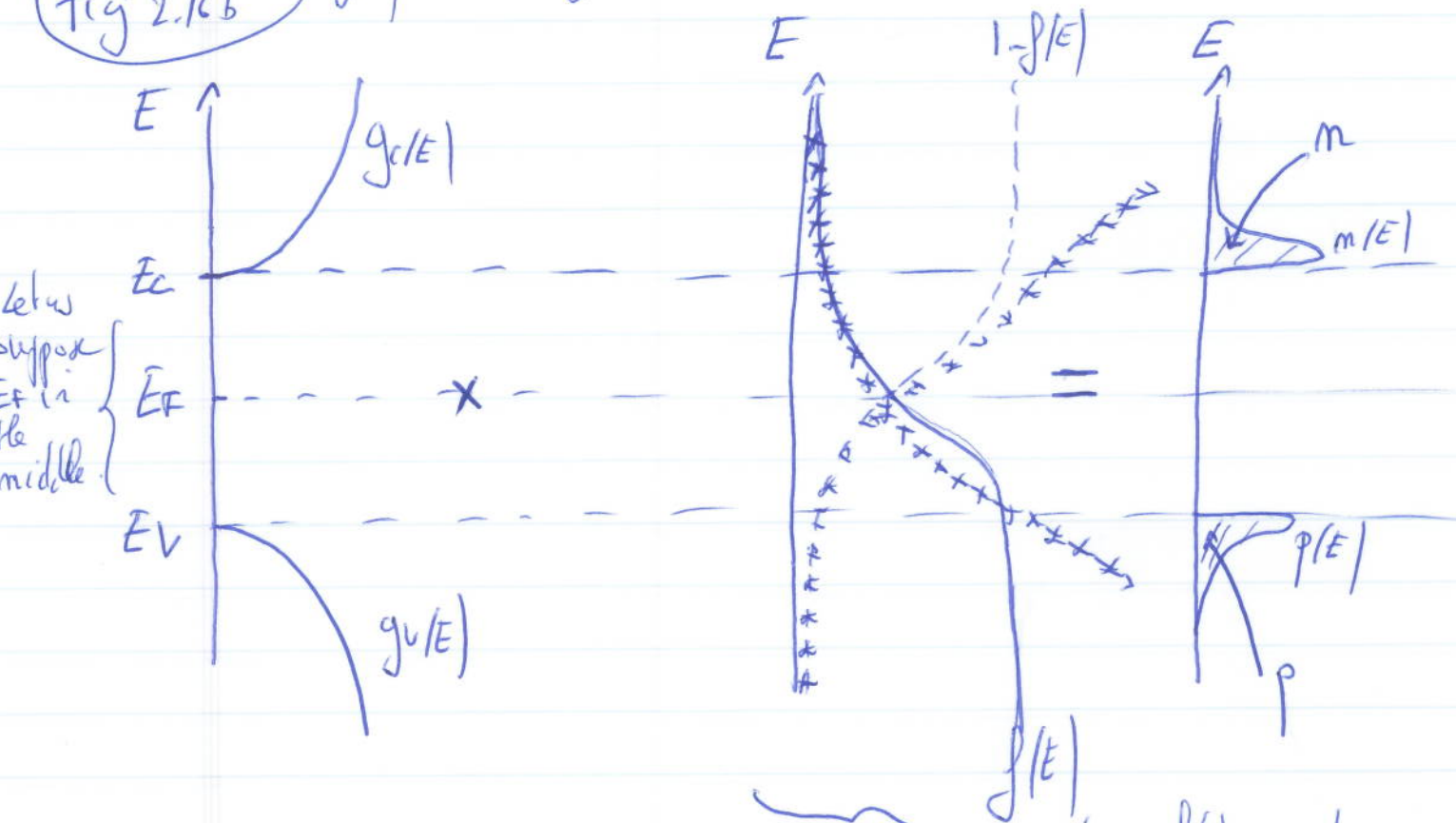
(e) Carrier densities calculations

Let us call  $n(E) = g_c(E) f(E)$  ;  $p(E) = g_v(E) [1 - f(E)]$

$n(E)$  (resp.  $p(E)$ ) is the density of  $e^-$  (resp.  $h^+$ ) per unit of volume and per unit of energy

$$n = \int_{E_c}^{+\infty} n(E) dE \quad p = \int_{-\infty}^{E_v} p(E) dE$$

Fig 2.16b (graphical integration)  $T > 0$



Since  $E_F$  is in the middle,  $f(E)$  can be approximated by Maxwell-Boltzmann distribution (\*)

\* In the general case (Fermi-Dirac distribution).

$$\text{for } e^- \quad n = \frac{m^* \sqrt{2m^*}}{\pi^2 \hbar^3} \int_{E_c}^{+\infty} \frac{\sqrt{E - E_c}}{1 + \exp(\beta(E - E_F))} dE$$

we set  $y = \beta(E - E_c)$      $y_c = \beta(E_F - E_c)$

$$n = \frac{m^* \sqrt{2m^*}}{\pi^2 \hbar^3} (k_B T)^{3/2} \underbrace{\int_0^{+\infty} \frac{y^{1/2} dy}{1 + e^{y - y_c}}}_{F_{1/2}(y_c)}$$

$F_{1/2}(y_c)$  Fermi-Dirac Integral.

we get for  $e^-$

2.14a  $n = N_c \frac{2}{\sqrt{\pi}} F_{1/2}(-\beta/E_c - E_F)$

$N_c$  is called the effective DOS in the CB

2.13a  $N_c = 2 \left[ \frac{m^* k_B T}{2\pi \hbar^2} \right]^{3/2}$

an for holes (by analogy).

$$2.14b \quad p = N_v \frac{2}{\sqrt{\pi}} F_{1/2}(\beta(E_v - E_f))$$

$N_v$  is the effective DOS in the VB.

$$2.13b \quad N_v = 2 \left[ \frac{m_p^* k_B T}{2\pi \hbar^2} \right]^{3/2}$$

\* For non-degenerate sc

$$2.16a \quad n = N_c \exp(-\beta(E_c - E_f))$$

$$\int_{-\infty}^{E_c} F_{1/2} \approx \frac{\sqrt{\pi}}{2} \exp(\beta(E_f - E_c))$$

$$2.16b \quad p = N_v \exp(\beta(E_v - E_f))$$

$$\int_{E_v}^{+\infty} F_{1/2} \approx \frac{\sqrt{\pi}}{2} \exp(\beta(E_v - E_f))$$