# A Comprehensive Approach to the Partial Scan Problem Using Implicit State Enumeration

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Abstract—This paper presents a novel technique to evaluate the noncontrollability measures of state registers for partial scan design. Our model uses implicit techniques for finite state machine (FSM) traversal to identify noncontrollable state registers. By implicitly enumerating the states of a machine, we accurately evaluate the noncontrollability of flip-flops by determining exactly what values can or cannot be stored or are difficult to store in the state registers. By doing so, we not only target the untestable faults due to state unreachability of the machine but also the difficult-to-test faults caused by difficult-to-control flip-flops. The values observed in the flip-flops during the implicit FSM traversal are used to evaluate flip-flop controllability measures to support the testability analysis. This technique is programmed as an algorithm called SIMPSON and the authors analyze its effectiveness by carrying out extensive experiments over a large set of MCNC and ISCAS benchmarks. For large circuits, implicit state enumeration becomes infeasible because of computer memory and time limitations. To overcome these limitations, we propose the use of approximate reachability analysis of the circuit to estimate the noncontrollability of state registers. By partitioning a large FSM into smaller sub-FSMs, and implicitly traversing the individual submachines, the reachable state set can be overapproximated as a product of smaller subsets. The values observed in the flip-flops of the submachines during the approximate FSM traversal facilitates the estimation of their noncontrollability measures. An algorithm called SAMSON is proposed for this purpose and its effectiveness is illustrated over some of the larger circuits in the ISCAS benchmark suite. The results demonstrate the superiority of the authors' method over conventional state-of-the-art scan register selection techniques in terms of higher fault coverage achieved by selecting fewer, or an equal number, of partial scan registers.

*Index Terms*—Implicit state enumeration, partial scan, reachability analysis, sequential circuit testing.

# I. INTRODUCTION

**O** VER the years, attempts to automate test generation for sequential circuits have been pursued extensively. Though these attempts have met with varying levels of success, automatic test generation (ATG) has generally had difficulties with large sequential circuits, because of which various *design for testability* techniques have become common practice in industry. The *full scan* technique has been developed to simplify the problem of testing a sequential circuit by converting it into a combinational one. This enables the application of combinational test generation algorithms, such as the D-algorithm

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[1], PODEM [2], and FAN [3], on such circuits. Although the testing problem is simplified, the area and performance of the circuit are adversely affected due to the necessary circuit modifications required to accommodate the complete scan chain. This also results in unacceptable lengths of the resulting tests due to extensive serial shifting of test patterns and responses.

*Partial scan*, on the other hand, provides a tradeoff between the ease of testing and the costs associated with scan design. However, the key problem in partial scan design is the selection of scan registers. A great deal of research has been devoted to define the criteria to guide the selection of the scan memory elements. These techniques can be categorized according to: 1) testability analysis [4]; 2) test pattern generation [5], [6]; 3) structural analysis [7]–[9]; 4) fault oriented [10] and cost analysis-based approach [11]; and 5) other miscellaneous methods [12]–[14], etc. All of the above-mentioned techniques have met with some measure of success but have their respective limitations.

Partial scan methods based on *testability analysis*, use controllability, observability [15], and sequential depth as measures of circuit testability. Flip–flops with poor controllability measures are selected for scan. Trishler [4] describes a method whereby flip–flops which are not easily controllable are included in the incomplete scan path. **Limitations**: the effectiveness of this method, evaluated in terms of scan overhead, fault coverage, etc., depends entirely on testability analysis which, depending on the heuristics, may not accurately model the problems faced during test generation [16]. The correlations between testability measures and test generation costs have not been well established.

In partial scan methods based on structural analysis [7], [17], the sequential circuit is transformed into a directed graph, whose vertices represent flip-flops, primary inputs, and outputs, and whose arcs represent the combinational paths. Heuristics are used to select a minimal set of flip-flops that eliminate the cycles in the graph. The premise behind this approach is the assessment that flip-flops in a loop are hard to control and observe. Limitations: such techniques operate solely on the network topology and do not explicitly analyze the behavior of the sequential circuit. Thus, there is no guarantee that the selected scan elements are the most noncontrollable, which may lead to the selection of scan registers which do not provide sufficiently high fault coverage [18]. Recently, Xiang et al. [19] suggested that breaking all the cycles in the graph may not be necessary, since some of the cycles do not influence the complexity of test generation. They presented algorithms that exploit the circuit state information to identify those cycles in the graph that complicate test generation significantly. Corresponding flip-flops that break only these cycles were selected for partial scan.

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In the partial scan methods based on test generation [5], [6], tests are first generated for a large number of faults. Then, for each undetectable (or aborted) fault, a set of flip-flops is found, such that making those flip-flops observable and controllable makes the fault detectable. The incomplete scan path then utilizes a minimal subset of memory elements which influenced the easy detection of as many faults as possible. Recently, Sharma et al. [20] proposed a technique that uses a test generator to perform multihop reachability in order to identify the hard-to-reach states of the circuit. Targeting these hard-to-reach states using scan allows the detection of hard-to-detect faults. Limitations: such techniques incorporate the cost of test generation as well as the cost of calculating minimal sets of registers to scan and are thus time and compute intensive. Also, these techniques rely heavily on test generators. Use of an unsophisticated test generator that aborts too many faults may result in some unnecessary scan registers. The fault oriented partial scan design approach [10] is also test generator dependent. Structural analysis of the circuit is enhanced by focusing on the untestable and aborted faults. Thus, it also suffers from the above drawbacks.

Considering the fact that the above techniques do not incorporate the cost of scan design in selecting scan flip–flops, an optimization-based approach was presented by Chikermane *et al.* [9] that formulates the partial scan register selection technique as an *optimization problem.* As the use of scan flip–flops results in layout and delay overheads, it is important to choose a set of flip–flops which give the best improvement in testability while keeping the cost of scan design bounded. Based on this idea, a tool called OPUS [9] was developed which is actively used in both academia and industry. However, the testability criteria for selection of scan flip–flops is based on testability heuristics such as the SCOAP controllability/observability measures [15] or on structural parameters of the circuit such as the number of cycles/loops in the circuit, the length of directed cycles, etc. Thus, this approach also suffers from the limitations outlined above.

There are a few other miscellaneous partial scan approaches based on empirical models [13] or some other heuristic estimates of flip–flop noncontrollability [12], etc., which also suffer from one or more drawbacks outlined above.

In this paper, we present a new approach to the partial scan problem that thoroughly analyzes the behavior of the sequential circuit and its state encoding to evaluate the noncontrollability measures of the state registers. To analyze the behavior of the underlying finite state machine (FSM) of the sequential circuit over the complete state space, we use *implicit techniques* for FSM traversal. Using implicit state enumeration, we implicitly exercise all the state transitions and visit all the states in the reachable state set of the machine. State information thus obtained is used to identify the noncontrollable state registers. We present algorithms to select noncontrollable state registers for scan using implicit state enumeration and present the results which illustrate the effectiveness of our technique over a large set of benchmarks.

The paper is organized as follows. Section II highlights the contribution of this paper and indicates how and why our approach is different from other partial scan approaches. Section III reviews basic terms and definitions related to implicit state enumeration techniques and sequential circuit testing. Section IV describes the motivation behind this work. Section V describes techniques to identify noncontrollable registers for partial scan using implicit FSM traversal. In Section VI an algorithm, SIMPSON, is proposed and the results are presented and analyzed. Limitations of the SIMPSON algorithm are discussed and, subsequently, extensions to the algorithm are presented. In Section VII, we review state space decomposition and approximate FSM traversal techniques. Section VIII describes how we can exploit approximate implicit FSM traversal techniques to evaluate the noncontrollability measures of the flip–flops for partial scan design. An algorithm, SAMSON, is presented and its effectiveness is analyzed over the larger circuits of the ISCAS'89 benchmark suite. Section IX points out possible future research directions and concludes the paper.

#### II. CONTRIBUTION OF THIS RESEARCH

In this paper, we present a comprehensive approach to analyze the sequential behavior of a circuit to accurately evaluate the noncontrollability of flip–flops in order to make a judicious choice of scan registers. It is well understood that testability of a sequential circuit is inherently captured by its state transition behavior and its encoding [18], [19], [21]. In order to accurately assess the noncontrollability measures of the flip–flops, we need to thoroughly analyze the behavior and the encoding of the underlying FSM of the circuit. Implicit state enumeration is a technique that can be exploited to analyze the behavior of the sequential circuit for testing purposes.

Our model to evaluate the controllability measures of flip-flops is based on a systematic behavioral analysis of the underlying FSM of a sequential circuit. By implicitly enumerating the states of a machine, we accurately evaluate the noncontrollability of flip-flops by determining exactly what values can or cannot be stored or are difficult to store in the state registers. By doing so, we not only target the untestable faults due to state unreachability of the machine but also the difficult-to-test faults caused by difficult-to-control flip-flops. The values observed in the flip-flops during the implicit FSM traversal (i.e., the states of the flip-flops) are used to evaluate flip-flop controllability measures to support the testability analysis. This technique is programmed as an algorithm called SIMPSON and we analyze its effectiveness by carrying out extensive experiments. The experimental results clearly reflect the accuracy of the proposed flip-flop controllability measures and demonstrate the superiority of our approach over conventional state-of-the-art partial scan design approaches. Specifically, as compared to the techniques that use structural parameters of the circuit [7], [17] and/or SCOAP controllability/observability heuristics [15] to select scan registers, our technique results in fewer scan registers and provides higher fault coverage.

However, implicit state enumeration of sequential circuits with a large number of state registers is often infeasible. The underlying state space of a sequential circuit is potentially exponential in the number of state registers. For circuits with a large number of flip–flops, not only does it take an unacceptable amount of time to traverse the entire reachable state space, but storage and processing of the set of reachable states also becomes infeasible. Approximate reachability analysis techniques have been proposed in literature [22], [23] to overcome the space and time overhead associated with exact implicit FSM traversal methods. These techniques partition a large FSM into smaller sub-FSMs and perform reachability analysis on these smaller submachines. As a result, the reachable states are approximated by an upper bound; the overestimate of the reachable states is computed as a product of smaller subsets.

We exploit the power of approximate reachability analysis techniques to analyze the state space of circuits that contain a large number of flip-flops. Using intelligent techniques to partition a large machine into smaller ones, and then performing approximate implicit FSM traversal, we are able to estimate the noncontrollability metrics of flip-flops for partial scan design. We present an algorithm SAMSON for this purpose and demonstrate its effectiveness on some of the larger circuits in the ISCAS'89 benchmark suite. Even though approximate FSM traversal results in some "loss of information" of state machine reachability, we demonstrate by experiments that this loss of information does not significantly affect the proposed flip-flop testability analysis criteria. Using the SAMSON algorithm, we were able to select partial scan flip-flops for large sequential machines and provide high fault coverage. Specifically, as compared to the scan techniques based on structural analysis [9], [10] and/or SCOAP testability heuristics [15], the same number of scan flip-flops selected by SAMSON provides higher fault coverage.

#### **III.** PRELIMINARIES

In this section, we review basic terms and definitions related to Boolean functions, finite state machines, sequential circuits, and sequential circuit testing, and summarize the breadth-first traversal techniques for implicit state enumeration as used in this paper.

#### A. Boolean Functions and Boolean Function Vectors

An *n*-input and *m*-output Boolean function *F* is a mapping from an *n*-dimensional Boolean space to an *m*-dimensional Boolean space,  $F: B^n \to B^m$ , where  $B = \{0, 1\}$ .  $B^n$  is the domain and  $B^m$  the codomain of F. If m > 1, then F is a multiple output function; it can be represented as a vector of single output Boolean functions called Boolean function vector (BFV).

The support of a Boolean function is the set of variables it depends upon. A literal represents a variable or its complement. A conjunction of a set of literals is called a cube, and it represents a point, or a set of points, in the Boolean space. If a cube has literals of all variables in the support of the function, the cube is a minterm and it represents a point in the domain of the Boolean function.

## B. Sequential Circuits and Finite State Machines

We consider synchronous sequential circuits composed of combinational logic gates and flip-flops, where all the flip-flops are synchronized by the same clock. We assume that the circuit has a known initial state and can always be driven to that initial state either by explicit reset circuitry or by application of a synchronizing sequence.

Associated with a sequential circuit is its underlying FSM which inherently captures the behavior of the circuit. In mathematical terms, a completely specified, deterministic finite state machine of Mealy type is a six-tuple  $\langle \sum, O, S, S^0, \Delta, \Lambda \rangle$ , where

- 1)  $\sum$  is the input alphabet, i.e., , a finite, nonempty set of input values;
- 2) O is the output alphabet;
- 3) S is the finite set of states;
- 4)  $S^0 \subseteq S$  is the set of initial states;
- 5) Δ: S × ∑ → S is the next state transition function;
  6) Λ: S × ∑ → O is the output function.

The behavior of an FSM can be represented by a state transition graph (STG) that depicts the transitions that the machine can make between its states under the application of some input and the output that it generates. The STG of an FSM is a directed graph G = (V, E), where V is the set of vertices and E is the set of directed edges such that V = S,  $(s_1, s_2) \in E$ ,  $s_1 \in S$ ,  $s_2 \in S$ , if  $\Delta(s_1 \in S, x \in \Sigma) = s_2$ . Associated with every edge of an STG is a label i/o, where i = x and  $o = \Lambda(s, x) \in O$ .

Note that  $\Delta$  and  $\Lambda$  are multioutput Boolean functions and are represented by BFVs. These BFVs implicitly define all the state transitions of the given FSM. The states of the machine that can be reached from the initial state by application of any input sequence are termed as *reachable* or *valid* states. A state that cannot be reached from a reset state is called an unreachable or invalid state.

## C. Cofactors and Quantification

Given an *m*-variable Boolean function  $f(x_1, \ldots, x_m)$ , the *positive cofactor* of f with respect to  $x_i$  is  $f_{x_i}$  $f(x_1, x_2, \dots, x_{i-1}, 1, x_{i+1}, \dots, x_m)$ . Similarly,  $f_{\overline{x}_i}$ =  $f(x_1, x_2, \ldots, x_{i-1}, 0, x_{i+1}, \ldots, x_m)$  stands for the corresponding negative cofactor. Given an m-variable Boolean function  $f(x_1, x_2, \ldots, x_m)$ , the existential abstraction of f with respect to  $x_i$  is

$$\exists_{x_i} f = f_{x_i} + f_{\overline{x}_i}.$$
 (1)

Given  $f(s, x) = (s_1, \ldots, s_n, x_1, \ldots, x_m)$  the existential abstraction with respect to a set of variables is defined as

$$\exists_x f(s,x) = \exists_{x_1} (\cdots (\exists_{x_{m-1}} (\exists_{x_m} f(s,x)))).$$
(2)

#### D. Sets and Characteristic Functions

Given a Boolean space  $B^l$ , a set of minterms in  $B^l$  can be represented by a *characteristic function* of S,  $\chi_S(s)$  which satisfies the property:  $s \in S \iff \chi_S(s) = 1$ , for all  $s \in B^l$ . In other words, a minterm of  $B^l$  which evaluates  $\chi_S$  to 1 (i.e., an on-set minterm) is an element of S. The characteristic function of the universe is tautology and that of a null set is 0. ROBDDs [24], [25] are often exploited as implicit set representations in order to represent their characteristic functions. In the context of implicit state enumeration, the sets of reachable and unreachable states of a machine are represented by their respective characteristic functions. Henceforth, we will use the term sets and their



Fig. 1.

characteristic functions interchangeably, without diluting their meaning.

#### E. Symbolic Image Computation

Definition III.1: Given a BFV  $F: B^n \to B^m$ , and a domain subset  $C \subseteq B^n$ , the *image* of C under F is defined by

$$IMAGE(F,C) = \{F(x) | \forall x \in C\}.$$
(3)

If  $C = B^n$ , the image of C under F is also called the range of F.

Let  $t_i = \Delta_i(s, x)$ ,  $1 \le i \le n$  be the *i*th encoded next state transition function of a given encoded FSM. Let *s* and *x* be the coding vectors for the states and inputs, respectively. Let *n* be the total number of state encoding bits (number of registers). A symbolic state set C(s) is mapped by  $\Delta(s, x)$  into a state set *N* in the range of the functional vector  $\Delta$ . The set of such codomain points represents the *image* of *C* under the transition function  $\Delta$ . Fig. 1 depicts the image of the domain subset *C* under the transition function *F*. In the symbolic approach, the image is computed using *transition relations*.

Definition III.2: Given a deterministic transition function  $t = \Delta(s, x)$ , where s represents the present state variables, x represents the input variables, and t the next state variables, the corresponding *transition relation* T(s, x, t) is defined by

$$T(s, x, t) = \prod_{i=1}^{i=n} (t_i \equiv \Delta_i(s, x)).$$
 (4)

Note that  $t_i$  takes the same values that are evaluated by the *i*th encoded transition function  $\Delta_i$ . In the binary case, the symbol " $\equiv$ " stands for the XNOR operation.

Given the above definitions, we can easily compute the image of a domain subset C(s) (say, initial state of the FSM) under F(say, the transition function of the FSM) as

$$N(t) = \text{IMAGE}(T, C) = \exists_x \exists_s T(s, x, t) \cdot C(s).$$
(5)

In other words, the image of a set of initial states under the transition function of an FSM proceeds as follows. First, we compute the transition relation T(s, x, t) from the circuit equations. Then we compute its conjunction with the characteristic function of the set of initial states C(s). Then, we existentially abstract all the input (x) and the present state (s) variables to obtain the image N(t). This image, N(t), is the set of next states that are directly reachable from the set of initial states in one transition.

Inputs: Transition function BFVs (
$$\Delta$$
), Initial State ( $S^0$ )  
 $from^0 = reached = S^0$ ;  
 $i = 0$ ;  
while TRUE do  
 $i + +$ ;  
 $to^i = IMAGE(\Delta, from^{i-1})$ ;  
 $new^i = to^i \cdot \overline{reached}$ ;  
if  $new^i == 0$  then  
return (reached);  
end if  
 $reached = reached + new^i$ ;  
 $from^i = new^i$ ;  
end while

Fig. 2.

# F. Implicit State Enumeration Using Symbolic Image Computations

Traversing an FSM means executing symbolically all its transitions. If a state transition diagram is available, an explicit traversal means following all directed paths whose tail is the initial state, thus detecting all reachable states. If the FSM is described by a synchronous logic network, a traversal means determining all possible value assignments to state variables that can be achieved, starting from the assignment corresponding to the reset state. In this case, reachable and unreachable state sets are represented *implicitly* by functions over the state variables.

The technique to implicitly compute the set of reachable and unreachable states of an FSM, as originally presented in [26], has been improved over the years [22], [23], [27], which has dramatically extended the realm of problems for which reachability analysis can be carried out. These approaches are based on a breadth-first traversal (BFS) of the entire state machine. The key ideas of the method are the use of symbolic image computations to perform the BFS traversal and the use of BDDs as implicit set representations to store and process the set of reachable and unreachable states. In what follows, we briefly describe how states are implicitly enumerated during BFS traversal using symbolic image computations.

Fig. 2 describes the BFS traversal procedure to enumerate the reachable states of an FSM using symbolic image computations. Initially, from<sup>0</sup> =  $S^0$ , is the characteristic function of the initial state set.  $to^i$  represents the states reached in the *i*th iteration of BFS traversal. It is evaluated by computing the symbolic image of the domain subset from<sup>*i*-1</sup> under the transition function  $\Delta$  of the FSM. The characteristic function *reached* represents the set of states that have been reached so far from the initial states. Some states in  $to^i$  may have been reached in previous iterations, so a set difference operation with *reached* is required to compute new<sup>*i*</sup>, the new states reached in this iteration for the first time. If no *new* states are reached in any iteration, the procedure terminates and *reached* is guaranteed to contain all reachable states of the machine.

# G. Sequential Circuit Testing Terminology

A gate has an input/output *stuck-at-1* (*stuck-at-0*) fault if the logical value associated with the input/output is 1(0), independent of the values at the primary inputs. A fault f is said to be





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	OPUS Scan $r_1$	Scanning r <sub>2</sub>
Total # of faults	44	44
# of detected faults	37	44
Percentage fault cov.	84%	100%
# of untestable faults	7	0
# of vectors	13	21

detectable if there exists an input sequence Y such that for every pair of initial states S and  $S^f$  of the fault-free and faulty circuits, respectively, the response Z of the fault-free circuit to Y is different from the response  $Z^f$  of the faulty circuit at some time unit [28]; otherwise, it is undetectable.

In general, the problem of sequential circuit test generation involves finding primary input sequences which can excite a fault and propagate its effect to the primary outputs. Thus, to detect a single stuck-at fault, first the fault excitation state has to determined, the circuit has to be driven to the fault excitation state, and finally the fault effect has to be propagated to the primary outputs [29]. The process of finding an input sequence which takes the machine from the reset state (or an unknown initial state) to the fault excitation state is called state justification and such a sequence is called a justification sequence. An assignment to primary input and present state lines that propagates the effect of a fault at either the primary outputs or next state lines is called the excitation vector for the fault. Note that the present state part of the excitation vector is the excitation state for the fault. For an excitation vector to be valid, the excitation state for the vector should contain at least one reachable state.

# IV. MOTIVATION

Let us now motivate the importance of analyzing the circuit state information in order to identify noncontrollable state registers for partial scan design. Consider the circuit shown in Fig. 3. Using the sequential circuit test generator HITEC [30], the circuit was found to be 77% testable. The untestable faults are depicted in the figure (marked by  $\otimes$  and  $\times$ ). In order to improve upon the fault coverage for this circuit, we decided to use a partial scan. The program OPUS [9] was used to select partial scan registers. OPUS selected register  $r_1$  for partial scan, resulting in 84% fault coverage (refer to Table I). The faults marked by  $\otimes$  became testable as a result of making  $r_1$  controllable; however, the faults marked by  $\times$  could still not be tested.



Fig. 4.

Scanning register  $r_3$  gave the same results (84% fault coverage). However, by selecting  $r_2$  for scan, we were able to achieve 100% fault coverage. The above observation leads us to the following question: How do we know that register  $r_2$  is the best register to scan? To answer this question, let us analyze the state transition behavior and the encoding of the underlying FSM of this circuit shown in Fig. 4. We can see from the STG that once the machine is in one of the states determined by the set  $\alpha = (S_2, S_3, S_4, S_5)$ , it cannot make a transition to any of the states in the set  $\beta = (S_0, S_1)$ . Once the machine enters the set  $\alpha$ , it remains within  $\alpha$ , and there is no path in the STG from  $\alpha$  to  $\beta$ . Thus, for the encoding in Fig. 4(b), it is not possible to change the value of  $r_2$  from 0 to 1. Clearly, this sort of a behavior of the underlying FSM of this sequential circuit manifests itself in terms of the noncontrollability of register  $r_2$ . This, in turn, causes the untestable faults in the circuit.

The reason that OPUS failed to identify  $r_2$  as the best register to scan is that its algorithm is based predominantly on the *structural analysis* of the sequential circuit. No information about sequential behavior of the circuit (state transitions and the encoding) is used for the selection of scan registers. It becomes clear, however, that the state transition information and the encoding of a sequential circuit are important factors in determining the noncontrollability of state registers. Thus, in order to select partial scan registers, there is a need for techniques that analyze the behavior of a sequential circuit over *multiple clock cycles*. Over and above, such techniques have to be computationally efficient in memory and time requirements. Implicit state enumeration is an efficient technique that can be exploited to analyze the behavior of the sequential circuit for testing purposes.

Motivated by the above observation, we investigated how implicit state enumeration could be used to assess flip–flop controllability measures. Specifically, we can use this analysis to: 1) identify noncontrollable flip–flops; 2) identify difficult-to-control flip–flops; and 3) exploit the information about the unreachable (or illegal) states, in order to select the best possible set of scan flip–flops in a systematic and nongreedy fashion.

# V. IDENTIFYING PARTIAL SCAN REGISTERS USING IMPLICIT STATE ENUMERATION

There have been a few attempts to use implicit state enumeration to analyze the circuit behavior and exploit it for testing purposes. Cho *et al.* [31] used implicit state enumeration for test generation and redundancy identification. They used implicit state enumeration to perform reachability analysis and used this information during the *state justification* and *state differentiation* phases of test generation. Long *et al.* [32] also proposed a BDD-based method to enumerate the unreachable states and used this information to identify the sequentially untestable faults. However, none of the above works targeted the partial scan problem.

In this section, we present a new testability analysis framework that uses implicit state enumeration to analyze the circuit behavior in order to evaluate noncontrollability of flip–flops. By carrying out reachability analysis on the circuit, not only do we manage to enumerate the reachable and unreachable states but also pin-point the noncontrollable and difficult-to-control flip–flops. We use this flip–flop controllability information to correctly target the registers to be scanned for partial scan design.

#### A. Noncontrollable Registers: Missing Transitions

We shall now explain, by means of an example, how noncontrollable flip–flops can be identified by implicitly traversing the underlying state machine of a circuit. Consider again the example circuit and its STG shown in Figs. 3 and 4, respectively. Following are the next state equations (or next state transition functions) of the example circuit:

$$r_1[t] = i_2[t] + \overline{i_3[t]r_3[t-1]} + r_2[t-1]$$
(6)

$$r_2[t] = \overline{i_1[t]}r_2[t-1] \tag{7}$$

$$r_3[t] = i_4[t]r_1[t-1]. \tag{8}$$

From these encoded next state transition functions, the corresponding transition relations can be readily derived as follows:

$$T(s, x, t) = \prod_{i=1}^{i=3} (t_i \equiv \Delta_i(s, x))$$
  
=  $(t_1 \equiv i_2[t] + \overline{i_3[t]r_3[t-1]} + r_2[t-1])$   
 $\times (t_2 \equiv \overline{i_1[t]}r_2[t-1])(t_3 \equiv i_4[t]r_1[t-1]).$ (9)

The given initial state of this circuit is  $S_1 = \langle r_1 = 0, r_2 = 1, r_3 = 0 \rangle$ . The characteristic function of the set representing the initial state can is represented as

$$C(s) = \overline{r_1[t-1]} \cdot r_2[t-1] \cdot \overline{r_3[t-1]}.$$
 (10)

The set of states directly reachable from the initial state C(s)under the transition function  $\Delta$  can be computed as

$$N(t) = \text{IMAGE}(T, C) = \exists_x \exists_s T(s, x, t) \cdot C(s).$$

Substituting the value of T(s, x, t) obtained from (9) and the value of C(s) obtained from (10), and quantifying with respect to (w.r.t.) input  $(x = \{i_1[t], i_2[t], i_3[t], i_4[t], i_5[t]\})$  and present state  $(s = \{r_1[t-1], r_2[t-1], r_3[t-1]\})$  variables, we get

$$N(t) = t_1 \cdot t_2 \cdot \overline{t_3} + t_1 \cdot \overline{t_2} \cdot \overline{t_3}.$$
 (11)

This implies that the forward image of the state  $S_1 = \langle 010 \rangle$ under the given transition function of the FSM (or equivalently, the set of states reachable in one step from the initial state  $S_1$ ) is  $\langle S_0 = (110), S_2 = (100) \rangle$ . This can be verified by the STG and the encoding of the circuit shown in Fig. 4. The above reachability computations when performed iteratively lead us to the following state traversal:

$$(S_1:010) \rightarrow (S_0:110, S_2:100) \rightarrow (S_3:101)$$
  
 $\rightarrow (S_4:001, S_5:000).$ 

That is, from  $S_1$ , the directly reachable states in one step are  $S_0$  and  $S_2$ . From  $S_0$  and  $S_2$ , the directly reachable state in one step is  $S_3$ , and finally  $S_4$  and  $S_5$ , at which point the entire reachable state space has been explored. Let us now examine the FSM traversal trace for register  $r_2$ 

$$(S_1: r_2 = 1) \to (S_0: r_2 = 1, S_2: r_2 = 0) \to (S_3: r_2 = 0)$$
  
  $\to (S_4: r_2 = 0, S_5: r_2 = 0).$ 

Notice that register  $r_2$  can change its value from 1 to 0; i.e., it is possible to get a falling transition  $(1 \rightarrow 0)$  at the output of  $r_2$ . However, a rising transition  $(0 \rightarrow 1)$  is missing. In other words, once  $r_2$  gets the value 0, it can never obtain the value 1. Thus, register  $r_2$  is *unsettable* to logic value 1 from logic value 0. If certain registers cannot make some transition, then it may not be possible for a test generator to justify the values in the registers during its state justification phase. This, in turn, may render some faults sequentially untestable. Such registers are surely good candidates for scan (verify from Table I that scanning register  $r_2$  leads to a fully testable circuit). Thus, while implicitly enumerating all the states in the set of reachable states of a machine, by observing the values in all the flip-flops we can identify those registers that do not make some transition and select them for partial scan.

# B. Difficult-to-Set Flip-Flops

Hartanto *et al.* [33] had suggested that identifying the states that are difficult to traverse by the test generation tools can significantly speed up test generation for sequential circuits. They proposed a method to identify those flip–flops which were difficult to control. They defined the difficult-to-set flip–flops as follows.

Definition V.1: A state element  $s_d$  in a sequential machine M is difficult-to-set to a value v if a test generator, under a specified time and backtrace limit, does not find an input sequence that can bring the machine M from its fully unspecified initial state (consisting of all unknown values in the flip-flops and corresponding to the entire state space) to a state where the value of  $s_d$  is v.

It was indicated that the method to identify difficult-to-set flip–flops was dependent on the test generator used. To identify these difficult-to-set flip–flops they had to modify the circuit by creating a primary output at each flip–flop. A deterministic test pattern generator was then used to test for stuck-at-0 and stuck-at-1 faults at these lines transformed into primary outputs. The difficult-to-set flip–flops were identified by observing the values at the output of each flip–flop.

We present a method to identify such difficult-to-set flip-flops that does not require any circuit modifications. Also, our model to identify difficult-to-set flip–flops does not depend on any test generator. While implicitly enumerating the reachable states of a circuit, for each register we evaluate the largest number of states successively traversed in a sequence, for which the flip–flops do not change their values. In other words, for each register, we record the length of the longest sequence of 0s and 1s (whichever is greater), which indicates the difficulty in setting a flip–flop to a particular value. To find such difficult-to-set flip–flops, we define a term, *degree of unsettability*, of a flip–flop.

*Definition V.2:* The *degree of unsettability* of a flip–flop is defined as the length of the longest sequence of states in the implicit traversal trace of an FSM, for which a flip–flop does not change its value.

Scanning such difficult-to-set flip–flops, identified by their degree of unsettability, would help in detecting the difficult-to-test faults. The reason for this can be explained as follows. If a test generator has to justify a value in a register, say a value 1, and it encounters a backtrace path of a long sequence of 0s, then it may have to backtrace many time frames in search for a value 1. In doing so, it may abort such faults and classify them as difficult-to-test, which may lead to reduced fault coverage.

#### C. Sequentially Untestable Faults: Targeting the Illegal States

Knowledge of state space is known to be quite useful in causing early backtracks in test generation. Test generators often spend a significant amount of time on undetectable faults as they eventually have to backtrack a large subset of the state space in order to prove that the values in the registers cannot be justified due to the unreachable states. A powerful technique for proving the undetectability of the faults is the identification of illegal states. Formal methods [26], [27], [31] and other recent approaches based on BDDs [32] are widely used to identify illegal states. After computing the reachability information using implicit state enumeration on an FSM, all the reachable states are stored implicitly in a BDD. Complementing this BDD results in the set of all the unreachable states.

We use the information on the unreachable states to target the selection of scan flip–flops. Fig. 5 enumerates all the unreachable states of an MCNC benchmark example. All these states are stored implicitly using a BDD which represents the characteristic function of this set of unreachable states.

It is clear from the list of all the unreachable states that register  $R_1$  would be a good candidate for scan. This is because in all the unreachable states,  $R_1$  takes the value 1. Hence, in the reachable state set, it would be difficult to set  $R_1$  to 1. Let us denote the characteristic function of the set of unreachable states by  $F_{illegal}$ . In this case,  $F_{illegal}$  is *unate* in variable  $R_1$ . Now the problem of identifying the noncontrollable registers from the illegal state set could be transformed into one of identifying that state variable over which  $F_{illegal}$  is unate.

However, no claims can be made about the unateness of the characteristic functions of the unreachable state set of an FSM in general. Some functions may not be unate in any of the variables in their support set, whereas some may be unate in all the variables in their support. Hence, it is necessary to define (with some abuse of terminology) the *degree of unateness* for each

	R1	R2	R3	R4	R5	
	1	0	0	0	0	
	1	0	0	1	0	
	1	0	0	1	1	
	1	0	1	0	0	
	1	0	1	0	1	
	1	0	1	1	0	
	1	0	1	1	1	
	1	1	0	1	0	
	1	1	1	0	0	
	1	1	1	0	1	
_	1	1	1	1	0	
Degree of unateness:	11	3	3	1	3	

Fig. 5.

state variable in order to measure the noncontrollability of the registers.

Definition V.3: Let  $F_{\text{illegal}}$  represent the characteristic function of the set of all the illegal states of an FSM. Let  $R_i$  be a variable in the support of  $F_{\text{illegal}}$ . The absolute value of the difference between the number of zeros and ones that a variable  $R_i$  can take in the domain of  $F_{\text{illegal}}$  is defined as the *degree of unateness* of variable  $R_i$ .

Using the above measure of noncontrollability, we can select the register for partial scan that has the highest degree of unateness. However, two or more registers may have the same measure of the degree of unateness (as is the case with registers  $R_2$ and  $R_3$  in Fig. 5). For this reason, we need to simultaneously take into account their degree of unsettability in order to differentiate between their relative noncontrollability measures.

#### D. Overall Noncontrollability Measures of Flip–Flops

From the above-mentioned techniques, we can now define the overall noncontrollability measure of each flip-flop  $R_i$  of the circuit as follows:

Noncontrollability( $R_i$ ) = degree\_of\_unsettability( $R_i$ ) +degree\_of\_unateness( $R_i$ ). (12)

In other words, the overall noncontrollability measure of flip–flops is the sum of their respective degrees of unsettability and unateness. The addition (sum) of the unsettability and the unateness measures properly addresses the issues of both state machine reachability and unreachability. If all the states of the circuit are reachable, i.e., the unreachable state set is empty, the degree of unateness is zero, and the degree of unsettability dictates the noncontrollability measure. In contrast, for a machine that has a much higher number of illegal states than legal states, chances are that the degree of unateness would bias the noncontrollability measure in its favor. The "middle ground" would be achieved when the machine has comparable reachable and unreachable state set size, in which case both unsettability and unateness measures would evenly contribute to the noncontrollability measure of the flip–flops.

#### VI. THE SIMPSON ALGORITHM

Based on the flip-flop controllability analysis techniques outlined above, we present an algorithm, Scan register selection Inputs: Sequential circuit, number of registers to scan. Outputs: Scan registers listed in decreasing order of their non-controllability.  $from^0 = reached = S^0;$ i = 0;while TRUE do i + +; $to^i = IMAGE(\Delta, from^{i-1});$  $new^i = to^i \cdot \overline{reached};$ for each state variable  $r_i$  do record\_if\_transitions\_present\_or\_missing( $r_j$ ,  $new^i$ ); compute\_degree\_of\_unsettability( $r_i, new^i$ ); end for if  $new^i == 0$  then break; end if  $reached = reached + new^i;$  $from^i = new^i$ ; end while /\* FSM traversal completed \*/ for each state variable  $r_i$  do if missing transition for  $r_i$  then scan state variable  $r_i$ ; end if end for *illegal\_states* = bdd\_complement(*reached*); for each state variable  $r_i$  do compute\_degree\_of\_unateness( $r_j$ , *illegal\_states*); non-controllability $(r_j)$  = degree\_of\_unsettability $(r_j)$  + degree\_of\_unateness $(r_j)$ ; end for order state variables in terms of their non-controllabilities; /\* Sorting \*/ output the required scan registers;

Fig. 6.

using IMPlicit State enumeratiON (SIMPSON), that uses implicit state enumeration to analyze the behavior of the sequential circuit in order to select the noncontrollable state registers for partial scan.

The algorithm SIMPSON, shown in Fig. 6, proceeds as follows. Using symbolic image computation, the FSM is traversed implicitly. During each reachability step of the FSM traversal, both rising and falling transitions on each register are recorded. Also, during the FSM traversal the degree of unsettability (length of the longest sequence of 0s or 1s, whichever is greater) for each memory element is recorded. After the completion of FSM traversal, if a register is found to be missing either a rising or falling transition, it is selected for scan. Furthermore, from the implicitly enumerated reachable states, the unreachable states of the circuit are computed. From this set of unreachable states, the *degree of unateness* of each state variable is computed. Subsequently, the overall noncontrollability measure for each flip-flop (sum of their unateness and unsettability measures) is computed. The state variables are then sorted in terms of the decreasing order of their degree of noncontrollability. The algorithm lists the memory elements of a sequential circuit in decreasing order of the degree of their noncontrollability with the most noncontrollable memory element at the top of the list.

The above algorithm was programmed within the VIS [34] tool-set. VIS provides a robust platform for performing reachability analysis using symbolic image computations. The sets of states and transition relations of the FSM are stored in memory using ROBDDs. The CUDD [35] package was used for storage and manipulation of sets of states, Boolean functions, and relations. Using SIMPSON, extensive experiments on a set of MCNC and ISCAS'89 benchmarks were carried out on a Sun UltraSparc5 workstation with 320 MBytes of RAM.

Let us first demonstrate how the flip–flop noncontrollability measures computed by SIMPSON distinguish between the relative noncontrollabilities of the flip–flops of a circuit. Consider the following testability statistics corresponding to the ISCAS'89 benchmark circuit *s*832. This circuit has a total number of five flip–flops. Its nonscan fault coverage is 93.4% (813 detected faults/870 total faults). Its full-scan fault coverage is 98.39% (856 detected faults/870 total faults). Table II lists the flip–flops ordered by SIMPSON according to the degree of their noncontrollabilities. The respective ATPG statistics obtained by scanning each of these latches individually are also reported. Flip–flop G38 has the highest noncontrollability measure. By scanning this latch and generating tests using the ATPG tool HITEC [30], 98.39% fault coverage was achieved. The flip–flop G41 is next most noncontrollable flip–flop.

Latch order	Non-controllability measure	Fault cov. (scan)	# Vectors
G38	28	98.39% (856/870)	881
G41	19	97.47% (848/870)	552
G40	18	97.35% (847/850)	679
G42	17	97.24% (846/870)	692
G39	16	95.28% (829/870)	639

Scanning this flip–flop allows us to achieve 97.47% fault coverage. This fault coverage is less than that achieved by scanning the most noncontrollable flip–flop G38, but more than that achieved by scanning the ones down the order. From the table it is clear that the estimated noncontrollabilities are in accordance with their observed test generation and fault coverage statistics.

Let us now observe the experimental results carried out using SIMPSON over a large number of the ISCAS'89 benchmark circuits and analyze how they compare with the ones obtained by using contemporary partial scan approaches. The results depicted in Table III are compared with both the structural analysis (cycle breaking) and the SCOAP testability analysis approaches used by OPUS.

## A. Analyzing the Results

Results depicted in Table III require some explanation. Three different techniques were used to select partial scan registers. First, using the structural analysis/cycle breaking option of OPUS, partial scan registers were selected. The structural analysis option of OPUS automatically selects the minimum number of scan flip-flops required to break all cycles/loops in the design. After scanning the selected registers, tests for the circuits were generated using the sequential circuit test generator HITEC [30], and the fault coverage achieved was recorded. Next, the SCOAP testability analysis option of OPUS was used to select partial scan registers. The SCOAP testability option of OPUS does not automatically select the number of registers to scan for high fault coverage. It lists all the flip-flops of the circuit sorted according to their SCOAP controllability/observability measures. For our experiments with the SCOAP testability option of OPUS, we selected the same number of registers to scan as suggested by its cycle breaking option. The selected registers were scanned, the test vectors generated, and the fault coverage was recorded. Finally, SIMPSON was used to select partial scan registers. The testability statistics presented for SIMPSON in Table III show the *minimum* number of scan registers required to achieve higher or equally high fault coverage as that obtained by using OPUS. The results are very encouraging. For almost all examples, SIMPSON suggests a better set of registers to scan than OPUS; by selecting fewer registers for scan, higher fault coverage is achieved.

Let us first compare the results obtained by using the "cycle breaking" option of OPUS with those obtained by using SIMPSON. For benchmarks *false*, *s1488*, *s344*, and *s820*, OPUS and SIMPSON select the same number of registers for scan. However, SIMPSON selects different registers than OPUS and provides higher fault coverage. For benchmark *s1494*, OPUS and SIMPSON select the same registers for scan and hence their testability statistics are identical. For

benchmark *s838*, VIS was unable to completely traverse the FSM in acceptable time. The BFS traversal did not converge because the reachable state set of this machine is very large. Also, since the size of the image computed at each iteration is relatively small, it requires too many iterations of image computation to converge to a fix point. For benchmark *s*1423, VIS could not complete the traversal because of memory limitations. The set of reachable states was too large to be compactly represented by a monolithic BDD. In all other cases SIMPSON selects fewer registers for scan than OPUS and provides higher fault coverage. For none of these benchmarks does SIMPSON produce worse results than OPUS.

Let us now compare the results obtained by using the "SCOAP testability analysis" option of OPUS with those obtained by using SIMPSON. For benchmarks *s1488* and *s344*, OPUS and SIMPSON select the same registers for scan and hence their testability statistics are identical. For benchmark *s820 and s1494*, OPUS and SIMPSON select the same number of registers for scan. However, SIMPSON selects a different register than OPUS and provides higher fault coverage. For all other benchmarks, SIMPSON selects fewer registers for scan than OPUS and still provides higher fault coverage. Thus, it can be concluded from the results that the testability analysis techniques used by SIMPSON to select noncontrollable state registers for partial scan are not only very accurate but also superior to the conventional state-of-the-art techniques used by OPUS.

Notice that the SIMPSON algorithm is not designed to answer the question: "How many flip–flops should be selected for partial scan?" It only provides an ordered list of flip–flops based on their noncontrollability measures. Selecting flip–flops for scan affects the circuit adversely with respect to area and timing characteristics. Test engineers often have to provide scan-based design-for-test solutions within the area/timing constraints imposed on the designs. With the above issue in mind, the decision on the number of flip–flops to select for partial scan is left as a prerogative of the designer. With the above experiments, we only wish to demonstrate the accuracy of the noncontrollability estimates used by SIMPSON to differentiate between the flip–flops for scan design.

## B. Extension to SIMPSON: Incorporating Latch Correlations

The approach used by SIMPSON is still quite greedy. It does not take into account interdependencies and correlations among state registers. By scanning a register, it may become possible to indirectly control other registers. Scanning such indirectly controllable registers would be unnecessary. Thus, a straightforward extension to SIMPSON would be the incorporation of a technique that analyzes latch dependencies and correlations. Cho et al. [22] suggested a model to evaluate latch dependencies, latch affinities, and latch correlations. They used it to decompose the complete state space of a huge FSM into interacting FSMs so that implicit state enumeration could now be carried out on decomposed FSMs, each of a relatively smaller size. Such a model could be readily incorporated within SIMPSON. While selecting a set of scan registers, correlations of all the registers with respect to a preselected scan element could be analyzed to select the next best candidate for partial scan.

			OPUS: Cyc	le Breakin	Ig	OPUS	: SCOAP 7	Testability	Option	SIMPSON			
Circuit	Total	Scan	Fault	CPU	# of	Scan	Fault	CPU	# of	Scan	Fault	CPU	# of
	FFs	FFs	Cov	Time	Vects	FFs	Cov	Time	Vects	FFs	Cov	Time	Vects
bbsse	6	3	99.66%	< 1 ms	69	-	-	_		1	99.66%	10 ms	131
false	3	1	85%	< 1 ms	10	-	-		-	1	100%	10 ms	21
ex1	5	4	100%	< 1 ms	152	-	_		_	3	100%	10 ms	304
rie	5	3	100%	< 1 ms	171	-			-	2	100%	4 ms	89
planet	7	5	100%	< 1 ms	252	-	-		-	4	100%	10ms	64
imec10	8	6	100%	< 1 ms	350	-	_	-	-	5	100%	40 ms	716
s386	4	3	100%	< 1 ms	82	3	98.18%	< 1 ms	194	1	100%	10 ms	157
s820	5	1	97%	< 1 ms	542	1	97.06%	< 1 ms	700	1	100%	40 ms	894
s832	5	4	98.39%	< 1 ms	440	4	98.39%	< 1 ms	327	1	98.39%	20 ms	581
s1488	6	3	96.5%	< 1  ms	1033	3	100%	< 1 ms	493	3	100%	20 ms	455
s1494	6	2	99.88%	< 1 ms	472	2	99.2%	< 1 ms	776	2	99.8%	20 ms	472
s510	6	5	100%	< 1 ms	392	5	100%	< 1 ms	122	3	100%	60 ms	480
s344	15	1	94.83%	< 1 ms	85	1	97.66%	< 1 ms	70	1	97.66%	120 ms	70
s349	15	5	98.86%	< 1 ms	97	5	99.14%	< 1ms	80	3	99.57%	20ms	91
s420	16	0	98.3%	< 1 ms	36	-	-	-	-	0	98.3%	10 ms	36
s641	19	7	100%	< 1 ms	172	7	99.36%	< 1 ms	199	3	99.37%	420 ms	236
s713	19	5	91%	< 1 ms	203	5	92.94%	< 1 ms	241	3	93.1%	430 ms	257
s444	21	9	95.99%	< 1 ms	184	9	94.94%	< 1 ms	115	5	98.1%	530 ms	1321
s400	21	9	97.4%	< 1 ms	186	9	96.23%	< 1 ms	151	6	97.42%	590ms	· 723
s382	21	9	98.75%	< 1 ms	166	9	97.49%	< 1 ms	131	6	98.75%	620 ms	693
s953	29	5	100%	< 1 ms	309	5	100%	< 1 ms	309	3	100%	200ms	426
s838	32	-	-	-	-	-		-	-	-		-	-
s1423	74	22	92.15%	2 sec	203	22	86.27%	2 sec	329	-	-	_	-

#### TABLE III

However, the model to evaluate latch dependencies and correlations proposed by Cho *et al.* in [22] is based predominantly on the structure and topology of the circuit. Such structural models for analysis of latch correlations have a drawback as they cannot take into account *false dependencies* among the state registers (e.g., function f = a + ab does not depend on b). The issue of combinational false dependencies can still be resolved efficiently; however, the presence of sequential false dependencies among registers, such as register-to-register multicycle false paths, significantly complicate the analysis [18]. Techniques that could efficiently analyze "functional" dependencies and correlations among the state registers of a circuit need to be developed.

Fortunately, by using SIMPSON iteratively, we can indirectly take into account correlations among state registers as follows. By scanning a register, some of the previously unreachable states become reachable and the size of the unreachable state set should shrink. As the state space of the underlying FSM of a circuit changes, so do the controllability measures of the flip-flops. Thus, after selecting a register for scan (modifying the circuit by converting the flip-flop into a primary input and output) we could recompute the set of reachable and unreachable states and apply the algorithm again on the modified circuit. In this way, we can use SIMPSON iteratively while selecting the single most noncontrollable memory element for scan during each iteration. This would help us in avoiding those registers that can be indirectly controlled by scanning other registers in a more systematic and nongreedy fashion. Table IV presents the results of using the above extension to SIMPSON.

Interestingly, for most benchmarks (*s510, s344, s349, s641, s713, and s382*) iterative application of SIMPSON results in se-

#### TABLE IV

· · · · · · · · · · · · · · · · · · ·		SIMPS	ON - One	Pass	SIMPSON -Iterative				
Circuit	Total #	# of Scan	Fault	# of	# of Scan	Fault	# of		
	Regs.	Regs.	Cov.	Vects.	Regs.	Cov.	Vects.		
s1488	6	3	100%	455	2	100%	625		
s1494	6	2	98.8%	472	2	99.2%	776		
s510	6	3	100%	480	3	100%	480		
s344	15	2	98.54%	93	2	98.54%	93		
s349	15	3	99.57%	91	3	99.57%	91		
s641	19	3	99.37%	236	3	99.37%	236		
s713	19	3	93.1%	257	3	93.1%	257		
s444	21	5	98.1%	1321	5	99.58%	1627		
s400	21	6	97.42%	723	6	99.06%	1729		
s382	21	6	98.75%	693	6	98.75	693		

lection of the same registers for scan as selected by its one-pass application, hence the identical fault coverage and testability overhead statistics. As no knowledge about the degree of correlation among the registers for these benchmarks is available, it is difficult to explain precisely why the greedy one-pass approach of SIMPSON performs just as well as the iterative approach. One possible reason could be that the selected scan registers do not have a high degree of correlation among them. For the benchmark *s1488*, the iterative application of SIMPSON results in fewer scan registers with equally high fault coverage. For all other benchmarks (*s400, s444, and s1494*), higher fault coverage is achieved with the same number of scan registers by using SIMPSON iteratively. Iterative application of SIMPSON never produces worse results than those obtained by its one-pass counterpart.

# C. Limitations of Simpson

SIMPSON, however, suffers from all the drawbacks associated with implicit state enumeration techniques using BDDs. For very large circuits, BDDs are known to suffer from the state explosion problem since they have worst case memory requirements exponential in the size of the support set. This makes them impractical to represent large sets of reachable and unreachable states. Also, the CPU time used by SIMPSON can be a limiting factor in evaluating the scan flip–flops for very large circuits. As it can be observed from Table III, the processing time used by OPUS is a small fraction of that used by SIMPSON. The larger CPU time requirements for SIMPSON can be attributed to the iterative reachability computations performed while state enumeration. Use of dynamic variable ordering methods to limit the increase in the size of BDDs can further enhance the computation time.

As it becomes infeasible to represent and manipulate the set of all the reachable and unreachable states for very large circuits using BDDs, approximate reachability analysis [22], [23] can be carried out to analyze the behavior of the underlying sequential machine. Approximate reachability analysis avoids the BDD explosion problem because it allows us to represent a superset of reachable states of the machine as the product of smaller subsets, such that BDDs of their characteristic functions can be built and processed easily. Approximate reachability analysis trades off accuracy for space efficiency. The remainder of the paper analyzes how we can use approximate FSM traversal in order to estimate the noncontrollability of flip–flops and target them for partial scan design.

## VII. APPROXIMATE REACHABILITY ANALYSIS

In Section VI, we observed the practical limitations of the exact FSM traversal techniques to implicitly enumerate the states of a sequential circuit. We now review approximate reachability analysis techniques to estimate the upper bound on the set of reachable states of an FSM. Cho *et al.* [23] presented techniques to decompose the state space of a large machine into smaller submachines and then perform reachability analysis on the resulting component machines to overapproximate the overall reachable state set. In what follows, we first describe the basic theory behind state space decomposition and then describe the approximate FSM traversal technique used in the context of this paper. For a detailed analysis of state space decomposition and approximate FSM traversal, the reader is referred to [22] and [23].

#### A. State-Space Decomposition

Let V be the set of state variables of an FSM, M, associated with a circuit C. A state variable partition of M is a partition of V. A state variable partition induces a *state space decomposition* (SSD). Let  $\pi = {\pi_1, ..., \pi_n}$  be a state variable partition that partitions V into n components. Each component  $\pi_i$  represents a Boolean subspace consisting of the coordinate vectors corresponding to the state variables in  $\pi_i$ .

Let S be a set of states of the circuit C. Given a state variable partition  $\pi = {\pi_1, \ldots, \pi_n}$ , the *projection* of S on  $\pi_i$  is defined by

$$P_i(S) = \exists_{(V-\pi_i)} S. \tag{13}$$

Let  $\Delta$  be the transition function BFV for the original machine. The state variable partition  $\pi = \{\pi_1, \ldots, \pi_n\}$  further induces a partition on the following.

- A partition on Δ as {Δ<sub>1</sub>,...,Δ<sub>n</sub>}; this is called a BFV partition. In other words, each block, π<sub>i</sub>, of the state variable partition identifies a set of next state function components Δ<sub>i</sub>. Each component Δ<sub>i</sub> can be seen as the next state BFV of a sub-FSM of the original machine.
- 2) A partition on the original machine M as  $\{M_1, \ldots, M_n\}$ , where each  $M_i$  is a sub-FSM given by  $M_i = \langle \sum \times B^{l-q}, O, S^*, P_i(S^0), \Delta_i, \Lambda_i \rangle$ , where  $B = \{0, 1\}$ , l is the total number number of state variables, q is the number of state variables in partition  $\pi_i, S^* \subseteq B^q, \Delta_i$  is the transition function BFV of  $M_i$ , and  $\Lambda_i$  is the identity function from  $P_i(S)$  to itself.

The set  $\{M_1, \ldots, M_n\}$  constitutes the SSD FSM network obtained from partitioning the original machine. The primary inputs of M are shared by the  $M_i$ s and the communication between  $M_i$ s is through the state variables in V. The state variables local to a sub-FSM  $M_i$ , i.e., those that appear in partition  $\pi_i$ , are called *local state variables*. State variables not in partition  $\pi_i$ , but appearing in the support of  $\Delta_i$ , are termed as *pseudoprimary inputs* of the submachine  $M_i$ . Note that SSD is intended solely for reachability analysis purposes and, therefore, the corresponding partitioning of the output function BFV,  $\Lambda$ , of the original FSM, M, is intentionally left out [23].

# B. Machine Partitioning for SSD

The accuracy and efficiency of the approximate traversal approach strongly depends upon the latch set partitioned into subsets to obtain state space decomposition. Using intelligent ways to partition the machine the accuracy of approximate reachability analysis can be significantly improved. Cho *et al.* [22] showed that this can be achieved by taking into account the circuit structure while performing state space decomposition. The information provided by evaluating the mutual relationships among groups of latches can be efficiently exploited for this purpose. They proposed to evaluate latch *connectivity, correlation,* and *affinity* measures to identify latches that can be grouped together to create machine partitions.

Connectivity,  $\omega_{ij}$ , measures the mutual dependency between two state registers  $r_i$ ,  $r_j$ . In other words, it indicates whether or not the next state function of state variable  $r_i$  has state variable  $r_j$  in its support. While connectivity accurately models the degree of dependency among state variables, it does not consider the overall relationship between pairs of state variables induced by shared primary inputs, structure of the next state logic, and so on. To this end, they proposed another measure called latch *correlation*,  $\rho_{ij}$ , that facilitates the systematic evaluation of the functional relationship between two latches  $r_i$ ,  $r_j$ . State variables with a high degree of correlation need to be grouped together so that the resulting transition function BFVs have a small image, or at least a small image when constrained. This results in sub-FSMs whose approximate traversal provides a smaller, more accurate, reachable state set.

Connectivity and correlation are distinct measures of structural relationship between state variables. It is important to take



## Fig. 7.

into account both connectivity and correlation simultaneously. For this reason they defined latch *affinity*,  $\sigma_{ij}$ , as a weighted average of latch connectivity and correlation measures

$$\sigma_{ij} = \alpha \cdot \omega_{ij} + (1 - \alpha) \cdot \rho_{ij} \tag{14}$$

where  $0 \le \alpha \le 1$ . From the computed affinities among all pairs of state variables of the circuit, a state variable affinity graph is created. An initial partition is created by grouping together the elements of the strongly connected components (SCCs) of the state variable affinity graph. Larger components are then broken and smaller ones are aggregated using seed/clustering techniques to partition the overall machine [22].

Fig. 7(a) depicts how the identification of SCCs of a hypothetical state variable affinity graph corresponds to machine partitioning. In the figure, the nodes labeled  $r_1, \ldots, r_9$  represent the flip-flops of the circuit. By grouping together the flip-flops of an SCC, a state variable partition  $\pi = \{\pi_1, \ldots, \pi_4\}$  is created. The state variable partition further induces a corresponding partition on the original transition function BFVs  $\Delta$ as  $\{\Delta_1, \ldots, \Delta_4\}$ . Sub-FSMs  $M_i$ s can now be associated with each partition. FSM traversal can then be performed on each of these sub-FSMs to approximate the reachable state set.

Fig. 7(b) depicts the FSM dependency graph derived by collapsing together all the nodes of each SCC of the affinity graph. Formally, an *FSM dependency graph* is a directed graph,  $\overline{G} = (\overline{M}, \overline{E})$ , where  $\overline{M} = \{M_1, \ldots, M_n\}$  is the set of sub-FSMs and  $\overline{E}$  is a set of directed edges such that if a local state variable of  $M_i$  is a pseudoprimary input of  $M_j$  then,  $(M_i, M_j) \in \overline{E}$ . This graph is used to compute a topological order of the sub-FSMs which, in turn, defines the order in which these submachines are traversed during approximate FSM traversal.  $M_1 \prec M_2 \prec M_3 \prec M_4$  is one such order.

# C. Approximate FSM Traversal

Once the state variable set of a large FSM is partitioned, it creates multiple Boolean subspaces of the original state space. Each subspace implicitly defines a sub-FSM which is a part of the original machine. Each of these sub-FSMs can be traversed implicitly using the procedure BFS\_TRAVERSAL as described in Fig. 2 to compute the set of reachable states in its corresponding subspace. The state variables belonging to other submachines, but appearing in the support of the submachine being traversed, may be treated as pseudoprimary inputs. Once all the sub-FSMs have been traversed, the overall reachable states of the original FSM can be approximated by computing the product of the reachable states of the sub-FSMs. This is an overapproximation (upper bound) of the reachable states of the original FSM. The overall problem is thus reduced to multiple application of the exact traversal procedure to subproblems of affordable size, whose solutions can be found efficiently.

However, the adverse effect of partitioning/decomposition is the loss of precision in the computation of reachable and unreachable states. This is because when a submachine is separated from the other components of the system, it acquires additional degrees of freedom in its behavior. An important aspect of approximate FSM traversal is to how to minimize the loss of precision in the computation of reachable states while completing the approximate traversal in acceptable time and memory limits.

The approximation of the reachable states can be considerably improved if the interaction between the sub-FSMs is properly modeled. This is a crucial issue as it helps reduce the degrees of freedom introduced by state-space decomposition. In [23], two generic approximate FSM traversal methods were presented that differ in the way the interaction between FSM is modeled during BFS traversal. These methods are listed below.

- Machine-by-Machine Traversal: Machine by machine (MBM) traversal, as the name suggests, processes the sub-FSMs one at a time during one least fixed point computation. The sub-FSMs are processed serially and iteratively, until a fixed point in the computation of overapproximated reached state set is obtained.
- *Frame-by-Frame Traversal:* Frame by frame (FBF) traversal handles the sub-FSMs in parallel, and the overall

**Inputs:** n sub-FSMs  $\{M_i\}$ , corresponding projected initial state  $\{S_i^0\}$ . for  $i = 1 \dots n$  do  $reached_i^0 = constraint_i^0 = S_i^0; /* initialize */$ end for j = 0;converged = FALSE; while converged == FALSE do for  $i = 1 \dots n$  do  $\Delta_c = \text{SSD}_{\text{CONSTRAIN}}(\Delta_i, \{constraint_i^j\}); /* \text{ constrain transition relations }*/$  $reached_i^{j+1} = IMAGE(\Delta_c, constraint_i^j); /* 1-step reachability */$  $constraint_i^{j+1} = reached_i^{j+1}$  /\* update constraints \*/ end for if  $reached_i^{j+1} == reached_i^j$  for all *i* then converged = TRUE; end if j = j + 1;end while /\* Traversal completed: Return the set of reached states for all sub-FSMs \*/ return ({ $reached_i^j$ })

Fig. 8.

traversal is a *one-sweep* operation. Each sub-FSM is started in its initial state and the image of that set is computed. As a result, all machines move one time frame ahead. Then another coordinated image computation is performed, one per each sub-FSM, and so on. The algorithm terminates when the computed reached state set converges. Two variants of the FBF traversal methods were proposed: *reached frame by frame* (RFBF) and *to frame by frame* (TFBF). The two variants differ in the way the convergence check is performed.

For the purpose of our work, we use the *reached frame by* frame (RFBF) technique to estimate the noncontrollability measures of the flip-flops of the circuit. The reasons for choosing the RFBF approximate reachability technique over the others are as follows. While the MBM technique converges faster than the other two, the overapproximated reachable state set computed is farther away in precision from the exact reached state set as compared with RFBF and TFBF traversal. This results in a significant loss of information of the reachable states. On the other hand, TFBF computes the upper bound on the reachable state set closest to the exact reachable set. However, as a more accurate upper bound is estimated, tighter constraints are required to model the interaction between sub-FSMs. Because of a tighter constraint set, TFBF requires more image computations to converge; this results in longer CPU times to complete the traversal. The RFBF traversal technique is an accuracy-time tradeoff between MBM and TFBF and is hence used for the purpose of evaluating noncontrollability measures of flip-flops.

The RFBF traversal algorithm, taken from [23], is reproduced in Fig. 8. The subscripts denote decomposed machines and superscripts denote the current reachability iteration. The algorithm proceeds as follows. It takes as input the SSD FSM network, i.e., the sub-FSMs  $M_i$ s, and the corresponding decomposed set of initial states  $\{S_i^0\}$ , where  $\{S_i^0\} = P_i(S^0)$ , the projection of the initial states for the given partition  $\pi_i$ . The current reached state set is used to impose constraints on the pseudoprimary inputs of the sub-FSMs being traversed (the SSD\_CON-STRAIN operation in the algorithm). This is performed in order to reduce the information loss due to machine decomposition. Suppose  $M_i$  is the sub-FSM being traversed and  $M_j$  be its fanin FSM as computed by the FSM dependency graph. The local state variables of  $M_j$  that are not pseudoprimary inputs of  $M_i$ are existentially quantified from the reached<sub>i</sub> set. This quantified reached<sub>i</sub> set is imposed as a constraint on  $\Delta_i$  of  $M_i$ . The procedure is applied for all fanin sub-FSMs of  $M_i$ . The *bdd\_constrain* operator, as defined by *Coudert et al.* [26], is used for this purpose. RFBF converges when reached<sub>i</sub> for all  $M_i$ s converges. It has been shown [23] that the algorithm is guaranteed to converge to a fix point and the set of reachable states thus computed is an overapproximation of the exact reachable states.

#### VIII. THE SAMSON ALGORITHM

Based on the approximate FSM traversal algorithm RFBF described in the previous section, and the flip-flop noncontrollability measures presented in Section V, we present an algorithm Scan register selection using ApproxiMate State enumeratiON (SAMSON) to evaluate the noncontrollability measures of flip-flops of a circuit. SAMSON, described in Fig. 9, takes as input a large sequential circuit, partitions it into sub-FSMs, and performs approximate reachability analysis using symbolic image computations on individual sub-FSMs (RFBF traversal procedure). During each image computation step for a sub-FSM, we record the degree of unsettability for each local state variable. Once the traversal terminates for all submachines,  $\{reached_i\}$ s contain the reachable states of sub-FSMs  $\{M_i\}$ s. The upper bound on the overall reached state set is then computed as a cartesian product of all  $\{reached_i\}$ ; this is stored in over\_approx\_reached. Complement of this overapproximated reached state set results in an underapproximation of the overall unreachable state set. From this underapproximated set of un**Inputs:** A large FSM M, its initial state  $S^0$ . Outputs: Scan registers listed in decreasing order of their non-controllability. Decompose M into n sub-FSMs  $\{M_i | 1 \le i \le n\}$ . Compute corresponding projected initial state set  $\{S_i^0\}$ . for  $i = 1 \dots n$  do  $reached_i^0 = constraint_i^0 = S_i^0; /* initialize */$ end for j = 0;converged = FALSE; while *converged* == FALSE do for i = 1 ... n do  $\Delta_c = \text{SSD}_{\text{CONSTRAIN}}(\Delta_i, \{constraint_i^j\}); /* \text{ constrain transition relations }*/$  $reached_i^{j+1} = IMAGE(\Delta_c, constraint_i^j); /* 1$ -step reachability \*/ for each local state variable  $r_k \in M_i$  do compute\_degree\_of\_unsettability( $r_k$ ); end for  $constraint_i^{j+1} = reached_i^{j+1}$ ; /\* update constraints \*/ end for if  $reached_i^{j+1} == reached_i^j$  for all *i* then converged = TRUE; end if j = j + 1;end while /\* Traversal completed: Compute the product of reachable states of sub-FSMs \*/  $over\_approx\_reached = \prod_{i=1}^{i=n} reached_i^j;$ unreached\_states = bdd\_complement(over\_approx\_reached); for each state variable  $r_k$  of the entire circuit **do**  $compute\_degree\_of\_unateness(r_k, unreached\_states);$ non-controllability( $r_k$ ) = degree\_of\_unsettability( $r_k$ ) + degree\_of\_unateness( $r_k$ ); end for

order the state variables of the entire circuit in decreasing order of their non-controllabilities

Fig. 9.

TABLE	V
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		OPUS: Cycle Breaking					: SCOAP	Testabilit	y Option	SAMSON			
Circuit	Total	Scan	Fault	CPU	# of	Scan	Fault	CPU	# of	Scan	Fault	CPU	# of
	FFs	FFs	Cov	Time	Vects	FFs	Cov	Time	Vects	FFs	Cov	Time	Vects
s1423	74	22	92.1%	2 sec	203	22	86.2%	2 sec	329	22	95.2%	23 sec	495
s5378	164	30	93.4%	3 sec	1294	30	90.4%	8 sec	1538	30	88.6%	107 sec	1324
s9234	211	65	81.1%	9 sec	7026	65	36.9%	12 sec	1217	65	85.3%	352 sec	7122
s15850	534	91	82.3%	8 sec	12003	91	76.2%	41 sec	5417	91	86.0%	675 sec	12689
s13207	638	58	77%	6 sec	2856	58	62.9%	26 sec	3958	58	84.2%	47 min	6870
s38584	1426	313	92.1%	23 sec	12879	313	89.7%	22 min	9560	313	93.4%	197min	19321

reachable states, we compute the *degree of unateness* for each state variable of the original machine. The overall noncontrollability of all flip–flops can then be evaluated by adding their respective degrees of unsettability and unateness. The flip–flops of the entire circuit are then sorted in decreasing order of their noncontrollabilities.

Note that unlike SIMPSON, SAMSON does not examine missing rising and falling transitions for any flip–flop. Since the traversal is performed on partitioned sub-FSMs individually, a missing transition on a local state variable does not guarantee the same behavior in the overall machine. We have noted experimentally that by scanning such flip–flops, which correspond to missing rising or falling transitions in their respective sub-FSM traversal trace does not necessarily contribute to the improvement in the overall fault coverage. SAMSON was programmed within the VIS toolset and experiments were carried out using the ISCAS'89 benchmark circuits that contained a larger number of flip–flops (FFs >30). The results depicted in Table V are compared with OPUS using both the structural analysis/cycle breaking and the SCOAP testability analysis options.

# A. Analyzing the Results

Our experimental setup requires some explanation. First, the structural analysis/cycle breaking option of OPUS was used to select the partial scan registers. OPUS automatically selects the minimum number of scan registers required to break all the loops in the design. After scanning the required registers, the ATPG tool HITEC was used to generate tests and the observed fault coverage was recorded. Next, the SCOAP testability option was used to select partial scan registers. SCOAP testability option does not automatically select the number of registers to be scanned. It only evaluates and orders the state registers in terms of their SCOAP controllability/observability measures. For our experiment with the SCOAP option of OPUS, we used the same number of scan registers as suggested by the cycle breaking option of OPUS. After scanning the required registers, tests were generated and the testability statistics were recorded. Finally, we used the SAMSON algorithm to order the registers in terms of their noncontrollability measures. The number of registers scanned was again kept the same as suggested by the cycle-breaking option of OPUS in order to make a fair comparison. The requisite number of registers was scanned and test generation was carried out.

Machine partitioning is an important issue with SAMSON, as the nature and size of the partitioned machine affects the precision of the approximation of reachable states. While it is true that by creating smaller partitions the approximate FSM traversal would converge quickly, it would, however, result in a significant loss of precision on reachable states. The larger the size (in the number of state variables) of partitioned sub-FSMs, the fewer the number of partitions and more accurate the approximation. However, image computations on large partitions would require excessive CPU time and memory and would make the entire process infeasible. From our previous experiments with exact reachability analysis, we had observed that exact FSM traversal techniques cannot efficiently handle circuits that contain more than 30 flip-flops (approximately). For this reason, we imposed a restriction on the machine partitioning algorithms so as to include no more than 30 flip-flops in each partitioned sub-FSM.

The results obtained by SAMSON compare favorably with those obtained by OPUS. For all benchmark circuits other than *s*5378, the scan registers selected by SAMSON provide higher fault coverage than those selected by both options of OPUS. The CPU times for SAMSON, while longer than those for OPUS, are certainly not impractical. The CPU times for SAMSON can be attributed to the time required for: 1) machine partitioning; 2) iterative image computations; 3) the constraining of partitioned transition relations; and 4) computing the noncontrollability measures for all the flip–flops. By investing some time in performing the above computations, SAMSON selects a better set of registers to scan than OPUS and provides higher fault coverage.

For the benchmark *s*5378 the cycle breaking option of OPUS selects 30 scan registers and provides 93.4% fault coverage, while the same number of registers scanned using SAMSON provides only 88.6% fault coverage. We analyzed this circuit to find the reasons for the poor performance of SAMSON *vis-a-vis* OPUS. We made the following observation. The affinity graph of the circuit has only one strongly connected component (SCC) consisting of 124 flip–flops. Since we limit our partition size to 30 flip–flops, this SCC gets broken and we are unable to create a sub-FSM that encompasses this SCC. Intuitively, if a sub-FSM entirely contains all the flip–flops can be modeled more efficiently for FSM traversal. This may potentially lead to a better approximation of overall machine reachability.

By breaking this SCC into different sub-FSMs, we allow additional degrees of freedom on the state variables and are unable to model their interaction efficiently. We conjecture that it is perhaps for this reason that the noncontrollability measures evaluated by SAMSON for the flip–flops of s5378 are inaccurate. Unfortunately, since it is computationally infeasible to perform implicit state enumeration on a partition that would contain this SCC (124 flip–flops), there is no way to verify whether the above is really the case.

However, similar observations on other benchmarks support our intuition. For example, the benchmark *s*13207 has 17 SCCs. Only 1 out of the 17 SCCs contains 252 latches and the rest of the 16 SCCs contain fewer than 30 latches. These SCCs are contained entirely within a partition. Note that for this benchmark, SAMSON provides higher fault coverage (84.2%) as compared with OPUS (77%). Perhaps by encompassing the SCCs within partitions we were able to model their interaction more effectively.

#### B. Iterative Application of SAMSON

In Section VI-B, we had discussed that by scanning a register it may become possible to indirectly control other registers of the circuit. Scanning such indirectly controllable registers should then be unnecessary. Subsequently, we had argued that by using SIMPSON iteratively, i.e., by selecting the most noncontrollable flip–flop for scan in each iteration of SIMPSON and modifying the circuit by transforming the flip–flop into primary input and output, we could avoid selecting such indirectly controllable flip–flops for scan. Analogous to the iterative application of SIMPSON, we analyze the effect of using SAMSON iteratively on the above larger circuits of the ISCAS'89 benchmark suite.

Since the circuits experimented with SAMSON contain a large number of flip–flops, selecting only one (the most noncontrollable) flip–flop for scan in each iteration would make the entire scan selection process too time consuming. For this reason, we experimented with two different criteria for selecting a set of scan registers in each iteration. The results are depicted in Table VI in columns "Iterative SAMSON—1" and "Iterative SAMSON—2" and are compared with the results obtained by using the one-pass application of SAMSON. The total number of flip–flops selected for scan in each experiment is kept the same as that for the one-pass application of SAMSON.

*Iterative SAMSON—1:* Recall that SAMSON decomposes a large FSM into smaller ones and then performs reachability analysis on the individual sub-FSMs. Therefore, for the first set of iterative experiments with SAMSON, we select the most noncontrollable flip–flop from *each partitioned submachine*, in every iteration. In other words, we select one (the most noncontrollable) flip–flop for scan per sub-FSM per iteration. After the flip–flops are selected for scan, one from each sub-FSM of the SSD FSM network, their present state and next state lines are transformed into primary inputs and outputs of the circuit. SAMSON is again applied on the modified circuit and another set of flip–flops is selected for scan, one from each sub-FSM. The process terminates when the required number of flip–flops has been selected for scan.

SAMSON: One Pass				s		Iterative S.	AMSON - 1	Iterative SAMSON - 2					
Circuit	Total	Scan	Fault	CPU	# of	Scan	Fault	CPU	#of	Scan	Fault	CPU	# of
	FFs	FFs	Cov	Time	Vects	FFs	Cov	Time	Vects	FFs	Cov	Time	Vects
s1423	74	22	95.2%	23 sec	495	22	78.2%	115 sec	341	22	95.1%	74 sec	487
s5378	164	30	88.6%	107 sec	1324	30	75.52%	489 sec	394	30	89.2%	389 sec	1444
s9234	211	65	85.3%	352 sec	7122	65	80.8%	34 min	5076	65	86.2%	21 min	7519
s15850	534	91	86.0%	675 sec	12689	91	74.8%	171 min	2964	91	86.9%	39 min	12781
s13207	638	58	84.2%	47 min	6870	58	77.5%	134 min	4926	58	84.9%	148 min	7003

By modifying the scan flip–flops into primary inputs and outputs, not only does the state space of the original machine change, but the structure of the circuit (and hence the latch connectivity, correlation, and affinity measures) also undergoes a change. This means that when the modified circuit is again partitioned for approximate FSM traversal, the new SSD FSM network may potentially be vastly different from the ones obtained in previous iterations.

The results obtained by experimenting with the above technique are not encouraging. For almost all the circuits, the fault coverage achieved by selecting scan flip-flops one per submachine per iteration is worse than that achieved by using the one-pass approach of SAMSON. We analyzed the submachines and the testability measures of the corresponding flip-flops to find the reasons behind the apparent failure of this technique. We found that some submachines contained flip-flops whose noncontrollability measures were low, suggesting that the corresponding sub-FSM was an easily testable machine. Easily testable machines may not require scan to provide high fault coverage of the area corresponding to their portion of the circuit. On the other hand, some submachines contained a significant number of flip-flops whose noncontrollability measures were very high, suggesting that the corresponding sub-FSM was a difficult to test machine. Such sub-FSMs may require a high degree of scan to cover the faults corresponding to their portion of the circuit. Selecting the easily controllable flip-flops for scan, while omitting the ones that belong to a difficult to test submachine, is the main cause of the reduced fault coverage. Clearly, selecting one flip-flop per sub-FSM per iteration is not an effective strategy to obtain a good set of scan flip-flops for high fault coverage.

Iterative SAMSON-2: We have argued that selecting one flip-flop for scan in each iteration of SAMSON would be too time consuming. Therefore, in our second set of iterative experiments, instead of selecting one scan register per iteration, we propose to select a predetermined number of scan registers in each iteration. For our experiments, in each iteration of SAMSON, we selected 25% of the required number of scan registers, limiting the number of iterations of SAMSON to four. For example, for the benchmark s5378, the required number of scan registers is 30. Since 25% of 30 is 7.5, we select eight (rounding up 7.5 to 8) scan registers in each of the first three iterations and the remaining six in the last one. After each iteration of SAMSON, the selected scan registers are transformed into primary inputs and outputs and the algorithm is applied again, and so on. The results, when compared with "Iterative SAMSON-1" are better in terms of fault coverage. When compared with the one-pass approach of SAMSON, the fault coverage achieved is marginally better though it comes at the cost of higher CPU times. For example, for the benchmark s13207, the improvement in fault coverage is only 0.7%, and it comes at a cost of more than triple the investment in CPU time. As far as the achieved fault-coverage/CPU-time tradeoff is concerned, from the experiments one can conclude that the one-pass approach of SAMSON is a better option.

## IX. CONCLUSION AND FUTURE WORK

In this paper, we presented a new approach to the partial scan problem that analyzes the circuit state information in order to evaluate the noncontrollability of flip–flops. Implicit state enumeration is used as a tool to analyze the reachable and unreachable state space of the underlying FSM of the sequential circuit in order to evaluate its testability measures. An algorithm, SIMPSON, has been proposed and the results have been presented which demonstrate the importance of using the circuit state information in order to evaluate the noncontrollability of the flip–flops. As compared to conventional state-of-the-art partial scan register selection techniques, our approach performs better; by selecting fewer scan registers, higher or equally high fault coverage is achieved.

However, SIMPSON suffers from BDD size explosion problems and is computationally expensive and slow for very large circuits. The excessive CPU time requirements arise from the iterative reachability computations performed while state enumeration. As it becomes infeasible to represent and manipulate the set of all the reachable and unreachable states for very large circuits using BDDs, the use of approximate reachability analysis is proposed to analyze the behavior of the underlying sequential machine. Approximate reachability analysis avoids the BDD explosion problem because it allows us to represent a superset of reachable states of the machine as the product of smaller subsets, such that BDDs of their characteristic functions can be built and processed easily. We presented an algorithm, SAMSON, that exploits the power of approximate implicit FSM traversal techniques to estimate the noncontrollabilities of flip-flops. These most noncontrollable flip-flops are targeted for partial scan design. Approximate FSM traversal results in some "loss of information" of the reachable and unreachable state sets of the machine. However, we demonstrated by experiments that such a loss does not significantly affect the proposed noncontrollability measures evaluated on the approximated state sets. Using our techniques, we are able to select a better set of scan registers that provide higher fault coverage than that achieved by using contemporary scan register selection techniques, though at the cost of higher computation times.

We also discussed how the scanning of a register changes the state space of the underlying machine and, as a result, affects the ranking of the flip–flops according to their noncontrollability measures. We analyzed the effect of iterative application of the proposed algorithms while selecting only one flip–flop (in the case of SIMPSON) or a set of flip–flops (in the case of SAMSON) in each iteration. While iterative application of the presented algorithms does provide higher fault coverage, the improvement in fault coverage is often marginal, and it is achieved at the expense of significant amount of CPU times.

The testability measures of flip–flops proposed in this paper address not only the noncontrollable and difficult-to-control registers, but also take into account the unreachable states of the machine that contribute to the sequentially untestable faults. While we have shown how to model the noncontrollability of flip–flops using state machine transitions, it is not clear how to address the issue of *observability* using implicit FSM traversal. This topic is worthy of future research. Further, the techniques presented in the paper are well suited for finite state machines, i.e., sequential circuits with feedback. It is not clear how the proposed techniques can be modified to identify pipeline registers for partial scan. Also, if the designs contain RAMs, it would be interesting to research whether or not the states of the RAM should be analyzed for determining scan flip–flops in the design.

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