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CEE 697z
*Organic Compounds in Water and
Wastewater*

NOM Characterization I

Lecture #7

Dave Reckhow - Organics In W & WW

Outline

- ▶ Many ways to “slice the NOM Pie”
- ▶ Concentrations
- ▶ Characteristics
 - ▶ Size, elemental composition, functional groups
- ▶ Structures
 - ▶ Mass spectrometry with and without various levels of degradation
- ▶ General Reactivity



It's one of my favorite recipes. I call it Fulvic Acid

I. NOM Structure & Selected Properties

▶ A. Bulk Organic Matter

- ▶ occurrence
- ▶ simple bulk properties
 - ▶ Elemental analysis
 - ▶ absorbance
- ▶ Know structural elements
 - ▶ bulk functionality
 - ▶ specific structures
- ▶ General reactivity with treatment chemicals
 - ▶ THM FP, other DBP FPs

Will discuss
this later

▶ 3

II. NOM Structure (cont.)

▶ B. NOM from specific source types

- ▶ 1. allochthonous or pedogenic
 - ▶ lignins & non-humics
- ▶ 2. autochthonous or aquogenic
 - ▶ algal (AOM)
- ▶ 3. wastewater effluent organics (EfOM)
 - ▶ soluble metabolic products
- ▶ 4. Major biochemical constituents
 - ▶ lignin, proteins, terpenoids, tannins, others

In many cases we
have the same
characterization
s for these
groups that we
have for the bulk
organic mater

▶ 4

III. NOM Structure (cont.)

▶ C. Subcomponents from bulk NOM

- ▶ hydrophobic acids (humics)
 - ▶ humic and fulvic acids
- ▶ Hydrophilic acids
 - ▶ meso to philic to ultra
- ▶ Neutral fractions
 - ▶ phobic, philic
- ▶ Base fractions
 - ▶ phobic, philic

In many cases we have the same characterizations for these groups that we have for the bulk organic mater

▶ 5

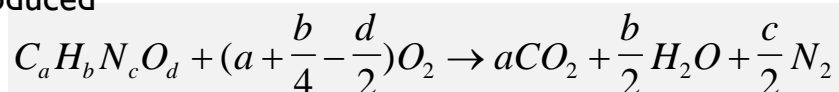
How to measure NOM

- ▶ Identify and quantify individual compounds
 - ▶ expensive and may only account for 10%
 - ▶ not practical
- ▶ Fractionate, extract and weigh
 - ▶ comprehensive, but time-consuming
 - ▶ doesn't tell us precisely what the stuff is
- ▶ Use a collective or "gross" measurement
 - ▶ TOC, UV absorbance, DBP precursors
 - ▶ easiest method, useful for engineering purposes

▶

TOC analysis

Principle: oxidize all organic matter to Carbon dioxide and water. Then measure the amount of carbon dioxide produced



Oxidation

- High Temperature Pyrolysis
- UV Irridiation
- Heated Persulfate
- UV/Persulfate

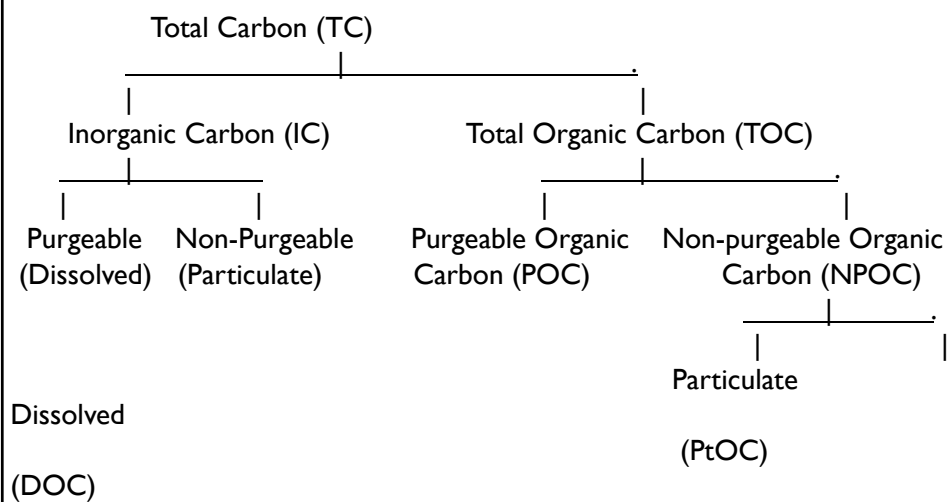


Particulate-C vs. Dissolved-C

- ▶ Particulate organic carbon
 - ▶ larger than about 1 micron
 - ▶ determined by what is retained in laboratory filtration
 - ▶ algae, bacteria, protozoa, organics adsorbed to clays
- ▶ Dissolved organic carbon (DOC)
 - ▶ from simple molecules to large biopolymers
 - ▶ determined from the TOC of a filtered sample
 - ▶ typically comprises 90-98% of the TOC



Fractionation & Nomenclature



Methods of Fractionation

- ▶ Resin-based
 - ▶ Usually XAD resins
 - ▶ May combine with ion exchange resins
- ▶ Evaporative or RO
- ▶ RO & ED
 - ▶ Drewes et al., 2002 WQTC
 - ▶ Perdue

Simple Hydrophobicity Test

▶ Hydrophobic NOM

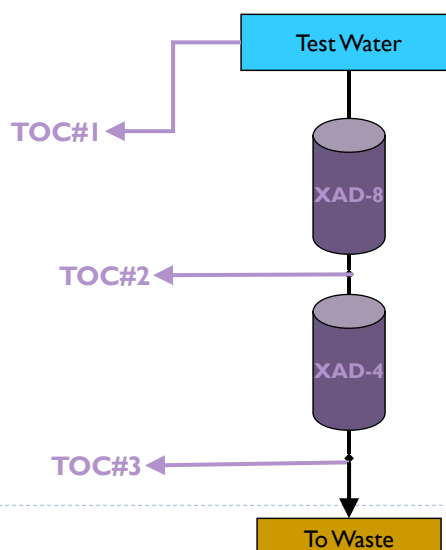
- ▶ Retained on XAD-8
- ▶ TOC#1-TOC#2

▶ Mesophilic NOM

- ▶ Retained on XAD-4, but not on XAD-8
- ▶ TOC#2-TOC#3

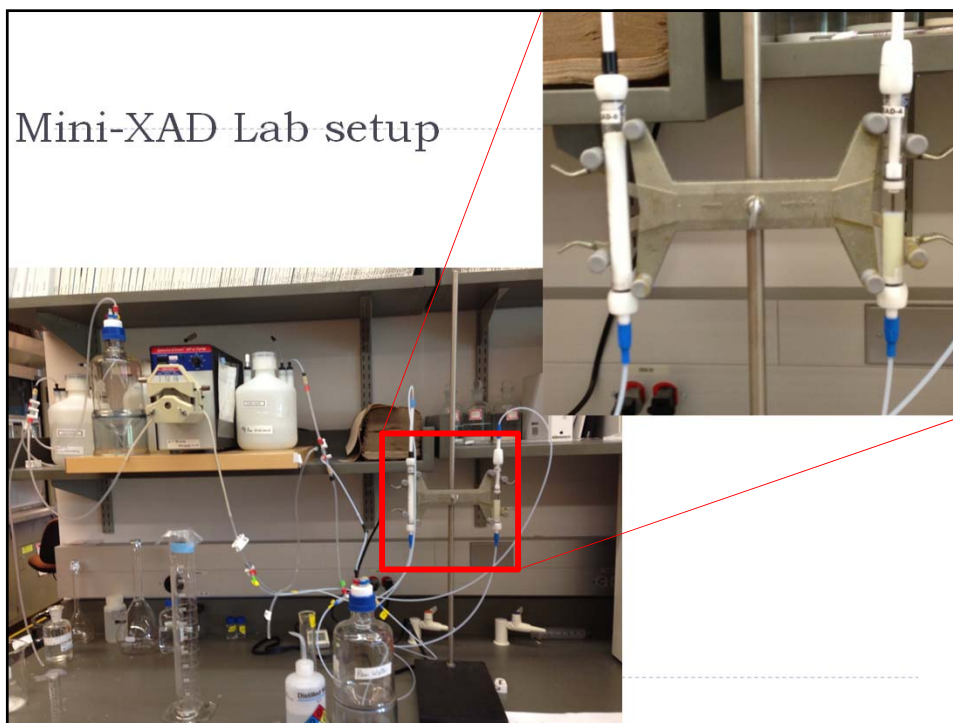
▶ Hydrophilic NOM

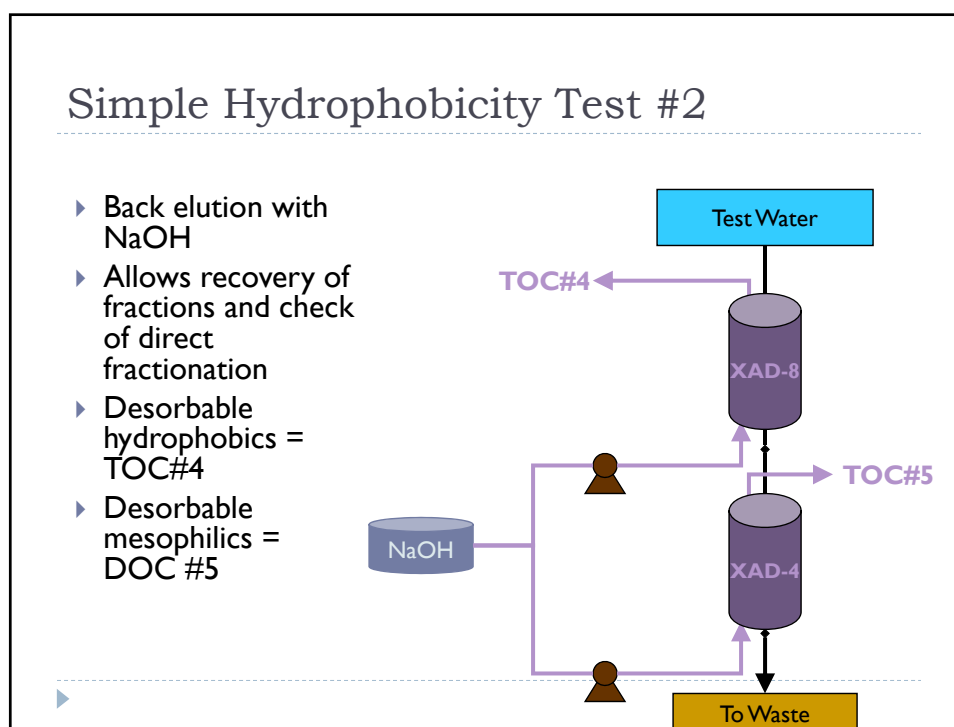
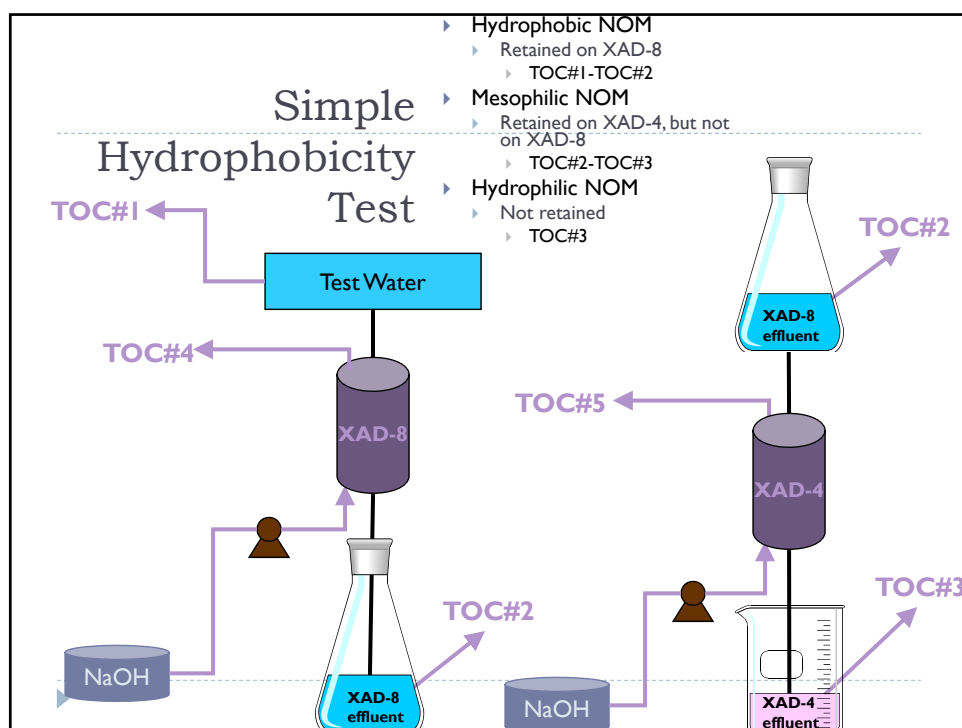
- ▶ Not retained
- ▶ TOC#3



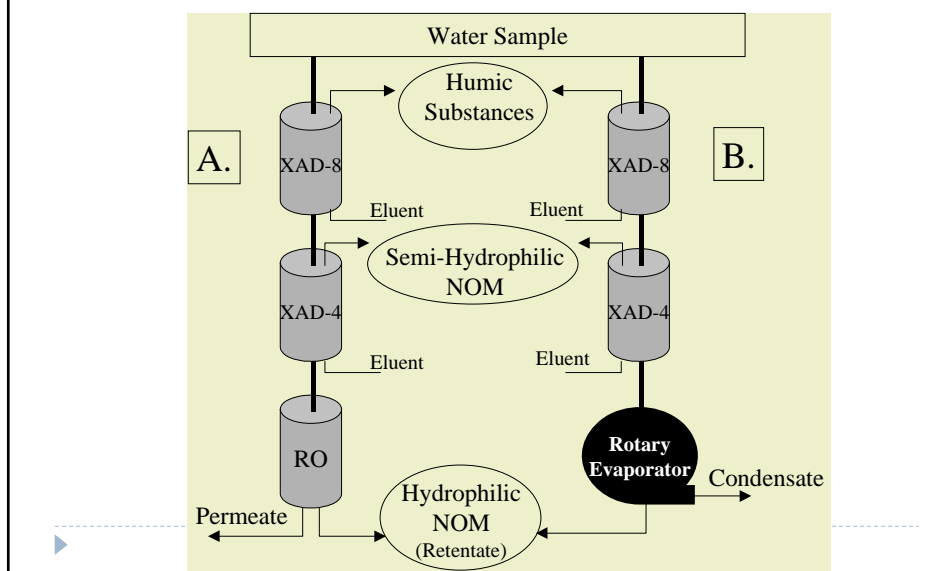
11 II

Mini-XAD Lab setup



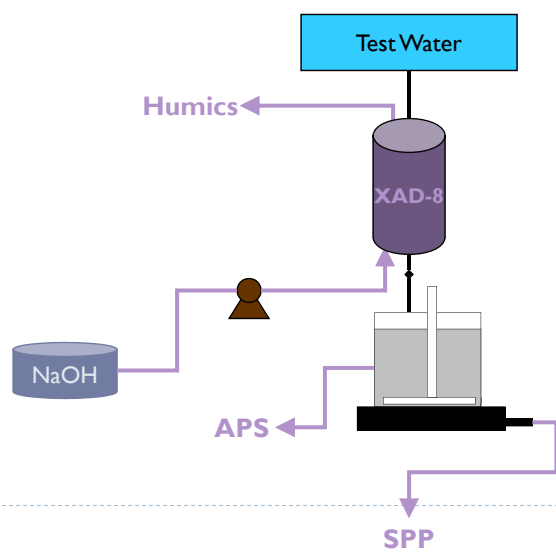


Analysis of Hydrophobicity



NOM Pool Fractionation

- ▶ Back elution with NaOH
- ▶ Allows recovery of fractions and check of direct fractionation
- ▶ Humics elute from XAD-8
- ▶ APS from UF
- ▶ SPP is not retained



Polarity Rapid Assessment Method (PRAM)

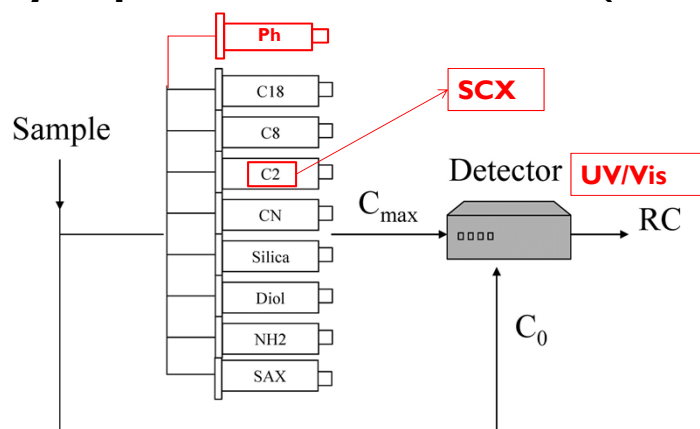


Figure 1 Experimental setup for PRAM. SPE cartridges contained 100 mg of sorbent with a total volume of 1.5 mL and average pore size of 60 Å. The retention coefficient (RC) is calculated based on the maximum breakthrough concentration and the initial concentration. C-18, C-8, and C-2 are nonpolar sorbents; Silica, Diol, and Cyanide (CN) are polar sorbents; Amino (NH-2) is a weak anion exchange and SAX is the strong anion exchanger.

Differences between UMass method and the Rosario-Ortiz method are in red

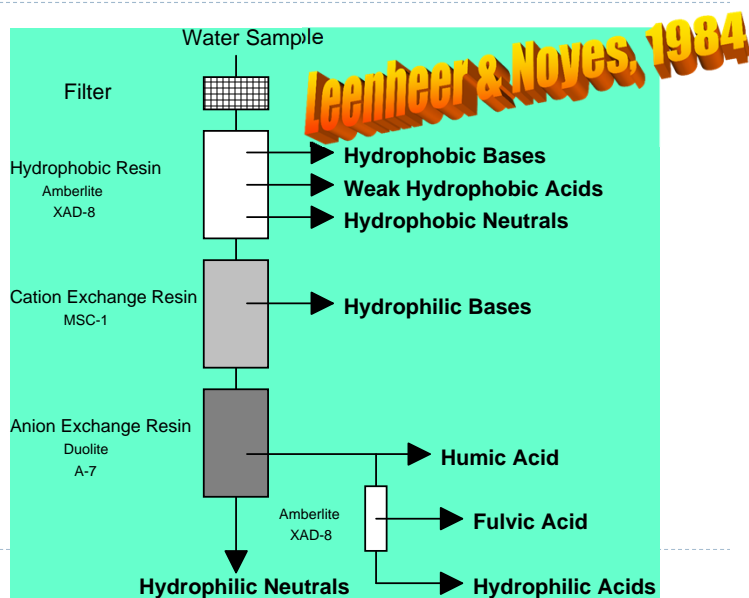
Published in: Fernando L. Rosario-Ortiz; Shane Snyder; I. H. (Mel) Suffet; *Environ. Sci. Technol.* **2007**, *41*, 4895-4900.

DOI: 10.1021/es062151t

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PRAM Literature

The Humics and Non-humics: Comprehensive NOM Fractionation



Proposed Assignments for Organic Fractions

Fraction	Composition
Colloidal	Bacterial peptidoglycan cell wall components (hydrophilic neutral) ¹
Hydrophobic	
Acids	
Weak	tannins; phenols; intermediate MW alkyl monocarboxylic acids (C5-C8), dicarboxylic acids (C8-C11)
Strong	fulvic acids; humic acids; high MW alkyl monocarboxylic acids (\geq C9), and dicarboxylic acids (\geq C12); aromatic acids
Bases	amphoteric proteinaceous materials; high MW (JC12) alkyl amines; alkyl pyridines; aromatic amines
Neutrals	hydrocarbons; high MW (\geq C6) methyl ketones; furans; most ethers; high MW (\geq C5) alkyl alcohols, and aldehydes; lactones; pyrrole, alkyl aromatic sulfonates ¹
Hydrophilic	
Acids	hydroxy acids; sugar acids; sulfonic acids; low MW alkyl monocarboxylic acids (C1-C4), and dicarboxylic acids (C2-C7)
Bases	low MW (C1-C11) alkyl amines; amino acids; purines; pyrimidines; pyridine; hydroxy pyridines
Neutrals	polysaccharides; Low MW (C1-C4) alkyl alcohols, aldehydes, and ketones; polyketones; amides, N-acetyl amino sugars ¹ , non-carbohydrate alcohols ¹

► +Based on: Leenheer and Noyes, 1984; Leenheer et al., 1982; and Reckhow et al., 1992

The Humic Substances

- Analytically defined
 - Humic & fulvic acids
- True structure is unknown Many ideas
- Chemical Characterization
 - Elemental Composition
 - Aromaticity High
 - Functional Groups Moderate acidity
 - Molecular Size Moderate
 - Absorbance High

Elemental Composition: Humics

Elemental Composition of Aquatic Humic Substances

(average of 15 riverine samples, after Thurman, 1985)

Fraction	C	H	O	N	P	S	Ash
Fulvic	51.9	5.0	40.3	1.1	0.2	0.6	1.5
Humic	50.0	4.7	39.6	2.0			5.0

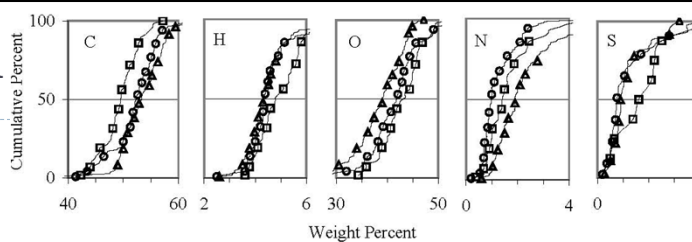
High oxygen content

FA and HA Similar, except:

- humics tend to have more N

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Elemental Analysis



From:
Perdue & Ritchie, 2004

Element	Sample	Obs.	Range	Weight Percent ^a		
				Median	Mean	Std. Dev.
Carbon	FA	117	41.4 - 62.7	52.3	52.1	4.2
	HA	107	38.7 - 62.7	53.3	53.4	3.9
	NOM	57	42.3 - 57.2	49.6	49.5	3.3
Hydrogen	FA	117	2.5 - 8.1	4.4	4.6	1.0
	HA	107	2.6 - 8.2	4.3	4.5	1.0
	NOM	57	3.6 - 7.9	4.8	5.0	1.0
Oxygen ^b	FA	117	27.5 - 52.1	41.9	41.5	4.9
	HA	107	23.5 - 47.2	39.1	38.5	4.9
	NOM	57	34.3 - 52.6	43.5	43.0	4.1
Nitrogen	FA	117	0.2 - 9.2	1.0	1.3	1.0
	HA	107	0.6 - 9.8	1.9	2.4	1.7
	NOM	57	0.4 - 5.4	1.4	1.7	1.0
Sulfur	FA	43	0.2 - 4.3	0.8	1.2	1.0
	HA	36	0.3 - 3.2	0.9	1.2	0.8
	NOM	8	0.5 - 4.7	1.9	2.0	1.3

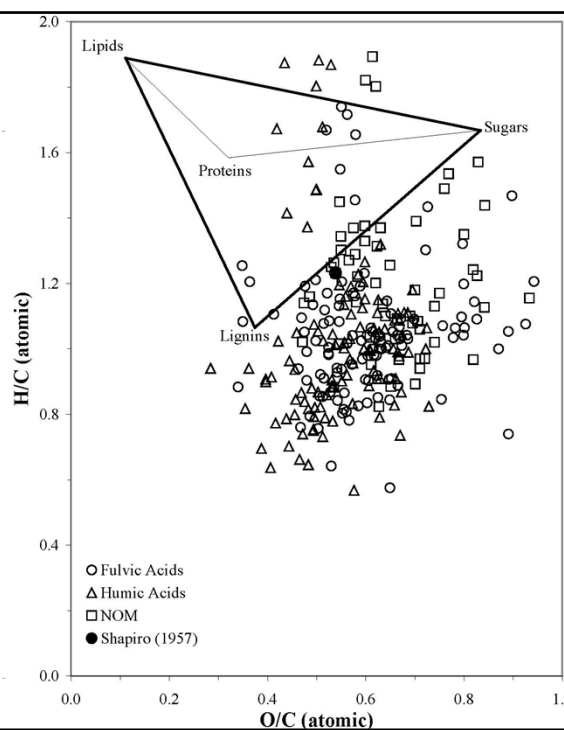
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Elemental Ratios

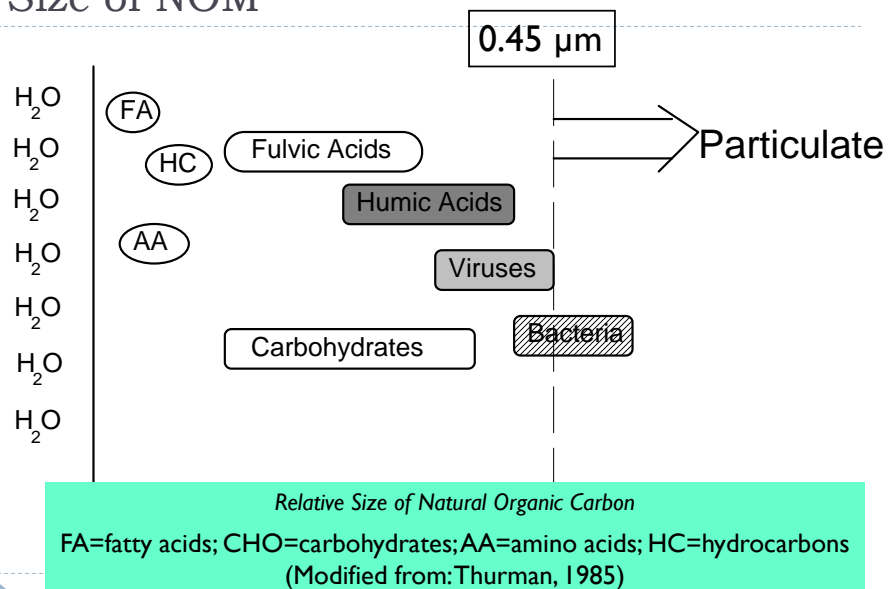
► Van Krevelen Plot

From:
Perdue & Ritchie, 2004

► 23

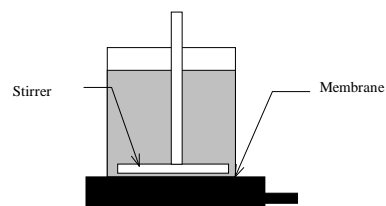


Size of NOM



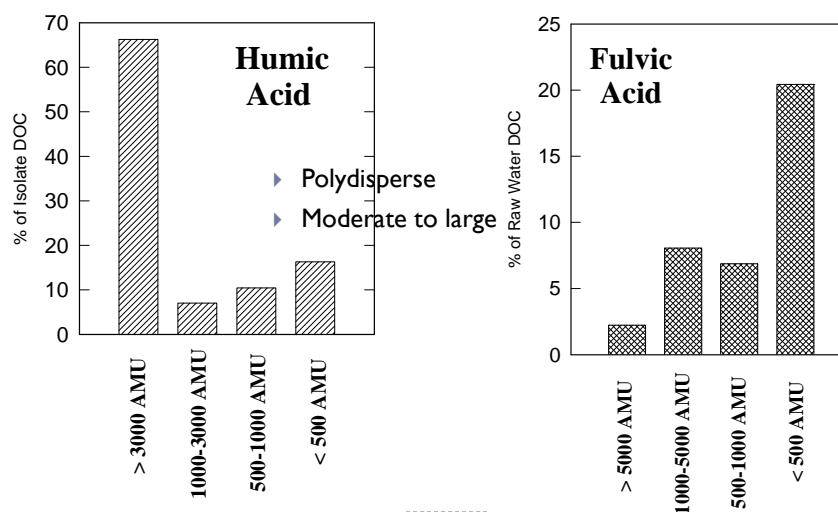
Molecular Size

- ▶ **Ultrafiltration**
 - ▶ series vs parallel
 - ▶ membrane calibration
- ▶ **Size Exclusion Chromatography**
 - ▶ HPSEC vs LC
- ▶ **Others**
 - ▶ Vapor Pressure Osmometry



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Molecular Size: Ultrafiltration

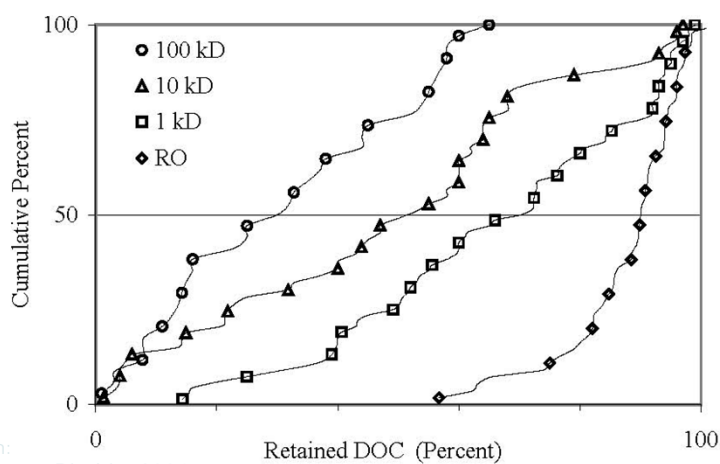
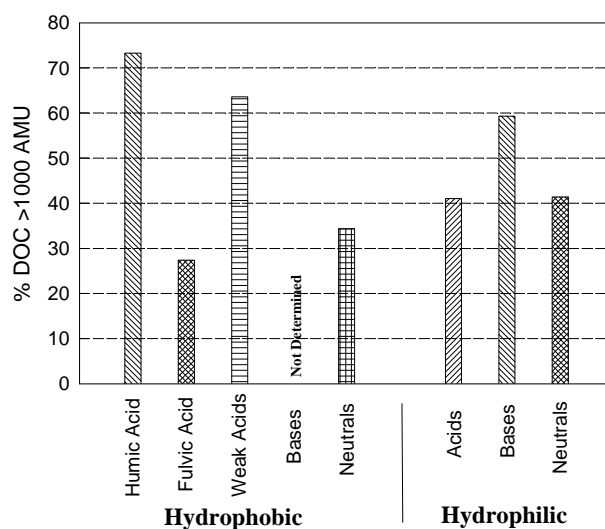


2b

Molecular size: non-humics

Abundance of high-molecular
size compounds in Seven
Organic Fractions

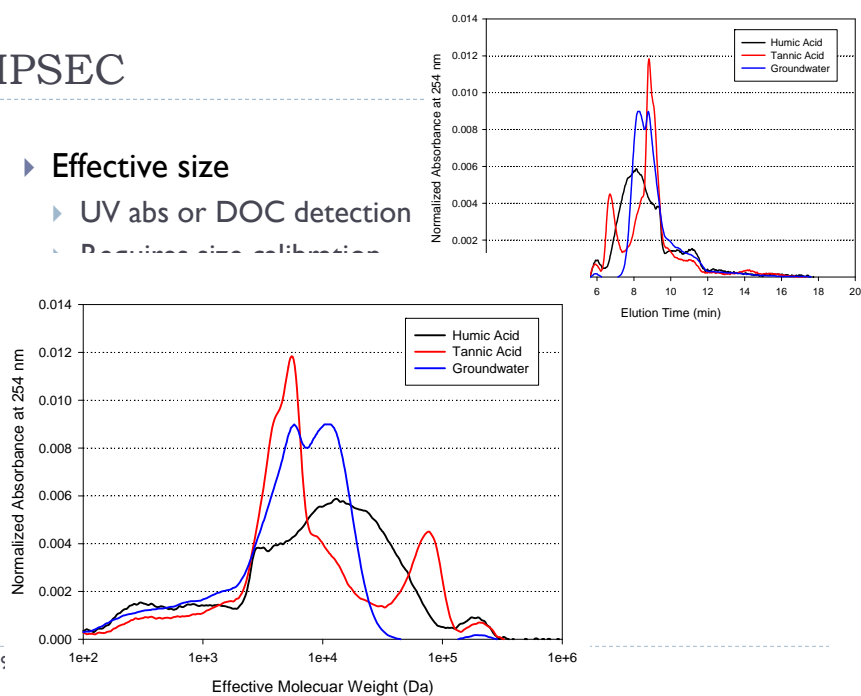
(from Reckhow et al., 1993)



Membrane Cutoff	Obs.	Retained DOC (Percent)			
		Range	Median	Mean	Std. Dev.
100 kD	34	1.0 - 65.0	31.0	31.0	20.0
10 kD	53	1.3 - 97.0	53.0	48.4	28.2
1 kD	68	14.3 - 99.0	71.3	66.2	24.0
Reverse Osmosis	55	56.7 - 104.0	90.1	87.8	9.8

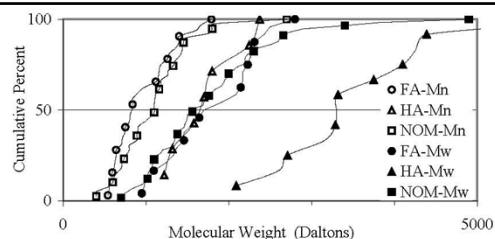
HPSEC

- ▶ Effective size
- ▶ UV abs or DOC detection
- ▶ Requires size calibration



- ▶ Comparison of HPSEC with FFFF
 - ▶ be careful of solute: gel interactions
 - ▶ Pelekani et al., 1999 [ES&T, 2807]

Size: Multiple Methods

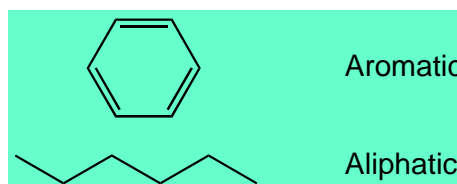


From:
Perdue & Ritchie, 2004

Method ^a	Sample	Type ^b	Obs.	Molecular Weight (Daltons)			Std. Dev.
				Range	Median	Mean	
SEC/HPSEC	FA	M _n	11	639 - 1790	1180	1096	362
FFF	FA	M _n	7	980 - 1666	1160	1296	262
CRY/VPO	FA	M _n	14	540 - 900	633	678	118
FFF	HA	M _n	6	1320 - 2374	1750	1837	402
VPO	HA	M _n	1	1220	1220	1220	0
SEC/HPSEC	NOM	M _n	31	400 - 2700	1109	1107	471
FFF	NOM	M _n	7	890 - 1760	910	1133	350
VPO	NOM	M _n	1	614	614	614	0
MALLS	NOM	M _n	2	15,050 - 16,595	15,823	15,823	1092
SEC/HPSEC	FA	M _w	14	980 - 2430	1672	1740	522
FFF	FA	M _w	6	1240 - 2800	1997	1984	612
UV-UCGN	FA	M _w	4	950 - 2260	1815	1710	620
SEC/HPSEC	HA	M _w	2	2600 - 3320	2960	2960	509
FFF	HA	M _w	6	2090 - 4390	3293	3387	808
UV-UCGN	HA	M _w	4	2710 - 6590	4005	4328	1640
SEC/HPSEC	NOM	M _w	37	784 - 2743	1700	1684	530
FFF	NOM	M _w	7	1030 - 4900	1470	2227	1512
DIFF	NOM	M _w	9	700 - 3400	2300	2089	862
MA-UVS	NOM	M _w	4	728 - 1330	982	1005	249
MALLS	NOM	M _w	11	15,000 - 57,800	22,400	25,564	12,607

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Aromaticity: ¹³C-NMR



Aromatic

More reactive with
disinfectants
Absorbs UV light

Aliphatic

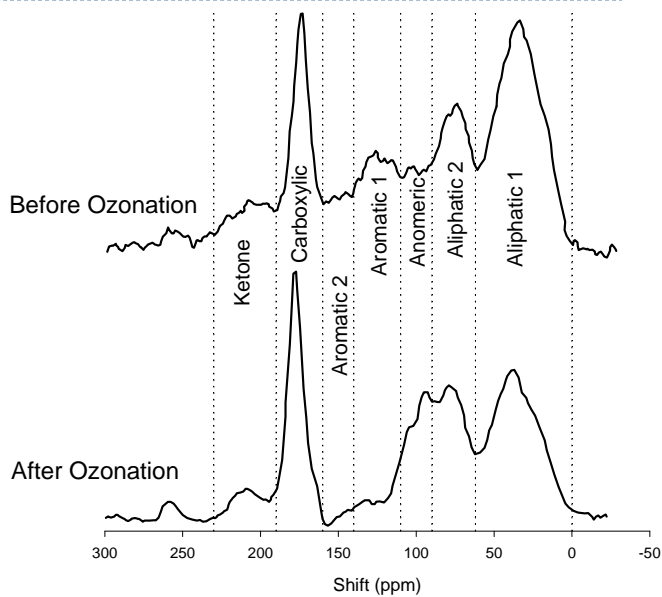
Aromatic and Aliphatic Content of Aquatic Humic Substances
(from Reckhow et al., 1990)

Fraction	Percent Aromatic		Percent Aliphatic	
	Average	Range	Average	Range
Fulvic	17	14-19	59	54-64
Humic	32	30-35	45	38-49

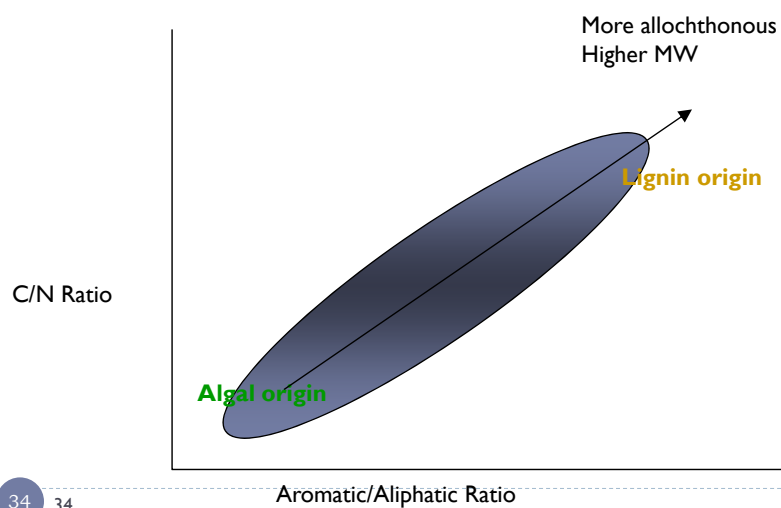
32 32

Carbon type: ^{13}C -NMR

Westerhoff et
al., 1996



Impact of Origin



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Showing “end-members” from McKnight

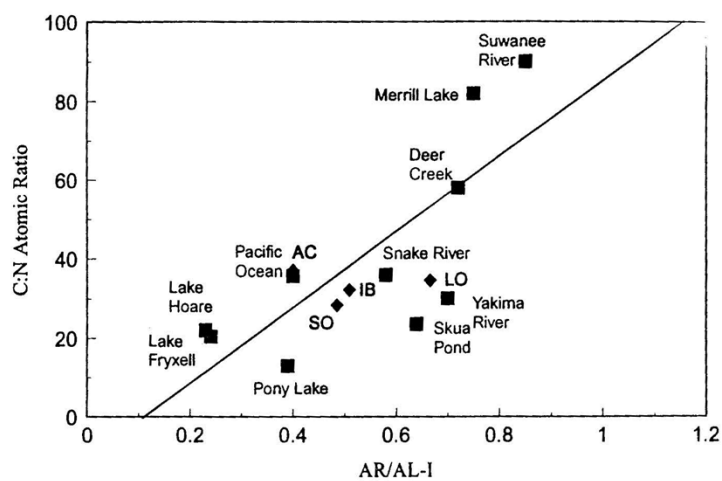
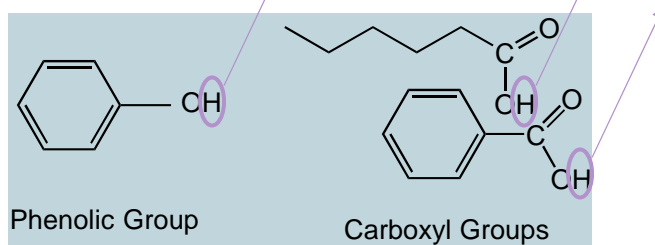


Fig. 1.11. C:N vs. AR:AL-I, showing position of Loch Vale fulvic acids relative to other aquatic fulvic acids. SO Sky Pond Outlet; AC Andrews Creek; IB Icy Brook; LO Loch Outlet. Other fulvic acids are described in McKnight et al. 1994

Functional Groups: Humics



Functional Group Content of Aquatic Humic Substances
(meq/g, After Thurman, 1985)

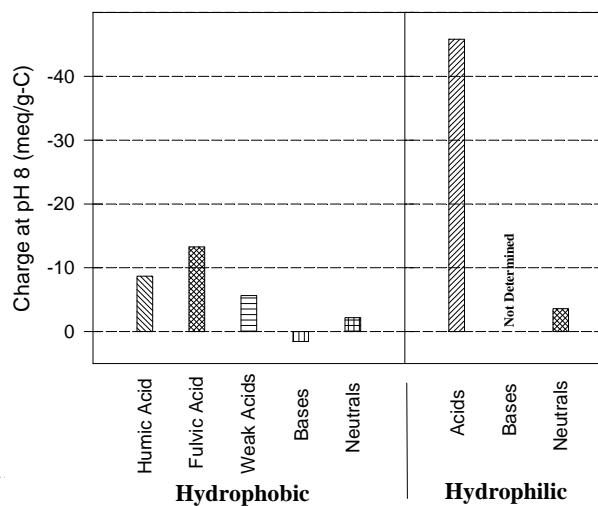
Fraction	Carboxyl	Phenolic
Fulvic	5.5	1.5
Humic	4.0	2.0

► Source of electrical charge; responsible for coagulant demand

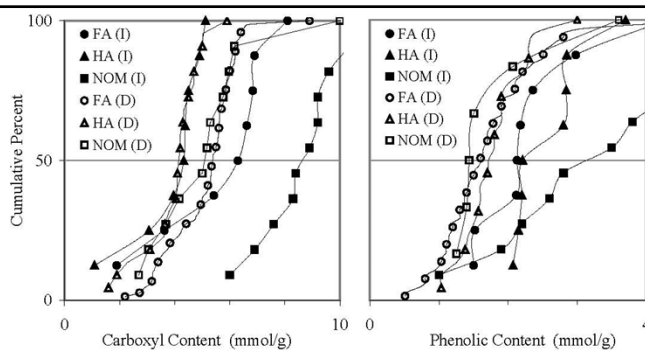
Functional Groups: non-humics

Net Organic Charge on Seven
Aquatic Organic Fractions from
Forge Pond

(After Reckhow et al., 1993)



Functional Groups

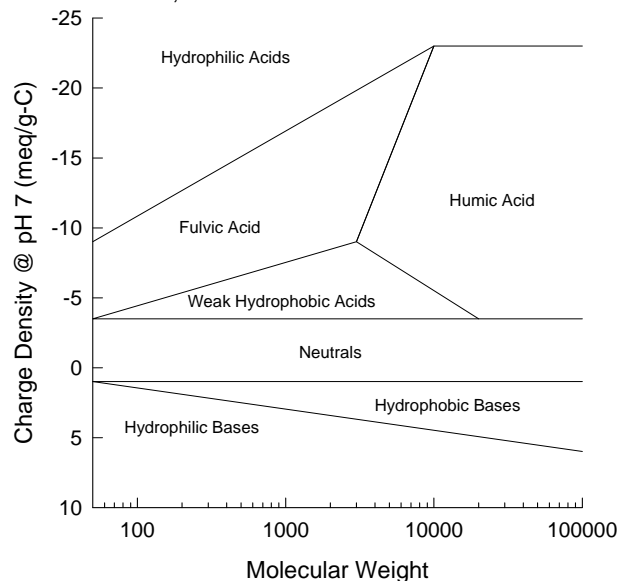


Group (Method)	Sample	Obs.	Acidic Group Content (mmol/g)*			
			Range	Median	Mean	Std. Dev.
Carboxyl (Indirect)	FA	8	1.9 - 8.1	6.5	5.7	2.0
	HA	8	1.1 - 5.1	4.4	3.9	1.3
	NOM	11	6.0 - 10.7	8.9	8.7	1.4
Carboxyl (Direct)	FA	73	2.2 - 8.9	5.4	5.1	1.2
	HA	22	1.6 - 5.9	4.2	4.0	1.0
	NOM	11	2.7 - 10.0	5.2	5.2	2.0
Phenolic (Indirect)	FA	8	1.5 - 4.3	2.2	2.4	0.9
	HA	8	2.1 - 3.7	2.5	2.6	0.6
	NOM	11	1.0 - 8.3	3.5	3.6	2.0
Phenolic (Direct)	FA	65	0.5 - 5.1	1.6	1.7	0.8
	HA	22	1.0 - 3.0	1.8	1.8	0.5
	NOM	6	1.3 - 3.6	1.5	1.9	0.9

From:
Perdue & Ritchie, 2004

Size and Charge Relationships for NOM Fractions

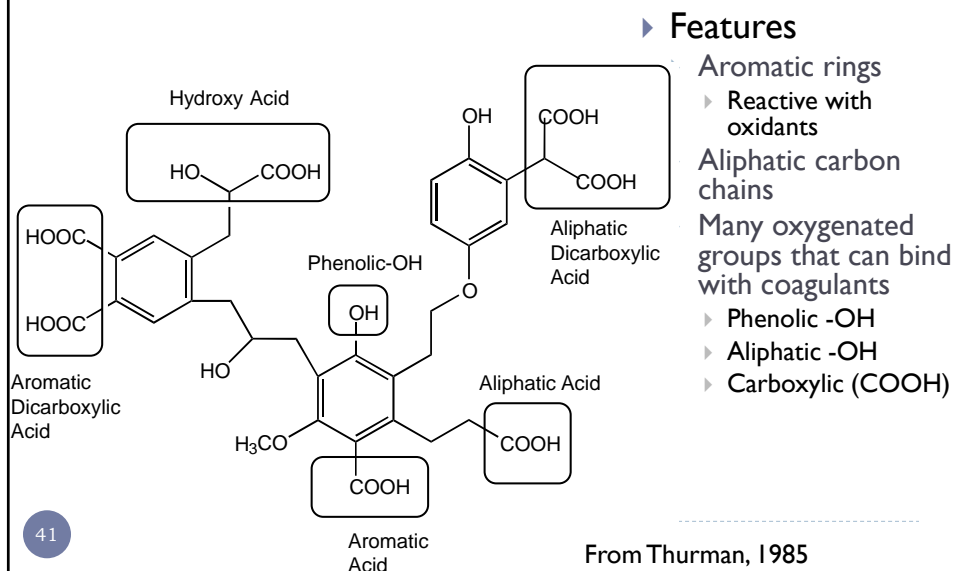
from: Bezbarua and Reckhow, 1995



Functional Groups and Complexation

- ▶ **Complexation with Coagulants, Major Cations and Heavy Metals**
 - ▶ soluble complexes
 - ▶ surface complexes (insoluble)
- ▶ **Role of organic structure**
 - ▶ Enolate > Amine > Azo Compounds > Carboxyl > Ether > Ketone
 - ▶ bidentate > monodentate
 - ▶ geometry
- ▶ **Role of metal: the Irving-Williams series:**
 - ▶ $\text{Fe}^{+3} > \text{Al}^{+3} > \text{Pb}^{+2} > \text{Hg}^{+2} > \text{Cu}^{+2} > \text{Ni}^{+2} > \text{Zn}^{+2} > \text{Co}^{+2} > \text{Fe}^{+2} > \text{Mn}^{+2} > \text{Cd}^{+2} > \text{Ca}^{+2} > \text{Mg}^{+2}$

An Aquatic Humic "Structure"

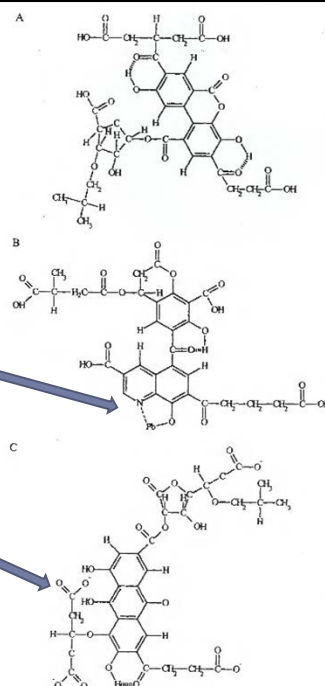


Other Concepts

- From Suwanee River FA characterization
 - A. Simple view
 - B. With an N (anthranillic acid – type)
 - C. Containing a semiquinone free radical

Averett et al., 1988

Dave Reckhow - Organics I



► [To next lecture](#)